

Novel Low Temperature Processing for Enhanced Properties of
Ion Implanted Thin Films and Amorphous Mixed Oxide Thin Film Transistors

by

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ABSTRACT

This research emphasizes the use of low energy and low temperature post processing to improve the performance and lifetime of thin films and thin film transistors, by applying the fundamentals of interaction of materials with conductive heating and electromagnetic radiation. Single frequency microwave anneal is used to rapidly recrystallize the damage induced during ion implantation in Si substrates. Volumetric heating of the sample in the presence of the microwave field facilitates quick absorption of radiation to promote recrystallization at the amorphous-crystalline interface, apart from electrical activation of the dopants due to relocation to the substitutional sites. Structural and electrical characterization confirm recrystallization of heavily implanted Si within 40 seconds anneal time with minimum dopant diffusion compared to rapid thermal annealed samples. The use of microwave anneal to improve performance of multilayer thin film devices, *e.g.* thin film transistors (TFTs) requires extensive study of interaction of individual layers with electromagnetic radiation.

This issue has been addressed by developing detail understanding of thin films and interfaces in TFTs by studying reliability and failure mechanisms upon extensive stress test. Electrical and ambient stresses such as illumination, thermal, and mechanical stresses are inflicted on the mixed oxide based thin film transistors, which are explored due to high mobilities of the mixed oxide (indium zinc oxide, indium gallium zinc oxide) channel layer material. Semiconductor

parameter analyzer is employed to extract transfer characteristics, useful to derive mobility, subthreshold, and threshold voltage parameters of the transistors. Low temperature post processing anneals compatible with polymer substrates are performed in several ambients (oxygen, forming gas and vacuum) at 150 °C as a preliminary step. The analysis of the results pre and post low temperature anneals using device physics fundamentals assists in categorizing defects leading to failure/degradation as: oxygen vacancies, thermally activated defects within the bandgap, channel-dielectric interface defects, and acceptor-like or donor-like trap states. Microwave anneal has been confirmed to enhance the quality of thin films, however future work entails extending the use of electromagnetic radiation in controlled ambient to facilitate quick post fabrication anneal to improve the functionality and lifetime of these low temperature fabricated TFTs.

DEDICATION

To my parents Lakshmi and Shashi, who have loved and supported me through all my unconventional decisions, my sister Malini who makes me want to be a better person, my grandparents who brought me up and cared for me like parents, and my in-laws who feel a sense of pride and joy for my success, just as they do for their son's achievements.

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Chapter 1

INTRODUCTION

1.1 Ion Implantation

Semiconductor materials require impurities to be added to them, to increase their conductivity. Impurities intentionally added to the materials, such as As or B in silicon, are called dopants. Ion implantation is the most practical technique used in the industry to introduce dopants into silicon, since it is controllable and reproducible [1]. Various other methods used to introduce dopants, such as solid-source or gas diffusion have been found to be difficult to control and unreliable. An additional limitation to these methods is that they can incorporate dopants only upto the solid solubility level. However using ion implantation, dopants can be introduced at concentration levels above thermal equilibrium solid solubility values.

1.1.a Damage

Ion implantation is performed by vaporizing and ionizing a source of the desired dopant. The ionized atoms are filtered using a mass analyzer and act as a highly pure source for ions used for implantation. These ions, then under a strong electric field, are directed through a beam which is focused onto the Si surface. Before the dopants are directed onto the surface, there is an exchange

of energy that occurs between atoms and the electrons due to collisions, and also the atoms come to rest under the Si surface due to loss of energy [2]. The loss of energy of the ions could be due to nuclear stopping and electronic stopping. The elastic scattering between the ions and the nuclei determine nuclear stopping, and the inelastic scattering due to the ion interaction with the electron cloud determines the electron stopping. Ionization of the implanted ions and Si atoms in the target, and excitation of valence band and conduction band electrons can be caused due to these events.

The total distance that the dopant ions travel inside the silicon is calculated by using the two stopping methods used above. The depth at which the dopants reside below the target surface is also determined by the angle of implantation and the energy. The implantation dosage which is the number of dopant atoms incorporated into the silicon per unit surface area is determined by the beam current and implant time. The important parameters of ion implantation are the projected range and projected straggle, which are the average depth of penetration of the dopants, and the deviation from the projected depth, respectively.

Collision of the dopant atoms with the silicon lattice displaces the silicon atoms, removing the long range order of the lattice. Displaced atoms with sufficient energy can then collide with other atoms causing them to be displaced and creating a damage profile. The mass of the dopant atoms also impacts the

doping profile [3], apart from the dosage and implantation energy, implying heavier atoms create a greater damage profile at the same velocity. If the long range order is destroyed by a great extent, the silicon surface changes from being crystalline to amorphous.

1.1.b. Complications

Silicon (001) wafers have been used in this study as substrate materials. For a (001) Si wafer, a zero degree implantation angle against the normal to the sample results in maximum channeling [4]. While ion implanting the surface, a 7 degree shift from the normal is done to ensure that the dopant atoms such as boron, phosphorous or arsenic are not channeling, or the channeling is minimized. Reducing the implant energy to reduce the damage profile leads to implications such as increased concentration of inactivated dopants due to increased dopant-vacancy clusters [5]. It is necessary for the dopants to have lower energies for a shallow implant region, but the energy is required to be high enough so that the dopant atoms penetrate the Si surface. These conditions set a limit to the minimum energy that can be used for implantation. However, if the implant dose is high, low energies are also sufficient to amorphize the surface.

1.1.c. Thin Film and Shallow Depth Transistors

Thin crystalline Si film structures have gained increased importance in semiconductor industry since the advent of thin film transistors (TFTs) in the 1980s. Crucial regions such as drain/source in ultra-shallow transistors require thin crystalline layers that are highly doped [6, 7] in order to provide the necessary conductivity in these regions. However, heavy implantation damages the surface to the extent of amorphization [8]. It is necessary to repair this damage to make the films crystalline, and to electrically activate the dopants for the devices to function as desired. However, any post implant process should not cause extensive dopant diffusion. Different types of post implantation annealing methods were successful earlier in obtaining solid phase epitaxy (SPE) [9, 10], most widely used of which are laser annealing [11], rapid thermal annealing (RTA) [12, 13], and metal induced crystallization (MIC) [14]. A temperature of above 600°C [15] is required to achieve high quality crystalline Si, which takes long hours under conventional furnace annealing. Laser annealing, though extensively used earlier, provides uneven heating of the sample [11]. During laser annealing, a laser beam is focused onto the sample, and the photons that comprise the beam provide energy to the lattice. The lattice reorders itself and makes a long range order crystalline material. But this process transfers heat from layer to layer in a conductive manner, and provides uniform heating across the depth of the sample. Furthermore, the high energy beam might create high temperatures at the surface causing the sample to melt, for instance a

temperature of over 1100 °C can melt the silicon sample, and recrystallizes it off of the single crystal lattice of the substrate material forming polycrystalline. The MIC anneal is known to crystallize Si at lower temperatures and shorter duration; but, it is susceptible to contamination of the ultra-shallow film leading to failure of the device [16]. Metals such as aluminum and gold are called eutectic forming metals. They have been primarily used as added impurities in the amorphous semiconductor layer to provide local heating sites for the surrounding atoms since the metal atoms heat quicker than the remaining structure. Also, some metals like Ni used in MIC are called silicide forming metals, which are used as capping on the amorphous silicon layer forming silicides upon heating. Recrystallization of the amorphous Si is induced by the silicide seed, and the misfit between NiSi₂ and Si and the chemical potential difference between the NiSi₂/a-Si and NiSi₂/c-Si interfaces [ref]. But with ultra-sensitive channel layers the minimum amounts of the impurities also cause high channel leakage currents undesired for the functioning of the device.

Rapid thermal anneals (RTA) provide high temperatures in short time durations that provides heating across the depth of the material and bring about recrystallization and dopant activation necessary to provide conductive layers. This technique has been effective till the 100 nm technology node where the excessive heat supplied to the dopant atoms not only allows it to settle in the substitutional sites of the lattice, but also forces it deeper into the substrate by a few nanometers. As we continue to scale and approach the 17 nm node, the

dopant diffusion through RTA would produce junctions which are no longer shallow and void their effectiveness.

Hence, as the technology is scaled to smaller dimensions of technology nodes and the feature sizes, the pre and post implantation processing of materials also need attention. The technology of assisted microwave annealing aims at incorporating the physics behind the quick recrystallization that some of the processing techniques offer but without contaminating the sample, or using extremely high or non-uniform heating.

1.1.d. Microwaves Technology and Loss Mechanisms

Methods that activate dopants without causing diffusion are the requirement of the industry in order to meet ITRS predictions. In this work, we have explored the potential use of low temperature microwave annealing (by use of a Fe_2O_3 infused $\text{SiC-Al}_2\text{O}_3$ susceptor/ assistor) as a post implantation technique to achieve solid phase epitaxy (SPE) and dopant activation in ion implanted Si. Microwaves function based on the loss mechanisms that depend on the dielectric properties of the samples/objects being heated/annealed.

The sample heating when subjected to microwave radiation occurs as a result of ionic conduction and dipole polarization losses [17]. These losses vary

with frequency, and hence the heating profile of the sample varies with different frequencies. In ionic materials, ionic conduction losses or vibrational losses are prominent. Different responses can be observed when ionic materials are subjected to an electric field. In presence of an electric field, electrons move freely inside conductors resulting in electric current. In dielectrics materials, electrons do not move freely and instead, reorientation of induced dipoles gives rise to heating.

Ions move between vacant sites and interstitial positions within the lattice network, leading to space charge effects. But at higher frequencies, vibration losses from the vibration of ions become important, and the frequency dependence of the losses decreases, and becomes more temperature dependent [18]. In the presence of an electric field, the electron cloud in the atom can be displaced with respect to the nucleus, leaving negative charges at one side, and the positive charges at the other side of the atom. An electric dipole moment is created as a result of the displacement of the uncompensated charges. The summation of the dipoles gives the polarization P over a unit volume. In a molecular scale, displacement of charged ions with respect to one another gives rise to dipole moments in the molecule that comprise the atomic and ionic polarizations.

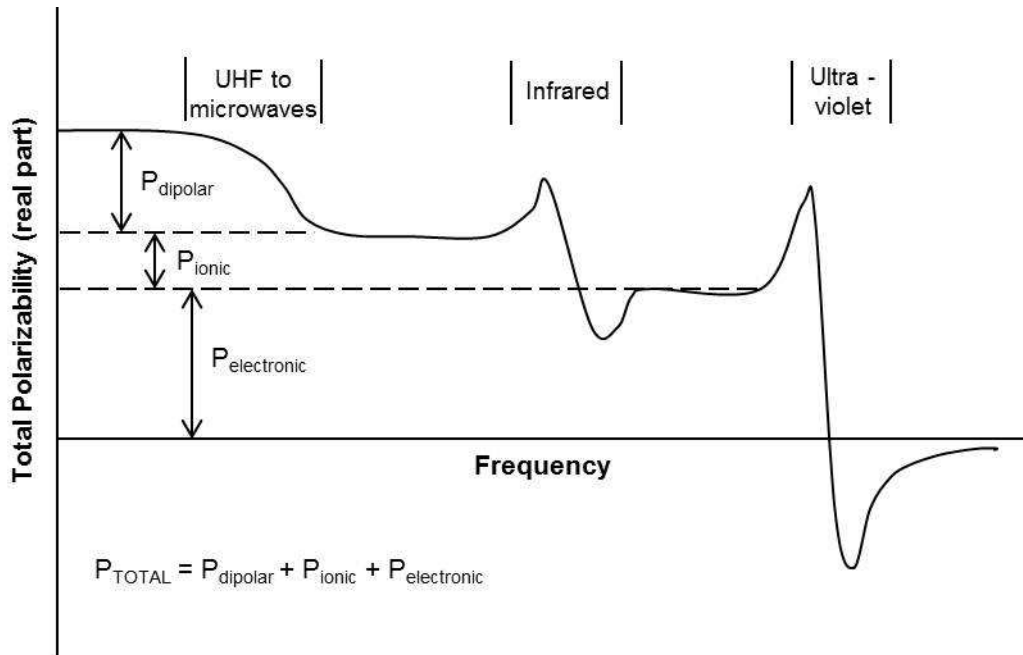


Fig. 1.1 Frequency dependence of different components of polarizability[18]

The ability of a material to absorb electrical potential energy or the microwave field is determined by its complex permittivity. The real part of the permittivity, ϵ' , the depth of penetration of the microwaves into the material can be given, and, the loss factor, ϵ'' [19], indicates the material's ability to store the energy. $\tan\delta$ is described as the loss tangent that suggests the capability of material to convert the field or energy absorbed into heat.

1.1.e. High Z Materials

In the past, microwave anneal technology has been used to anneal boron implanted Si with much success [20]. This study is unique compared to other such work done due to the dopant activated is a higher Z material such as arsenic implanted Si. Due to the higher Z nature of the dopant, greater activation energy would be required to electrically activate the dopant. To provide energy through heat to facilitate this action, the microwave field generated by a 1300 W, 2.45 GHz magnetron is insufficient. The Si sample by means of having a low dielectric constant is heated by the microwave radiation by volumetric heating, due to larger depth of penetration. With a high depth of penetration, D_p , the sample is incapable of being raised to high temperatures required for recrystallization, 600 °C being the temperature for Si. The use of a high dielectric assistor material called as a susceptor has been suggested. In this study, the susceptor is a cylindrical structure made of Fe_2O_3 infused SiC surrounding alumina. The composite structure has a high dielectric constant, and when tested as stand-alone substance being subjected to the microwave radiation, it exhibits rapid heating rate, indicating surface heating by means of low depth of penetration, instead of volumetric heating that provides high depth of penetration. The idea is to supply the heat to the Si sample in a conductive manner so that the sample reaches the temperatures required for recrystallization, and undergoes uniform damage repair due to the uniform absorption of microwaves.

1.2 METAL OXIDE BASED THIN FILM TRANSISTORS

In the past, amorphous or polycrystalline Si:H layer have been commonly used as channel layers for most conventional TFTs in flat panel displays. However, these TFTs have a lot of disadvantages such as low mobility ($<1 \text{ cm}^2/\text{V}\cdot\text{s}$), and are sensitive to light [21]. As a result of which they have poor efficiency of light transmittance and brightness. To obtain a TFT with high mobility requires relatively high process temperature ($> 300 \text{ }^\circ\text{C}$), which makes it difficult to fabricate them on flexible polymer substrates.

With this in mind most of the research is moving from silicon based TFTs to amorphous metal-oxide semiconductors as channel layer and source/drain electrodes [22]. These TFTs attract much attention due to their advantages such as high mobility, and high transmittance [23-25]. A number of metal-oxide based TFTs such as zinc oxide (ZnO), zinc tin oxide (ZIO), indium gallium oxide (IGO), and indium gallium zinc tin oxide (IGZSO) have demonstrated high mobilities even for room temperature fabrication [26,27]. Many TFTs were reported using crystalline ZnO [28,29], or polycrystalline SnO_2 [30], and In_2O_3 [31].

Recently the transparent electronics has emerged a new field of technology. However to realize the transparent TFTs for flexible electronics, amorphous films are more suitable than crystalline type, because amorphous oxide films have added advantages such as low temperature deposition, good film smoothness, low compressive stress, large area deposition by sputtering,

and uniformity of device characteristics [32-34]. The fabrication of low-temperature TFTs allows for flexible large area electronic devices such as electronic paper and flexible display which are lightweight, flexible, and shock resistant. However the fabrication of low temperature amorphous metal-oxide TFTs results in a number of defects in the channel layer, insulator and interface. The presence of these defects can be a latent problem (e.g., poor performance and thermal instability). Hence, it becomes very important to improve the performance/stability of these TFTs. In addition, it would be very useful to understand the role of these defects on the performance and stability of these metal-oxide TFTs. We have improved the performance of these TFTs by low temperature long anneals. Low temperatures are preferred due to the compatibility of the post fabrication process with flexible polymer substrates targeted for flexible large area electronics.

1.3 SUMMARY

This comprehensive report presents the work done in achieving novel processing techniques for thin films and thin film transistors. The characterization techniques employed in understanding material and device properties before and after various anneal techniques are discussed in chapter 2. Chapters 3 and 4 describe the low energy and shorter duration microwave processing of arsenic-doped silicon compared to conventional anneal methods. An attempt is made to understand the mechanism of microwave annealing of heavily ion implanted

silicon. Shorter processing times have been achieved with susceptor-assisted hybrid microwave heating of the ion-implanted silicon. Ceramic composite susceptors, made of alumina and silicon carbide (SiC), have been used to achieve the required temperatures for repairing the lattice damage caused by As doping and for the electrical activation of the dopants.

Chapter 5 presents a detail investigation of the performance of IZO TFTs under thermal and bias stress tests. The effect of post process anneals and the enhanced stability of the devices under thermal stresses as a result, is also discussed in this chapter.

Chapter 6 discusses an in-depth study on the effect of illumination stresses and degradation in performance of IGZO TFTs. Failure conditions have been identified along with the corresponding nature of defects which assists in suggesting suitable post fabrication processes.

Chapter 7 presents a comprehensive summary of the work done, and suggests a future plan of work where detailed study of nature of defects in the metal oxide based TFTs will be performed. Suitable post processing methods including microwave anneal will be suggested based on the type of defects encountered in this work.

Chapter 2

EXPERIMENTAL PROCEDURE

2.1. Sample Preparation

The base samples are *p*-type boron doped, 100 Ω-cm (100) orientated silicon wafers cleaned using the Radio Corporation of America procedure. Eaton Nova NV10-180 batch process ion implanter was used to implant the cleaned Si wafers. Ion implantation was performed while orienting the wafers at 7° with respect to the normal to the incident beam and with a 45° plane twist, so that ion channeling can be minimized. One set of wafers was implanted at room temperature (RT) using 30 keV As⁺ ions and a dose of 5×10¹⁴ As⁺ cm⁻². Another set of wafers was implanted using 30 keV As⁺ ions and a dose of 1×10¹⁵ As⁺ cm⁻² dosage. The last set of samples was implanted with a dose of 180 keV 1×10¹⁵ As⁺ cm⁻² ions.

Microwave annealing of different dosage arsenic implanted Si samples was done in a single-frequency (2.45 GHz), 2.8×10⁴ cm³ cavity applicator microwave system equipped with a 1300 Watt magnetron source. The anneal times ranged between 40-100 seconds for each sample type. A Raytek Compact MID series pyrometer with a spectral response of 8–14 μm was used to monitor the near surface temperature. The emissivity for the samples was adjusted by careful calibration of the temperature read by the pyrometer against the temperature monitored by a thermocouple.

The Fig. 2.1 shows the microwave setup involving the pyrometer for in-situ temperature measurements of the sample in the cavity. The arsenic implanted Si cannot raise to a temperature needed for recrystallization of Si, hence a susceptor is used. The susceptor being a cylindrical structure needed to be carved for a 1.5 cm x 1.5 cm groove in the center to mount the sample in order to provide uniform surface heating for the sample from underneath.

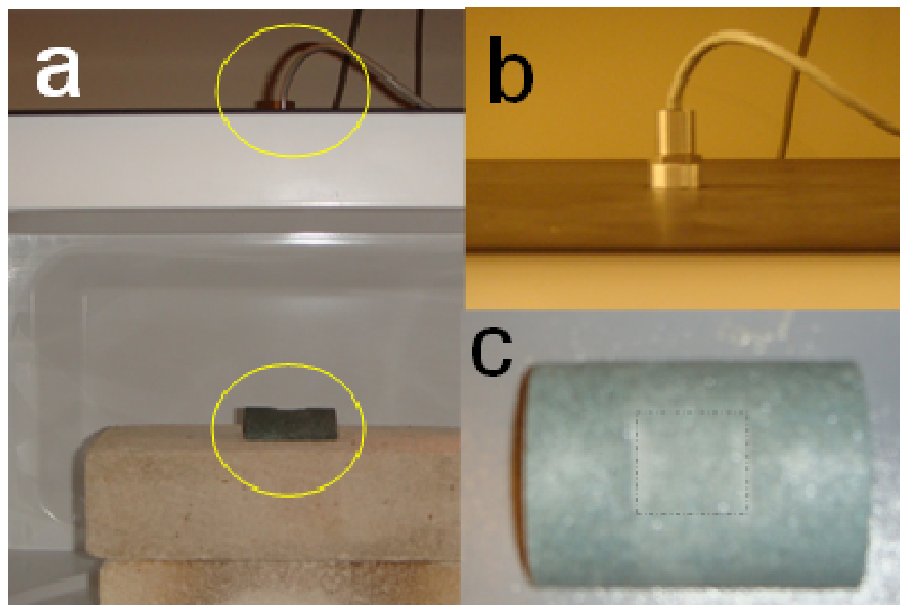


Fig. 2.1 a) The microwave pyrometer-susceptor setup; b) pyrometer to measure in-situ temperature; c) SiC-Al susceptor with a 1.5 cm x 1.5 cm groove to mount the sample to provide uniform heating.

2.2. Material Characterization

With the use of a susceptor to provide additional heating mechanism, the surface temperatures of the arsenic implanted Si ranged between 620-680 °C. The as-implanted As⁺ and the microwave annealed samples were characterized using several methods to test for dopant activation and film recrystallization. In addition to characterizing pre and post anneal samples for the aforementioned criterion, the microwave annealed samples were compared against 30 second rapid thermal annealed (RTA) samples, annealed at 900°C for the extent of dopant diffusion. Microwave losses coupled with hybrid volumetric and surface heating of the sample through microwave power absorption and susceptor heating are the mechanisms behind recrystallization of the arsenic implanted Si.

2.2.a Raman Spectroscopy

A Raman line scan was performed to determine the structure of the As⁺ implanted Si pre and post microwave annealing. Raman spectroscopy is one of the most common vibrational spectroscopies to assess the molecular motion. An argon laser with an excitation wavelength of 532 nm is focused onto the samples mounted underneath the optical microscope, through an Olympus 100x0.8 NA objective. The spectra from the sample are reflected into a Sopra 2000 2m double spectrometer by a 50% beam-splitter. A 532 nm notch filter blocks any scattered light from the laser. The spectrum is dispersed and collected into a

Princeton CCD Camera with an energy dispersion of 60pixels/cm. The Raman spectra collected from the CCD is calibrated as a function of intensity that depends on the time of exposure, against the relative wavenumber [35]. High energy beam samples damage the sample surface, but in the Raman spectroscopy, care is taken to avoid usage of high energy beams. The maximum power of a beam used in Raman spectroscopy is 100 mW. In our setup, a 4 mW power beam was used, which goes through a series of beam splitters, at the end of which the beam power hitting the sample is as low as 1 mW which doesn't alter the characteristics of the sample. The setup of the Raman spectroscopy is as seen in Fig. 2.2 below.

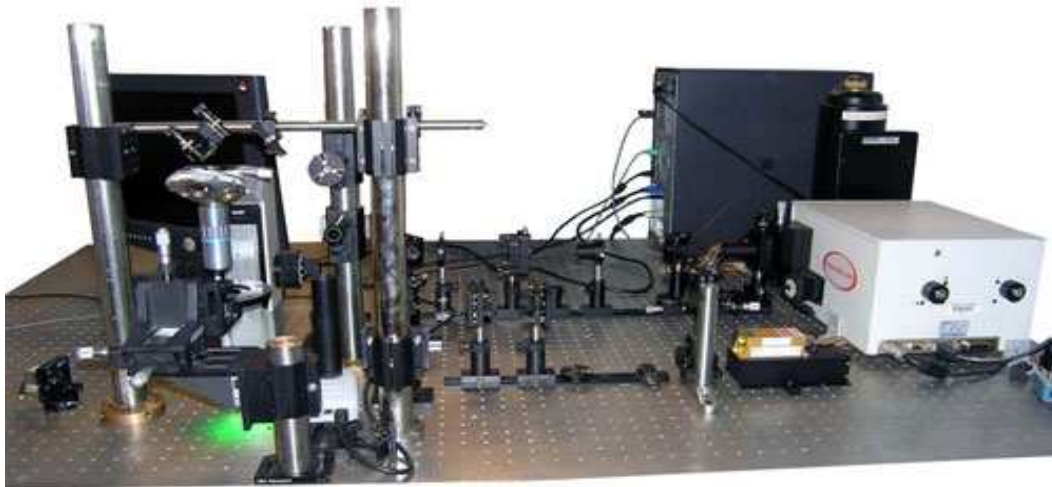


Fig. 2.2 Raman Spectrometer used for characterizing the samples to observe the crystalline structure (Courtesy: Center for Solid State Sciences, CSSS, ASU)

2.2.b Rutherford Backscattering Spectrometry

Rutherford backscattering spectrometry (RBS) is a non-destructive characterization technique. It is used to analyze the atomic composition of the sample like diffusion and interaction between the copper and ruthenium thin films and to estimate the sample thickness using very high energy (MeV) beam of low ion mass. It is also used for quantitative depth profiling, areal density measurements, and determination of crystal lattice quality. RBS utilizes Tandatron accelerator to generate a MeV ion beam. After entering the evacuated beam line, the ions are then collimated and focused. There are bending magnets which after mass selection geometrically disperse ions according to their mass. Finally the beam raster-scans over the specimen and back scattered ions are analyzed by a Si barrier detector. The electronic pulses are then amplified and sorted according to the voltage amplitude by a multichannel analyzer to yield the resulting RBS spectrum [36]. RBS was performed using a General Ionex 1.7 MV tandem accelerator with He^{2+} ions at energy of 2.8 or 3.5 MeV as shown in Fig. 2.3.

Ion implantation with concentrations and energies such as of the samples in our study causes implant damage. Ion channeling experiments were conducted to compare the damage in unannealed samples as opposed to processed samples, and to ascertain if the microwave annealing could repair damage of this extent. Rutherford backscattering spectrometry (RBS) was used

to quantify the implant damage, and a 2.0 MeV He⁺ analyzing beam for ion channeling. Samples were analyzed in random and [001] channeled orientations. He⁺ ions were collected using a solid state detector, positioned 13° from the incident beam. The software program RUMP was used to simulate layer thicknesses from RBS data.

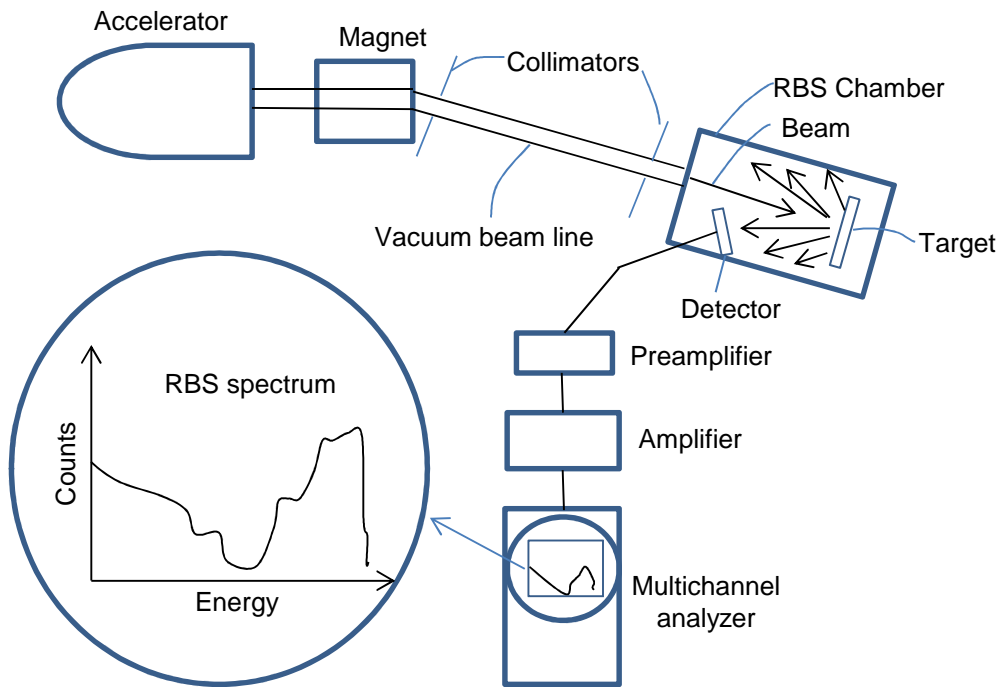


Fig. 2.3 Schematic of a typical Rutherford backscattering Spectrometry instrumentation system.

2.2.c Sheet Resistance Measurement

Sheet resistance of the sample is measured using a typical in line four-point probe configuration as shown in Fig. 2.4. In this method there are totally four probes. The spacing between the probes is 2 nm. Current passes through the outer probes in order to avoid contact resistance and the two inner probes sense the voltage and voltage drop between the two inner probes is measured. Each probe has probe resistance R_p , a probe contact resistance R_{cp} and a spreading resistance R_{sp} associated with it. However, these parasitic resistances can be neglected for the two voltage probes because the voltage is measured with high impedance voltmeter, which draws very little current. Thus the voltage drops across these parasitic resistances are insignificantly small. The voltage reading from the voltmeter is approximately equal to the voltage drop across the material sheet resistance. The sheet resistance is calculated from the measured values of the voltage and the current by dividing the voltage by the current and multiplying this by the correction factor which depends on the probe spacing, film thickness and the probe distance from the edge of the sample. The sheet resistance expressions can be expressed as follows:

$$R_s = (V/ I) \times CF \quad (1)$$

where CF = Correction factor and V/ I is the reading from the monitor, V is the voltage drop and I is the current driven through the sample.,

The resistivity of the material is calculated by using the following expression:

$$\rho = R_s \times t \quad (2)$$

where t = thickness of the material. This measurement was of particular interest to verify that the resistance of the alloy films after annealing was comparable to that of the as-deposited sample.

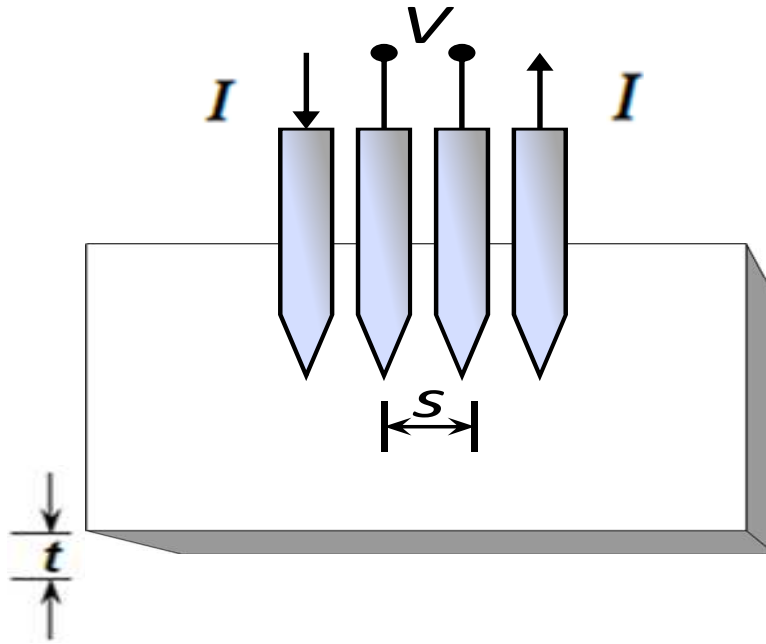


Fig. 2.4 Layout of atypical four-point probe setup. Measurements taken at ASU had a probe spacing of 2 mm. Where S = spacing between the probes, and t = thickness of the sample.

2.2.d Hall Measurements

In order to determine if there was any carrier inversion that occurred after microwave annealing, Hall measurement testing was performed over the samples before and after annealing using Van der Pauw method. To do so, the samples were mounted onto a printed circuit board by making aluminum deposits for contacts, and using copper wires and silver paste to establish contacts.

The Van der Pauw method is the most common technique used to accurately measure electrical properties of a sample such as the resistivity, doping of the material whether it is p-type or n-type doped, the mobility of the majority carriers, and the sheet carrier densities. To be able to use the Van der Pauw method, the sample thickness needs to be much less than the length and width of the sample, which means, the sample needs to be 2 dimensional. To reduce errors in the measurement, the sample should be made symmetrical, most often a square shaped one. The contacts for the measurement need to be made appropriately too, and the material for contact should be chosen in such a way that an ohmic contact can be made. Silver is used to make contact with between the copper wires and the sample material. But for silicon substrates, silver cannot make an ohmic contact directly, hence aluminum was deposited using an evaporator system and masks, just at the corners of the sample, and silver can then be used to make the contact with copper wires.

In order to use the Van der Pauw method, the sample thickness must be much less than the width and length of the sample. In order to reduce errors in the calculations, it is preferable that the sample is symmetrical. There must also be no isolated holes within the sample. From the top left corner of the sample, if the contacts are numbered 1 to 4 in a counter-clockwise direction as seen in Fig. 2.5, current is made to flow along one edge of the sample (along the 1-2 side), and voltage is noted on the other edge (along the 3-4 side).

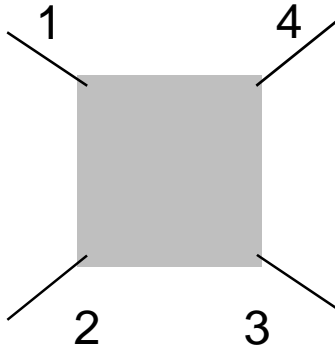


Fig. 2.5 Sample labeling for the contacts made to perform van der Pauw Hall measurements.

The ratio of the voltage V_{34} and I_{12} gives the resistance in the material

$$R = \frac{V_{34}}{I_{12}} \quad (3)$$

Hall measurements, as the name suggests, make use of the Hall effect in electrical characterization of the material. When electrons flow through a magnetic field, a force called Lorentz force is exerted on them which depends on the velocity of their motion in the field. The force is maximum when the field is perpendicular to the motion of the electrons, and is given by

$$F_L = q \cdot v \cdot B \quad (4)$$

where q = the charge on the particle in coulombs

v = velocity

B = the strength of the magnetic field (Wb/cm^2)

Applying current on a semiconductor material results in a steady state flow of electrons within the material, with a velocity given by

$$v = \frac{1}{n_m \mu_m q} \quad (5)$$

where n = electron density

A = cross-sectional area of the material

$q = 1.6 \times 10^{-19}$ coulombs

The force leads to accumulation of charges along an edge and creates an electric field induced produce accumulation of electrons along an edge, and the hall voltage can be directly extracted from this field, given by

$$\begin{aligned}
V_H &= \omega \epsilon & (6) \\
&= \frac{IB}{nqd} & d=\text{depth of the material} \\
&= \frac{IB}{n_s q}
\end{aligned}$$

Hence, we can obtain the sheet density n_s from the hall voltage. From previously obtained resistivity measurements, sheet resistance of the material is known from which the mobility of the material is given by

$$\mu = \frac{1}{n_s q R_s} \quad (7)$$

Finally the resistivity of the material is given by

$$\rho = \frac{1}{n_m \mu_m q} \quad (8)$$

where n_m = doping level of majority carrier

μ_m = mobility of the majority carrier

Seen in Fig. 2.6 below is the setup for the Ecopia HMS 3000 Hall measurement system used in our characterization methods, for which a magnet of 0.98 Tesla was used. To recover the measurements, the magnet was aligned in N-S, S-N directions.

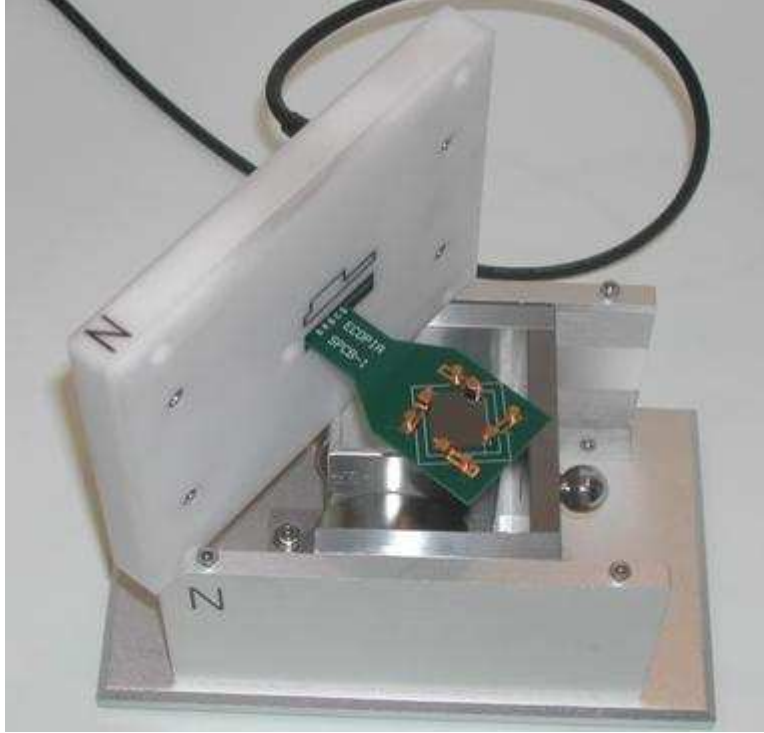


Fig. 2.6 Ecopia HMS-3000 Hall Effect Measurement System used at ASU (Courtesy: CSSS, ASU).

2.2.e Cross-section Transmission Electron Microscopy and Focused Ion Beam Milling

Focused Ion Beam milling or FIB milling was performed on the samples, to lift off a nanoscale dimension of the specimen before performing a cross-section transmission electron microscopy (XTEM) on them. Highly energetic ion beams are impinged onto the sample, at an angle of 52° . The beam has sufficient energy to lift off a portion of the sample to create a nanospecimen. The equipment has an electron gun that ensures that the properties of the material are not altered. Highly energy Ga is used to form the focused ion beam. The mechanism can be programmed to ensure which part of the sample needs to be

sputtered out to form a specimen sample. The facility has an inbuilt scanning electron microscope to monitor the lift off process in real time [37]. The schematic of the FEI 835 focused-ion beam tool with a gallium ion-source is seen in the Fig.2.7.

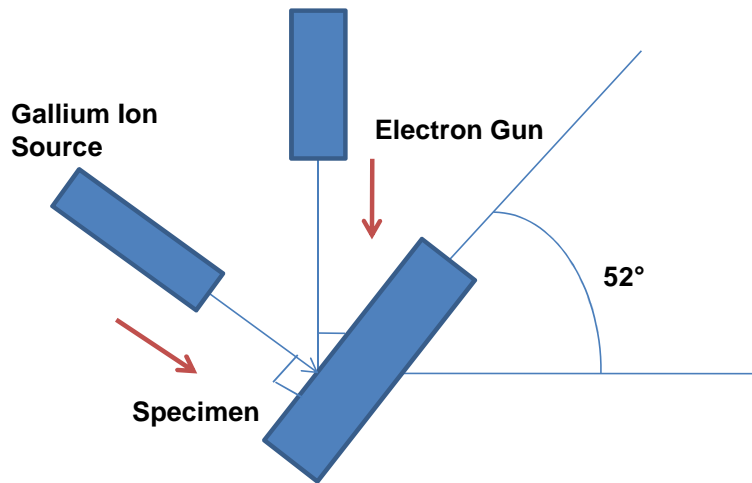


Fig. 2.7 FEI 835 focused-ion beam tool with a Ga ion-source used at ASU

A Philips CM200 FEG TEM operated at a voltage of 200 kV was used to perform cross-sectional transmission electron microscopy on the specimen sample to observe the structure of the material. Seen below is a picture of the TEM available at Arizona State University..



Fig. 2.8 Philips CM200 FEG TEM used at ASU (courtesy CSSS)

2.2.f Secondary Ion Mass Spectroscopy

Secondary ion mass spectrometry (SIMS) is a surface analysis technique that can help determine the composition of materials. A primary ion beam is focused onto the sample, and secondary ions ejected are collected. These secondary ions are analyzed are captured by a mass spectrometer to determine the composition of the surface. The yield in terms of time collected by the analyzer is calibrated in terms of concentration of atoms across the depth of the sample. Seen below is a schematic of the direction of focused beam, and placement of the analyzer with respect to the sample surface, to capture the secondary ions.

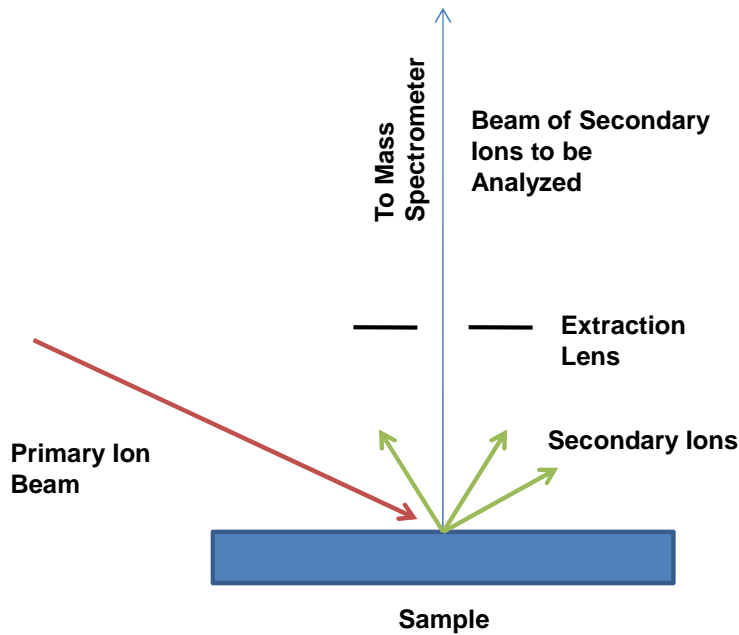


Fig. 2.9 Mechanism of SIMS analyzer

Due to the interaction of the beams with the surface, the upper layers of the sample can get amorphized, some of the atoms of the primary beam can get implanted in the surface of the sample, apart from secondary particles being ejected from the sample. The samples ejected can be neutral as well as positively and negatively ionized. The secondary ions from the sample are extracted by an electric field applied in the region between the sample and an extraction lens. The ions get accelerated in the presence of this field towards a calibrated mass spectrometer. The ions are sorted based on the mass and energy and pass through an ion detector which can be a Faraday cup, where the yield count is obtained. The rate of the yield can provide information about the

composition of the material. The SIMS technique is useful for all elements except noble gases since they don't ionize easily.

2.3. Device Characterization (Thin Film Transistor Analysis)

Device characteristics such as drain current vs. gate voltage (transfer characteristics), and drain current vs. drain voltage (output characteristics) can be obtained by the HP 4155 B Semiconductor Parameter Analyzer. Setup connected A three probe station in a light tight setup and a stage with thermal control are employed for aging the TFT (under light, temperature, and bias stresses). The setup used can be seen in Fig. 2.10 below.

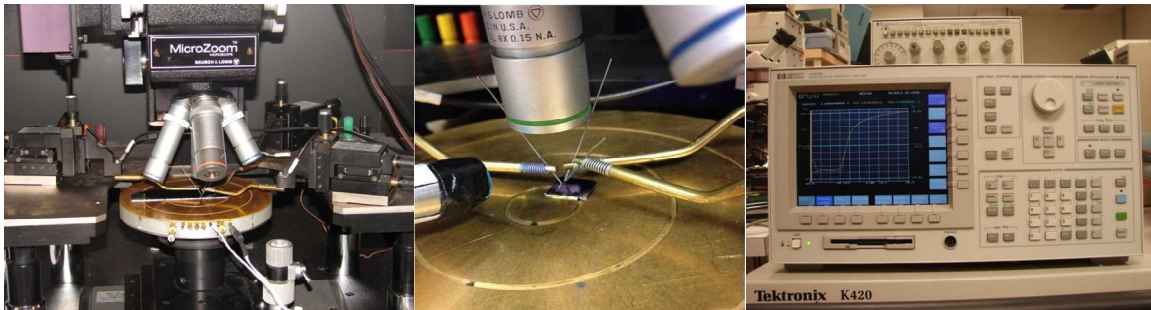


Fig. 2.10 Probe station, thermally controlled stage, and HP 4155 B Semiconductor Parameter Analyzer

Crucial transistor parameters such as mobility, subthreshold swing, and *on* voltage are extracted from the transfer characteristics. A Matlab code is used for calculating the mobilities as follows:

$$\mu = \frac{g_m (= \partial I_d / \partial V_g)}{(W / L) C_{ox} V_d} \quad (9)$$

Aging conditions used in this research are a) illumination stress testing under wavelengths within the visible range of the spectrum, b) thermal stressing at 20 °C, 50 °C, and 80 °C, and c) bias stress testing under gate voltages of ± 20 V, and drain voltages of 0, 20 V. A combination of conditions a and c, and b and c are used to understand the effect of extreme stresses towards driving the transistors into extreme degradation or even failure.

Chapter 3

DOPANT ACTIVATION AND DIFFUSION PROFILE OF ARSENIC IMPLANTED SILICON

3.1. Introduction

To perform quick regrowth or dopant activation by post implantation processing, the semiconductor is intentionally adulterated with additional dopant or metal atoms [38] which act as localized heating spots when annealed, raising to higher temperatures quicker than the semiconductor atoms due to their specific heat properties and Fermi level effects. Regrowth rate becomes greater with temperature, and hence shorter times suffice for recrystallization. Over the years there has been success in the development of processes which achieve this high temperature in shorter hours, and some even in seconds (*e.g.*, RTA), but without adulterating the sample in order to do so. In this study we have used microwave annealing (by using a SiC susceptor/assistor) to achieve a high quality crystalline Si layer in much shorter times, and have compared it against the samples treated using RTA, to verify the reduced extent of end-of-range diffusion. This shallower dopant profile over RTA confirms the potential use of susceptor assisted microwave annealing for dopant activation and solid phase regrowth. The assistor, as the name suggests, is used to supply additional heat to the sample for it to reach the desired temperature range in a shorter time. This study discusses the mechanism of this heat supply, and the quality of the results

produced, if they are better than the results obtained from methods mentioned before.

3.2. Experimental procedure

The base samples are *p*-type boron doped, 100 Ω-cm (100) orientated silicon wafers cleaned using the Radio Corporation of America procedure. Eaton Nova NV10-180 batch process ion implanter was used to implant the cleaned Si wafers. Ion implantation was performed while orienting the wafers at 7° with respect to the normal to the incident beam and with a 45° plane twist, so that ion channeling can be minimized. One set of wafers was implanted at room temperature (RT) using 30 keV As⁺ ions and a dose of 5×10¹⁴ As⁺ cm⁻². Another set of wafers was implanted using 30 keV As⁺ ions and a dose of 1×10¹⁵ As⁺ cm⁻² dosage. The last set of samples was implanted with a dose of 180 keV 1×10¹⁵ As⁺ cm⁻² ions. Microwave annealing of different dosage arsenic implanted Si samples was done in a single-frequency (2.45 GHz), 2.8×10⁴ cm³ cavity applicator microwave system equipped with a 1300 Watt magnetron source. The anneal times ranged between 40-100 seconds for each sample type. A Raytek Compact MID series pyrometer with a spectral response of 8–14 μm was used to monitor the near surface temperature. The emissivity for the samples was adjusted by careful calibration of the temperature read by the pyrometer against the temperature monitored by a thermocouple. For the arsenic implanted samples, the surface temperatures ranged 620-680 °C

Ion implantation with concentrations and energies such as of the samples in our study causes implant damage. Ion channeling experiments were conducted to compare the damage in unannealed samples as opposed to processed samples, and to ascertain if the microwave annealing could repair damage of this extent. Rutherford backscattering spectrometry (RBS) was used to quantify the implant damage, and a 2.0 MeV He⁺ analyzing beam for ion channeling. Samples were analyzed in random and [001] channeled orientations. He⁺ ions were collected using a solid state detector, positioned 13° from the incident beam. The software program RUMP was used to simulate layer thicknesses from RBS data.

To test for any electrical dopant activation, the samples were placed face up under an in-line 4 point probe reading out to a 100 mA Keithley 2700 digital multimeter. The sheet resistances (R_{sh}) of the samples were carefully tabulated for every process time. In order to determine if there was any carrier inversion that occurred after microwave annealing, Hall measurement testing was performed over the samples before and after annealing using Van der Pauw method. To do so, the samples were mounted onto a printed circuit board by making aluminum deposits for contacts, and using copper wires and silver paste to establish contacts.

Secondary ion mass spectroscopy (SIMS) was performed to capture the secondary As^+ ions from the sample across its depth. The results observed as a function of the yield with time were calibrated to give a measure of the density of As^+ across the depth of the sample. The plot of As^+ density as a function of Si depth gives a measure of the extent of diffusion of the dopant for the microwave annealed samples and for RTA annealed samples.

3.3. Results

The anneal time in the study is defined as the duration between when the microwave is switched on and when the microwave is turned off. The temperature profile of the samples suggests that stand alone microwave heating is not sufficient for the samples to reach the required temperatures of around 600 °C as mentioned earlier, since *a*-Si cannot absorb microwave energy at low temperatures [16], and supports our incentive of using an additional heating material in the setup to enable the samples to absorb the microwave radiation. Inspection of Fig. 3.1 reveals how microwave radiation assisted by the alumina coated silicon carbide (SiC-alumina) susceptor [16,39], allows for rapid heating and confirms that microwave annealing without a susceptor does not help the sample obtain a high temperature.

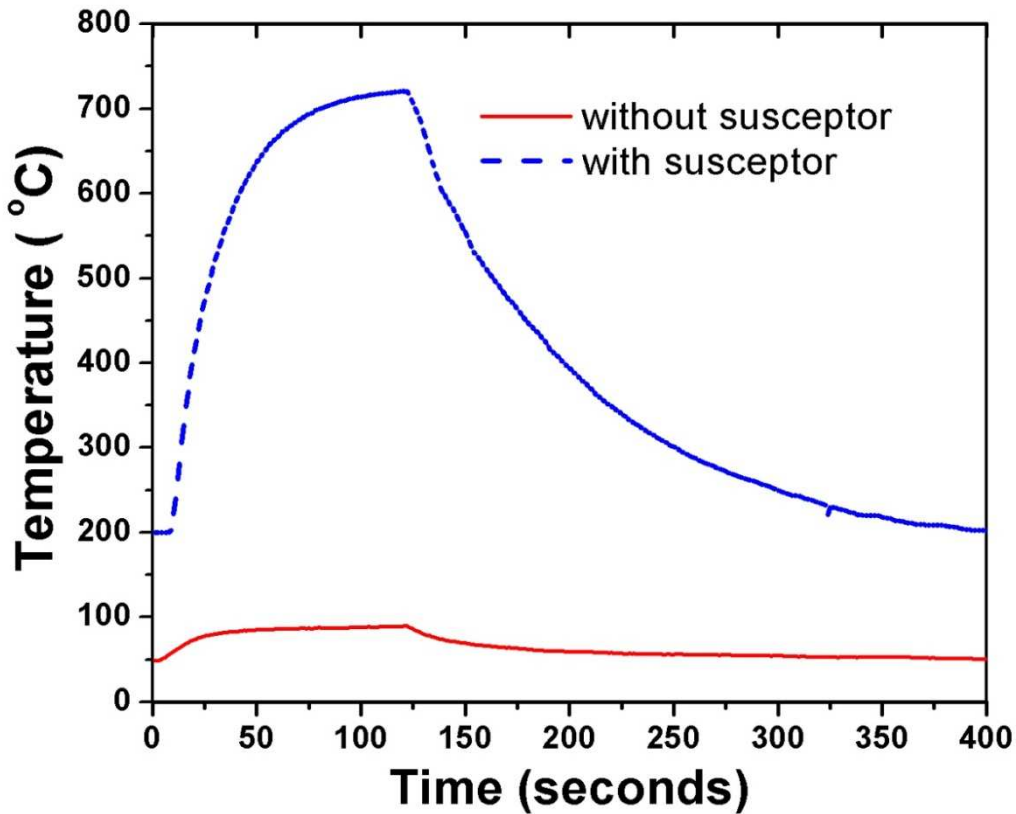


Fig. 3.1 Temperature vs Time profile of As implanted Si with and without susceptor

The spectra *random* and *as-implanted* in Fig. 3.2 present RBS results obtained from the as-implanted samples in a random orientation and a [001] channeling orientation, respectively. The energetic arsenic ions create a thin damaged Si layer and amorphize the crystalline Si. Both the plots show the lattice damage due to ion implantation, and also a magnified ($\times 30$) As peak around channel number 280 [39], that confirms arsenic is located off the lattice sites instead of at the substitutional sites [20]. A comparison of the normalized yield of aligned channeling spectrum against the normalized yield of random

spectra gives the order of lattice damage. The factor is denoted by χ_{\min} [40]. Channeling spectrum *annealed* presents ion channeling results of the samples in a [001] channeling orientation after 40 sec microwave annealing. The χ_{\min} for *annealed* is 0.11 implying that the lattice damage was repaired to a great extent. The ion channeling yield of a 70 sec annealed sample (not shown), also has a χ_{\min} of around 0.11 confirming that the improvement in lattice damage repair is insignificant over a 40 sec microwave anneal. The results signify that the dopant atoms are now essentially located in substitutional sites instead of off-lattice sites, as in the *as-implanted* channeled spectrum in Fig. 3.2. This repair of lattice damage, and dopant relocation, are key factors that lead to dopant activation and reduced sheet resistance of the arsenic implanted Silicon samples. The spectra of 180 keV arsenic implanted samples, confirms deeper lattice damage, and thicker damaged surface layer. However great the damage, a 40 second anneal would still suffice to repair the lattice implant damage, and distribute the dopant atoms to substitutional sites in the lattice.

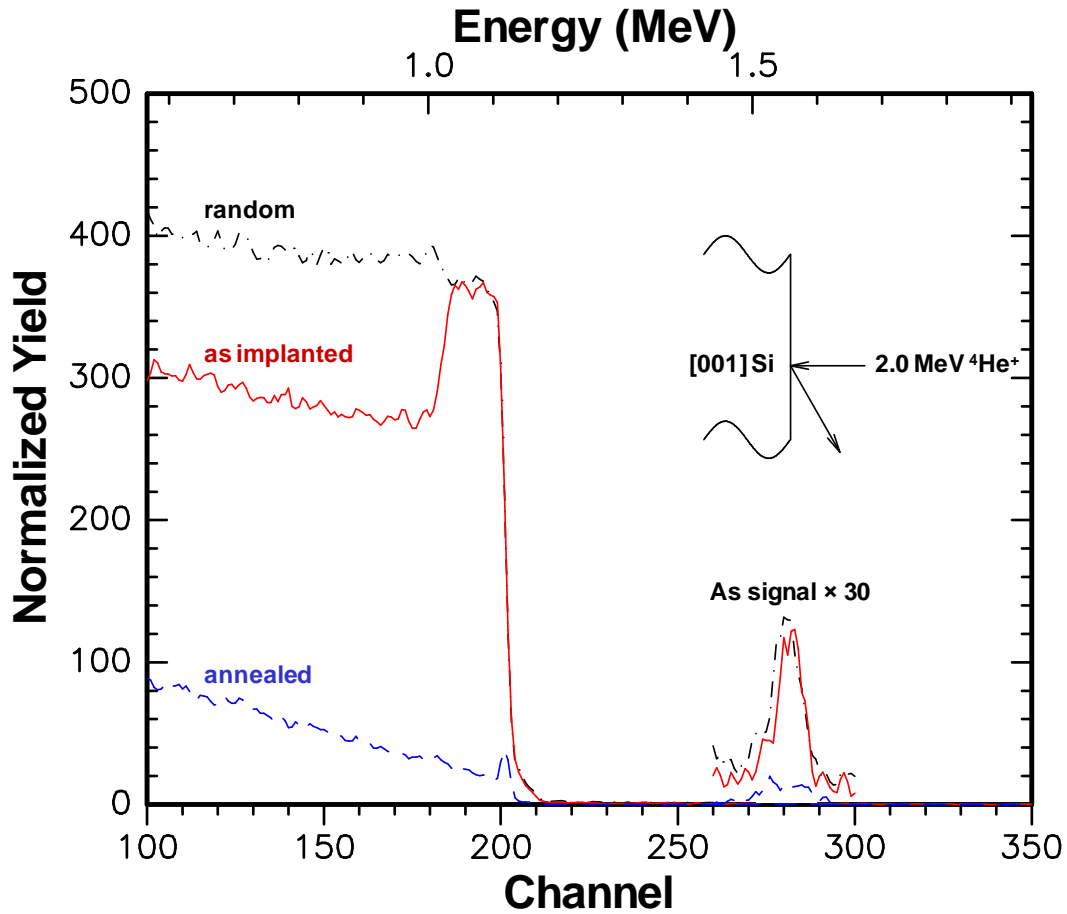


Fig. 3.2. Ion channeling results of As^+ implanted Si: Dotted **random** represents ion channeling of $180 \text{ keV } 1 \times 10^{15} \text{ cm}^{-2}$ as-implanted As^+ sample in random orientation. Solid line **as implanted** represents ion channeling of as implanted As^+ in channeling orientation. Dotted **annealed** represents ion channeling of $180 \text{ keV } 1 \times 10^{15} \text{ cm}^{-2}$ arsenic implanted Si annealed for 40s, in channeling orientation. The As signal represented in the analysis has been enhanced 30 times for **random**, **as implanted**, and **annealed** spectra, and follows the same legend.

Analysis of sheet resistance values of annealed samples against readings from the unannealed samples shows that almost complete dopant activation was achieved within a processing time of 40 sec, beyond which there is no significant improvement, as seen in Table 1.

Implant energy (keV)	Implant dose ($1 \times 10^{15} \text{ cm}^{-2}$)	Sheet Resistance (Ohm/sq) for different microwave anneal times			
		as-implanted	40 seconds	70 seconds	100 seconds
30	$\times 0.5$	overflow	221	198	188
30	$\times 1$	overflow	140	134	133
180	$\times 1$	overflow	93	86	81

Table 1 Sheet Resistance measurements over different anneal times

This applies to all three samples of different dosages and energies that were included in the study. As seen in the table, before microwave anneal, the 4 point probe reads *overflow* which implies the samples are non-conductive or that their sheet resistance (R_{sh}) is beyond the order of mega Ohm/sq. The Fig.3.3 below shows the pattern of sudden reduction in R_{sh} , which saturates for greater annealing times.

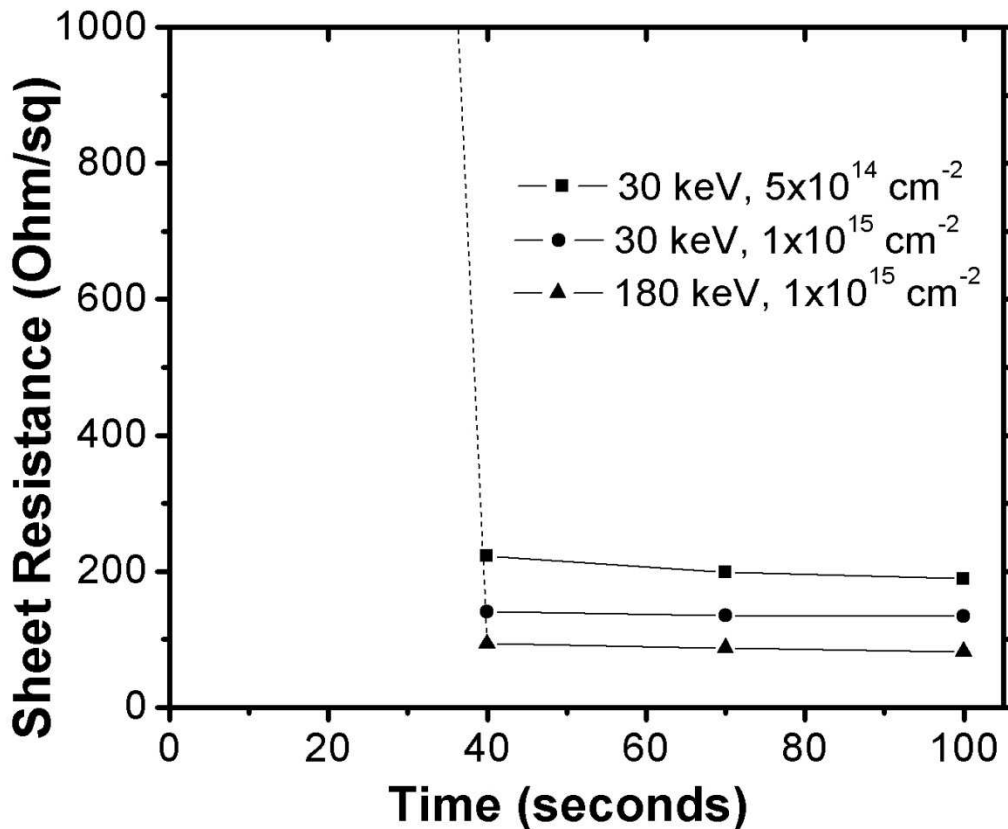


Fig. 3.3 Sheet Resistance measurements of the different dosage and implantation energy As implanted Si at different anneal times.

The Hall measurements show the inversion of carriers from p-type before annealing, which is from the heavily boron doped substrate, to being n-type from the arsenic that was implanted onto Si samples. Due to the heavy doping that causes degenerate sheet concentration values, ion scattering becomes dominant in the surface, reducing the mobilities after annealing, which were otherwise expected to be high. Table 2 summarizes the resistivities, sheet and bulk concentrations, and mobilities of 30 keV $1 \times 10^{15} \text{ As}^+ \text{ cm}^{-2}$ and 180 keV $1 \times 10^{15} \text{ As}^+ \text{ cm}^{-2}$ implanted Si samples. The values of the 30 keV $1 \times 10^{14} \text{ As}^+ \text{ cm}^{-2}$ fell

between those of the sample variety *A* and *B*, but were not discussed in the table.

Sample	Resistivity (Ω cm)	Carrier type	Sheet concentration ($\#$ cm ⁻²)	Bulk Concentration ($\#$ cm ⁻³)	Mobility (cm ² /V-sec)
A and B as-implanted Backside	45	p	6.8×10^{12}	1.7×10^{14}	530
Sample A as-implanted Front	1.3×10^{-3}	p	1.7×10^{14}	3.5×10^{19}	235
Sample A 40 second annealed Front	2.8×10^{-3}	n	8.3×10^{14}	1×10^{20}	53
Sample A 100 second annealed Front	2.7×10^{-3}	n	7.65×10^{14}	1.53×10^{20}	61
Sample B as-implanted Front	13×10^{-3}	p	9.25×10^{13}	3.7×10^{18}	120
Sample B 40 second annealed Front	2.4×10^{-3}	n	9.95×10^{14}	4.0×10^{19}	65
Sample B 100 second annealed Front	2.1×10^{-3}	n	8.2×10^{14}	3.3×10^{19}	89

Table 2 Hall measurement values for **A**: 30 keV 1×10^{15} As⁺ cm⁻² and **B**: 180 keV 1×10^{15} As⁺ cm⁻² implanted Si

To assess the impact of susceptor-assisted microwave annealing on dopant diffusion, SIMS analysis was performed on a 900 °C RTA sample, annealed for 30 seconds, apart from the microwave annealed samples. As seen in Fig. 3.4, both 40 and 70 seconds microwave annealing on the samples shows minimal dopant diffusion across the depth. RTA on the sample shows greater

dopant diffusion possibly as a result of energizing the As^+ to diffuse into the sample.

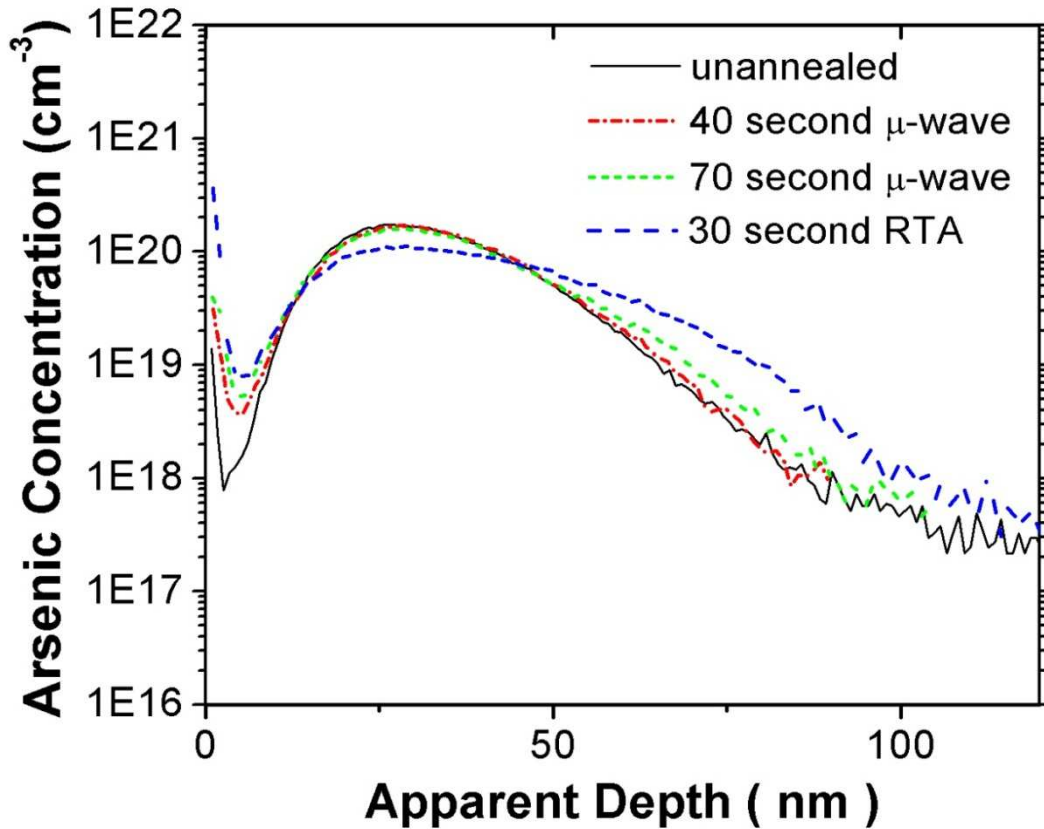


Fig. 3.4 SIMS profile of 30 keV 5×10^{14} As^+ implanted Si annealed under different conditions

3.4. Discussion

The cumulative effect of a few phenomena is responsible for the effectiveness of susceptor-assisted microwave annealing. These can be categorized into the effect of microwaves, and the effect of the susceptor. Microwaves supply sufficient energy to surmount the high activation energy

needed for lattice damage repair and bring about dopant activation, without causing dopant diffusion, all in a short duration. For dopant activation of arsenic to be achieved, the As need to replace Si in the substitutional sites. Our ion channeling results also show that the dopant atoms now occupy substitutional lattice sites suggesting successful dopant activation.

The mechanism underlying the heating of the sample is microwave power loss. Microwave power converts into heat based on the property of the material defined as effective loss factor, which comprises conduction and polarization losses [41]. Depending on the dielectric constant of the sample, the power absorbed and the depth of absorption of the microwave radiation vary [42]. The power thus absorbed is converted into heat based on the specific heat capacity value of the sample. The sample in discussion is arsenic doped Si, As having a C_p of 326 J/Kg-K, and Si having a C_p of 710 J/Kg-K.

Apart from the dielectric constant, the dielectric loss factor of a material is another property that decides its absorption capability. Materials with high dielectric loss factor can absorb microwave radiation better. Thus, considering only the effect of microwave radiation, which provides volumetric heating, the power absorbed per unit volume is given by equation 1 as

$$\begin{aligned}
P_{\text{abs}} &= \sigma_{\text{eff}} |E|^2 \\
&= \omega \epsilon_0 \epsilon_{\text{eff}}'' |E|^2 \\
&= \omega \epsilon_0 \epsilon_r'' \tan \delta |E|^2
\end{aligned} \tag{1}$$

where E is the magnitude of the internal electric field, ϵ_{eff} is the relative effective dielectric factor, ϵ_0 is the permittivity of free space, ω gives the microwave frequency, σ_{eff} is the total effective conductivity, ϵ_r is the relative dielectric constant, and $\tan \delta$ is the energy loss required to store a given quantity of energy [43]. The above relation takes into effect the ionic conduction losses and dipole polarization losses which comprise the overall microwave loss mechanism responsible for absorption of the microwave energy. The ionic conduction losses, also called the ohmic losses arise from the movement of the free electrons available due to the presence of the arsenic dopant atoms, and the dipole polarization losses are as a result of the interaction between the vacancies and interstitials present in the sample. The conversion of the power thus absorbed, into heat based on the material properties follows the relation [44]

$$P = m C_P \frac{\Delta T}{\Delta t} \tag{2}$$

where m is the mass. In terms of the dielectric properties of the material, the change in temperature of the sample with time would be [42]

$$\frac{\Delta T}{\Delta t} = \frac{\omega \epsilon_0 \epsilon_r'' \tan \delta |E|^2}{\rho_{mass} C_P} \quad (3)$$

For a given material, the factor that can vary at a fixed frequency is $\tan \delta$ [45] which is the ratio of the dielectric loss with respect to the dielectric constant. For both a-Si and c-Si this reduces with temperature, as dielectric constant rises with temperature. Hence, in the heating curves of all the samples in this study, we observe that the temperature rises fast initially, and the dielectric constant increases [46] with time, saturating the temperature, as $\tan \delta$ reduces.

Microwave radiation provides volumetric heating [43] to the sample, limiting the depth of penetration to D_P which is the depth into the sample, at which the effect of the microwave field reduces by a factor 1/e, or the power absorbed is half as much as it is at the surface of the sample. D_P is given by equation (4)

$$D_P = \frac{3\lambda_0}{8.686\pi \tan \delta \sqrt{\frac{\epsilon_r''}{\epsilon_0}}} \quad (4)$$

With the extent of ion implantation damage caused by the energetic arsenic items during doping, the volumetric heating and the depth of penetration of the radiation into the sample is not sufficient to repair the damage by

nucleation followed by growth. Furthermore, arsenic being a high Z material requires a higher temperature to absorb the microwaves.

The advantages of microwave radiation can be applied to our As implanted Si samples and other high Z implanted samples, by including an additional assisting system, that can help the sample obtain a temperature where it can absorb the microwave radiation and further convert the power to heat. The susceptor surely pronounces its effect in the arsenic implanted Si samples, by acting as a source of additional heat, but does not negate the underlying impact of microwave radiation, hence making it an assisted annealing method.

3.5. Conclusion

Through this study, we were able to elucidate in detail how microwave loss mechanisms, high activation energies, and the susceptor, all combine to achieve an electrically active arsenic doped Si thin film layer. Susceptor assisted microwave annealing also proved better than the widely used RTA method, since it led to very low dopant diffusion across the film. With some more improvements, this technique would be a promising replacement in the semiconductor industry.

Chapter 4

RECRYSTALLIZATION OF ARSENIC AND *as*-IMPLANTED SILICON

4.1. Introduction

In order to compare the temperature profiles of a doped sample versus self implanted Si sample without the influence of a susceptor, an *as*-implanted Si with 75 keV implantation energy, and $2 \times 10^{15} \text{ cm}^{-2}$ Si^+ dosage was also chosen. Figure 4.1 shows a typical plot of temperature as a function of anneal time for a) 180 keV, $1 \times 10^{15} \text{ As}^+ \text{ cm}^{-2}$ implanted Si sample and b) 75 keV, $2 \times 10^{15} \text{ cm}^{-2}$ *as* implanted Si^+ , both samples annealed for 2 minutes without a susceptor. The anneal time in the study is defined as the duration between when the microwave is switched on and when the microwave is turned off. The temperature profile of these samples suggests that stand alone microwave heating is not sufficient for them to reach the required temperatures of around 600 °C as mentioned earlier since *a*-Si cannot absorb microwave energy at low temperatures [16], and necessitates the use of an additional heating material in the setup to enable the samples to absorb the microwave radiation.

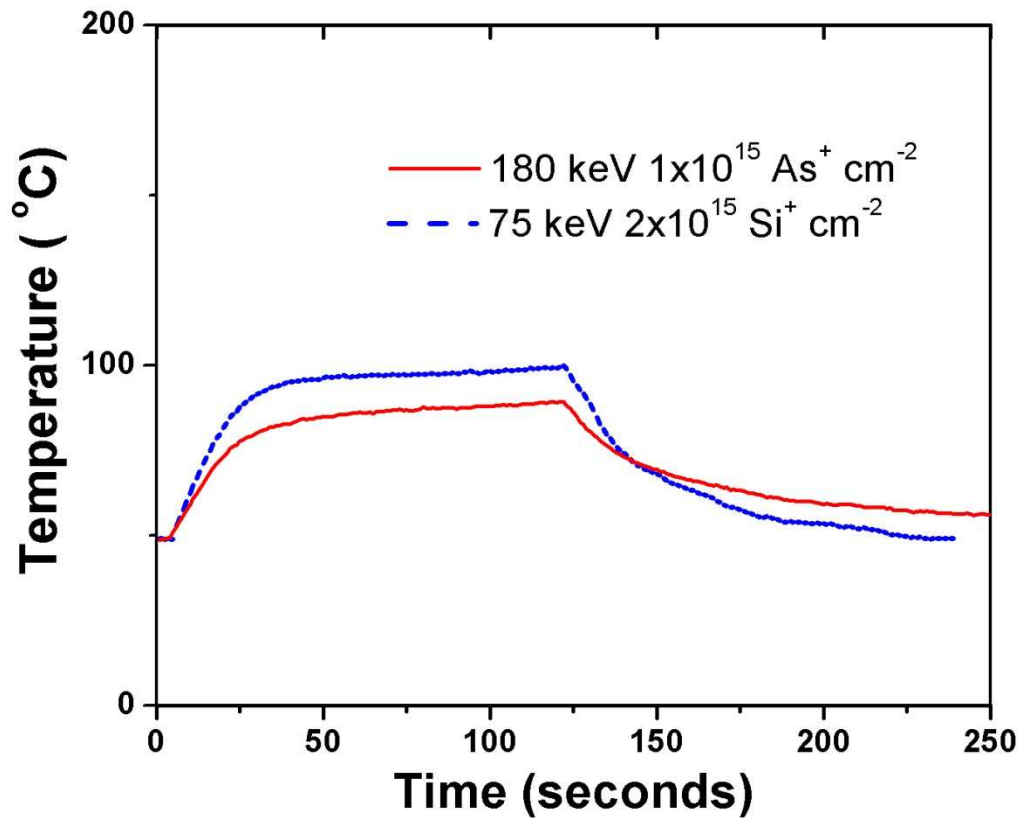


Fig. 4.1 Temperature vs time profile of As implanted Si and as-implanted Si without a susceptor.

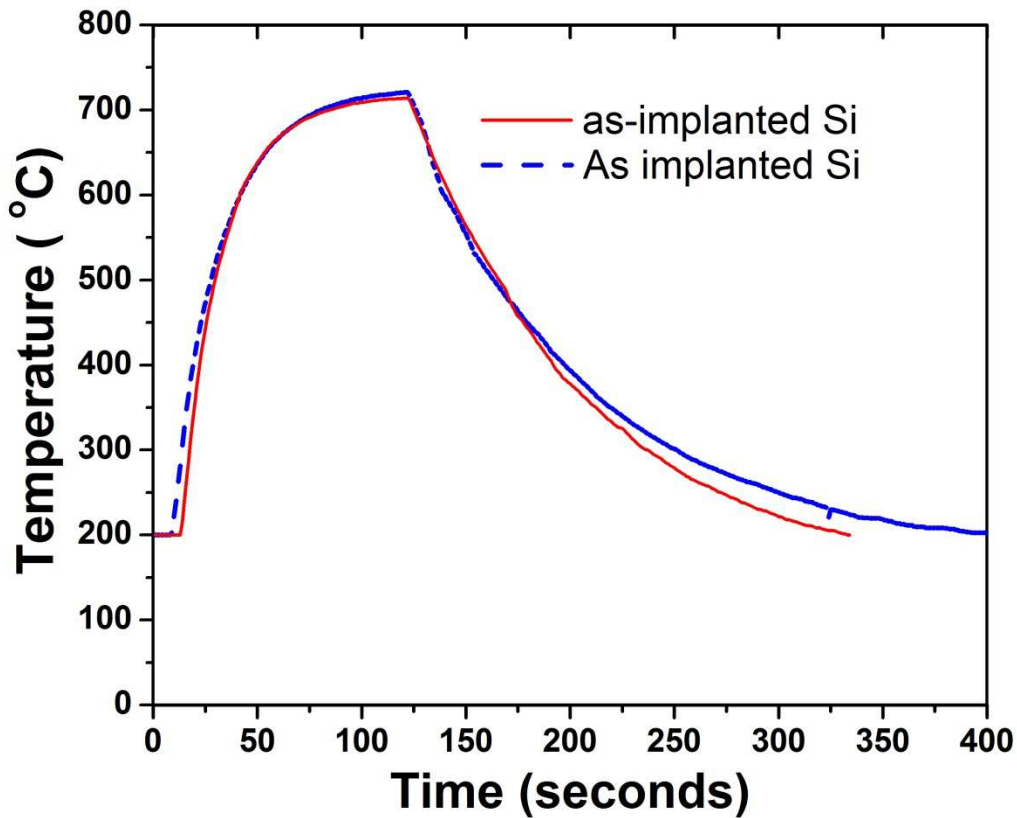


Fig. 4.2 Temperature vs time profile of As implanted Si and as-implanted Si with a susceptor.

The samples in discussion are arsenic doped Si and as-implanted Si, As having a C_P of 326 J/Kg-K, and Si having a C_P of 710 J/Kg-K. But an atom of Si heats to a higher temperature than an atom of arsenic for the same power absorbed, since Si weighs lesser than arsenic, and the mC_P (Si) < mC_P (As). The effect of C_P on heating rate without the assistance of a susceptor can be noticed in Fig.4.1. In order to create the same extent of damage as 180 keV $1 \times 10^{15} \text{ cm}^{-2}$ arsenic implanted Si, the as-implanted Si was formed by implanting with 75 keV $2 \times 10^{15} \text{ cm}^{-2} \text{ Si}^+$. The dosage [47] of Si^+ chosen produced the same depth of the

damage layer as did the As^+ implantation, and the energy required was in correspondence with the TRIM [48] simulated implant projection range (R_p) to provide a similar depth of damage within the sample. Figure 4.1 compares the heating rate of both these samples without a susceptor, and confirms our theory that with the same damage and negating the impact of the susceptor, the as-implanted Si^+ heats faster than as-implanted As^+ . The results presented in the figure also support our argument that materials with higher Z require assisted heating. For the same samples, when a susceptor was included in the anneal setup, the heating rates overlapped, suggesting that the effect of higher Z and differences in the factor mC_p have been overridden by the heat provided by the susceptor, as seen in Fig.4.2. The dielectric properties of the susceptor are responsible for this enhanced supply of heat to the mounted samples.

4.2. Structural Characterization

The as-implanted As^+ and the microwave annealed samples were characterized using several methods to test for dopant activation and film recrystallization. A Raman line scan was performed to determine the structure of the As^+ implanted Si pre and post microwave annealing. An argon laser with an excitation wavelength of 532 nm is focused onto the samples mounted underneath the optical microscope, through an Olympus 100×0.8 NA objective. The spectra from the sample are reflected into a Sopra 2000 2m double spectrometer by a 50% beam-splitter. A 532 nm notch filter blocks any scattered

light from the laser. The spectrum is dispersed and collected into a Princeton CCD Camera with an energy dispersion of 60pixels/cm. The Raman spectra collected from the CCD is calibrated as a function of intensity that depends on the time of exposure, against the relative wavenumber [35].

Ion channeling experiments were carried on for as-implanted Si samples with and without susceptor annealed for different times to verify once again if anneal without susceptor is capable of damage repair, and to compare the lattice damage repair by anneal with susceptor.

To observe the microstructure of the sample before and after annealing, cross-section transmission electron microscopy (XTEM) was performed using a Philips CM200 FEG TEM operated at a voltage of 200 kV. Enhancement of defect contrast was provided by 220 bright-field and dark-field imaging. TEM samples were prepared using a FEI835 focused-ion beam tool with a gallium ion-source.

4.3. Results

Raman spectra were obtained from as-implanted and annealed samples of $1 \times 10^{15} \text{ cm}^{-2}$ dose As^+ implanted with energy of 30 keV. In Fig.4.3 the 480 cm^{-1} broad peak is attributed to an amorphous Si layer in the unannealed samples. The Raman spectra of the annealed samples however, do not possess this peak, but instead possess a 520 cm^{-1} single crystal Si peak [16], indicating that crystallization of the as-implanted layer is not only initiated, but has been completed within 40 sec of the anneal. The smaller full width half maximum (FWHM) value implies well recrystallized Si which is the preferable outcome.

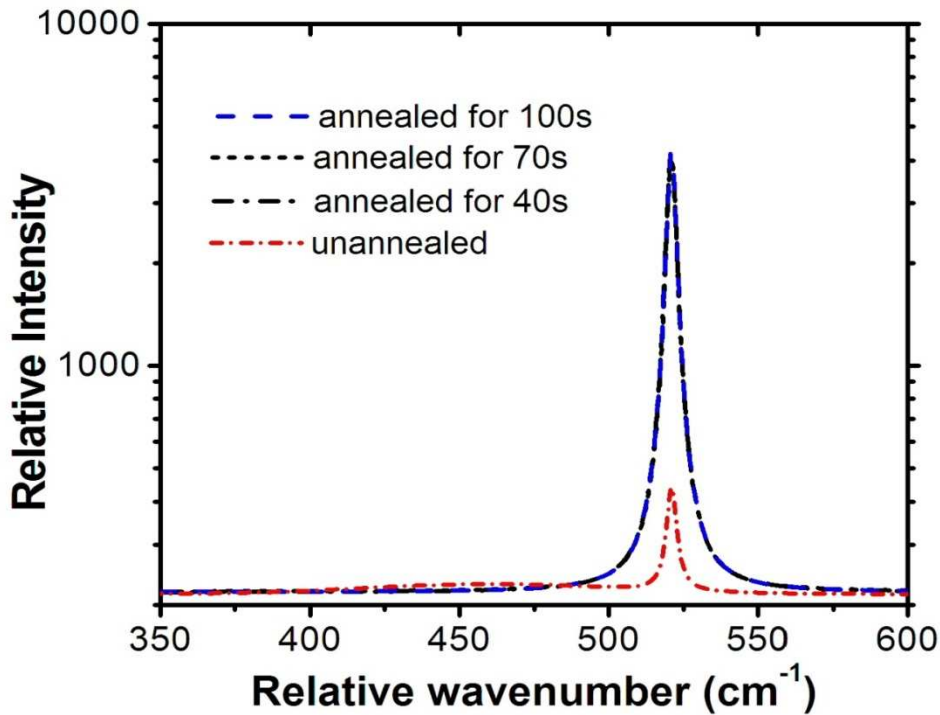


Fig. 4.3 Raman Spectra of 30 keV $1 \times 10^{15} \text{ cm}^{-2}$ As implanted Si after different anneal times.

Ion channeling of 75 keV $2 \times 10^{15} \text{ cm}^{-2}$ as-implanted Si was performed for samples annealed with susceptor for 2 minutes, and without susceptor for 6 minutes. The results as in Fig.4.4 once again prove that the susceptor brings about heating sufficient to recrystallize and repair the damage in the as-implanted Si, which cannot be achieved even after prolonged heating using the standalone microwave effect.

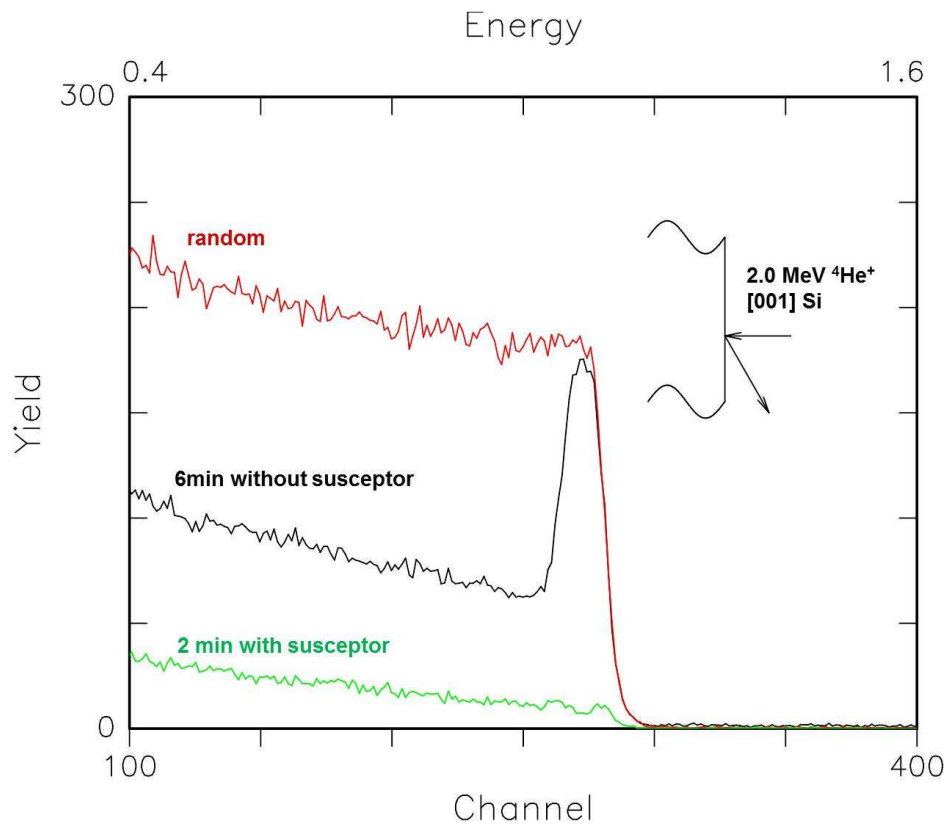


Fig. 4.4 Ion Channeling results of 75 keV $2 \times 10^{15} \text{ cm}^{-2}$ as-implanted Si after microwave annealing with and without susceptor. *random* profile suggests ion channeling results of an as-implanted sample in a random orientation. *6 min without susceptor* profile indicates channeling results of a 6 min annealed sample without susceptor, and *2 min with susceptor* suggests channeling results of the sample annealed for 2 minutes with susceptor.

The extent of recrystallization of the As⁺ doped Si surface can be viewed from XTEM images as shown in Fig. 4.5. The amorphous layer in the as-implanted sample is distinguished from the underlying crystalline layer, as a lightly shaded region. For the microwave annealed samples of 40 sec, an amorphous layer is no more visible, and this observation supports our Raman data that the amorphous layer has been completely recrystallized. A 70 sec annealing does not provide any better results, since complete recrystallization has already been achieved. A band of defects is however observed at the depth where the amorphous-crystalline silicon layer lies in the as-implanted samples. This may be due to the migration of the vacancies to the interface [20] while the surface is being recrystallized by regrowth [15] in epitaxial fashion over the crystalline Si layer underneath. Note that a 70 sec anneal does not provide any better results, since complete recrystallization has already been achieved.

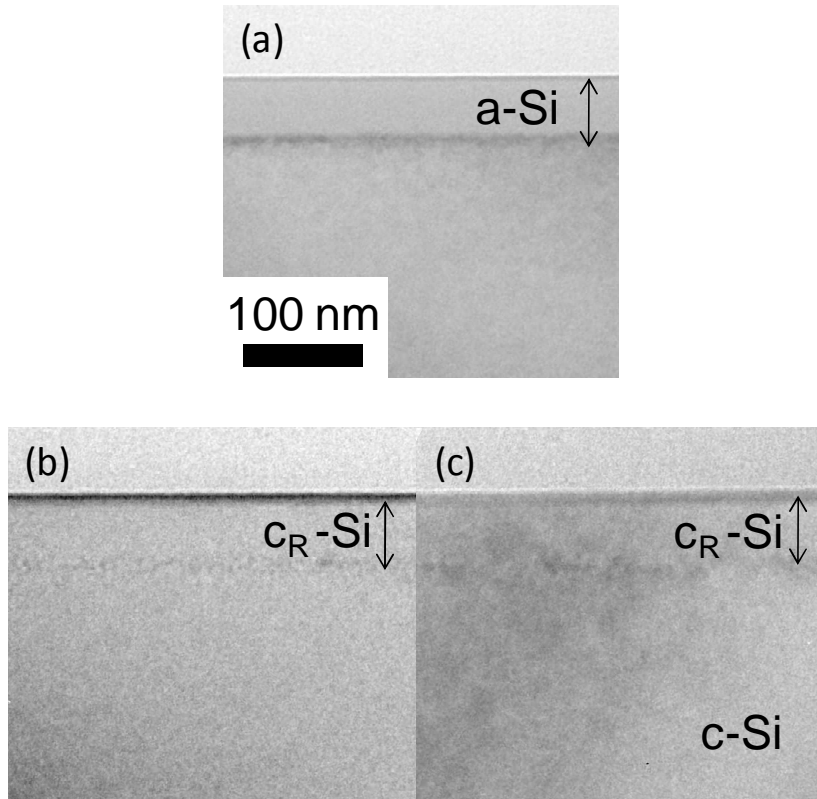


Fig. 4.5 XTEM images of Si implanted with 30 keV 1×10^{15} As^+ cm^{-2} (a) as implanted, (b) after 40 seconds microwave anneal and (c) after 70 seconds microwave anneal.
a-Si: amorphous Si; c-Si: crystalline Si substrate; c_R -Si: recrystallized Si region.

4.4. Discussion

Typically, a surface layer goes through incubation, before it can recrystallize due to regrowth from an underlying crystalline layer [49]. Microwaves are capable of reducing the incubation time required, hence achieving SPE in much lesser time. The advantage that microwave annealing possesses over conventional annealing is that the low temperature, which is achievable in a few seconds time (40 s in our current study), suffices for nucleation. Previous research to explore the application of microwaves shows that the interaction of the radiation with the atoms enhances diffusion properties [50] of the material. The increased diffusivity of the Silicon atoms not only provides recrystallization, but does this in a single phase, producing a single crystal from the amorphized layer [51] that has been affirmed by our Raman spectra results where the only peak observed other than a broad peak at 480cm^{-1} , is at 520cm^{-1} which is that of a single crystalline layer. The temperature of about $620\text{ }^{\circ}\text{C}$ appears to be the key factor responsible for damage repair, but it is not enough to remove the defect bands after SPE.

From our XTEM images, a longer period of microwave annealing does not suffice to remove the defect band, which could be the only drawback amongst all of our recordings. To repair the defect network, an anneal temperature of $950\text{ }^{\circ}\text{C}$ is required [20]. The defect band observed is as a result of coagulation of deep level interstitials that remain from the vacancy-interstitial annihilation [20, 52].

Arsenic has a tendency to form microclusters, causing interstitials to be freed, that then form dislocations at the depth of the arsenic implant damage.

The specific heat capacity of arsenic is lower than that of silicon, making it difficult to attain higher temperatures at even the surface level of the film to facilitate a higher nucleation rate. In previous research regarding microwave annealing of boron implanted Si wafers, nucleation was achieved without a susceptor, although the boron atoms had a high C_P of 1107 J/Kg-K, due to a low atomic mass of the atom and hence a much lower mC_P factor than Si, the power absorbed from the microwave radiation was sufficient to provide increased local temperatures, and hence greater rate of solid phase epitaxy equal to $2R_P$ [20]. However, the rate of solid phase epitaxial regrowth due to conventional heating methods [53] is given by

$$v \approx \frac{\Delta g_{ca} \delta \exp(-E_a/kT)}{h} \quad (1)$$

where Δg_{ca} , the free energy difference between the crystalline and amorphous phases is less than kT , δ is the distance across the interface between the two phases, and E_a is the activation energy responsible for SPE. But for arsenic implanted Si samples, high dielectric material needs to be included in the heating setup, which allows surface heating and not volumetric heating when absorbing microwave radiation. Surface heating causes a low D_P for the susceptor, which is

a SiC cylinder that was filed at the mounting surface to ensure the sample placed over it had maximum surface area contact. The sample was then placed face up over the flat surface of the susceptor. This promotes the nucleation of the crystalline phase upwards at the interface through the amorphous layer of the film, because of the high upward flux of heat [54] from the susceptor. The upward heat flux of this high temperature, then increases the local temperature of arsenic atoms at the interface of crystalline and amorphous silicon, and provides a higher nucleation rate to the sites, just as the metal impurities do in the MIC annealing method. Equation 1 gives a lesser SPE rate compared to nucleating rate of twice the projected implant range *i.e.*, $2R_P$ [55, 56] achieved by microwave annealing, making the latter preferable.

4.5. Conclusion

The susceptor is not only successful in providing the advantage of higher temperature and higher SPE growth velocity, but it does so without the addition of metal impurities into the samples. The rate of regrowth is also much higher compared to regular regrowth method. The studies suggest that the susceptor assisted microwave annealing can be a suitable alternative post implantation processing technique with improvements on magnetron used in the microwave cavity and further care in choosing the ambient.

Chapter 5
KINETIC STRESS TESTING AND INFLUENCE OF LONG TIME ANNEALS ON
THE BEHAVIOR OF IZO TFTs

5.1. Introduction

Metal oxides such as ZnO have gained attention over past few years in conductive device applications and transparent electronics. Furthermore, mixed oxides like indium-zinc oxide and indium-gallium-zinc oxide have been explored as alternatives to the amorphous Si active layers in thin film transistors. Owing to the promising optical properties along with high mobilities, fabrication of transparent electronics has become a research area that has garnered the interest of many scientists. As a result of the mechanical stability of the mixed oxide based structures, devices have been successfully incorporated onto flexible substrates. To enable fabrication of TFTs on flexible substrates, the processing temperatures are required to be low which result in increased defect densities within the bandgap. Regardless of the mechanical stability, higher defect density could lead to degraded performance of the devices. Extensive work has been done to investigate the instability in ZnO based TFTs [57-61]. At higher operational temperatures, these defects can be thermally activated and alter the device characteristics [62]. This is an important effect that needs to be considered in industry applications of the TFTs, since large area electronics can generate considerable heat within the device due to dissipation of power over a continued period of time.

In this study, we have performed standalone gate bias and elevated substrate temperature stressing, and combined elevated-temperature bias stress testing for 10^4 seconds. Temperatures considered to observe thermally activated defect behavior are 20, 50, and 80 °C. The elevated temperature electrical stress testing or *kinetic* stress testing elucidates the failure of the device, and the defects responsible for the subthreshold slope changes, threshold voltage shifts, and the *dip* and *kink* behavior of the device characteristics. The phenomenon of the devices demonstrating two current trends beyond the *on* voltage region is defined as a *kink* for convenience throughout this study.

Several post processing techniques have been suggested to combat the issue of the increased defect densities in TFTs [63-64]. Anneals at temperatures greater than 200 °C have been performed for shorter durations (as little as 30 minutes) to cure defects created during fabrication. But TFTs that have been fabricated over flexible substrates or structures that have an encapsulation layer over the active channel layer cannot be annealed at such high temperatures. Furthermore, crystallization of the channel is usually achieved at higher temperatures and does not provide benefits over the unannealed amorphous metal oxide active layer in terms of mobilities or stability. Previous high temperature anneal studies have shown that recrystallization can decrease the mobility in the active channel layer [65]. A low temperature, long hour anneal has been suggested as an alternative post process technique to cure the defects created during low temperature fabrication [66]. The samples have been

annealed at 150 °C for 12, 24, 36, 48, and 60 hours , and tested for 10^4 seconds under bias-only and elevated temperature electrical stress conditions. This study further details the endurance of the TFTs to extreme stress conditions before and after low temperature long time anneals and suggests optimum anneal conditions under which defect density can be minimized.

5.2. Experimental Details

The cross section of the bottom gate staggered structure IZO TFTs tested in this study can be seen in Fig. 5.1(a). The TFTs have been fabricated at 180 °C on a 300 mm Si wafer. Molybdenum has been used as the gate metal and is deposited onto the substrate by sputtering. After patterning of the gate, the gate dielectric SiO_2 is deposited at 180 °C using plasma enhanced chemical vapor deposition at power density of 0.43 W/cm^2 and a pressure of 1.5 Torr. This is followed by deposition of 50 nm thick channel layer (IZO) from a target of In:Zn ratio of 40:60, by sputtering at RF power of 300 W and pressure of 16 mTorr. The gas ratio used for channel layer deposition was 1:50 parts oxygen with argon gas (3.7 sccm O_2 : 185 sccm Ar). Another layer of SiO is deposited to form the passivation layer. SiO deposited using afore mentioned conditions also forms the etch stopper. The gate oxide, channel layer, and passivation layer are patterned using photolithography for source/drain metal deposition. Finally, Mo is sputtered and etched using an AMAT 8330 dry etcher to form source/drain electrodes. The W/L of devices is 110/11.

These devices are then annealed for 1 hour in N₂ atmosphere at 180 °C. Since the maximum fabrication temperature used is 180 °C, the process is compatible with flexible polymer substrates. The TFTS have been post-fabrication annealed in air at 150 °C for different times (12, 24, 36, 48, and 60 hours) before application of electrical stress. The stability and transfer characteristics of these TFTs are aged at elevated temperatures of 20, 50, and 80 °C while simultaneously being stressed with a positive gate bias of 20 V for up to 10⁴ seconds with the source and drain electrodes grounded.

5.3. Results and Discussion

Over time, transistors can succumb to electrical, thermal stresses, and stresses due to other environmental factors (light, humidity, *etc.*). This degradation in performance is reflected in the transfer characteristics as a subthreshold swing (*S*) degradation, shift in threshold voltage (*V_t*), or increase in concentration of trapped charges across the bandgap of semiconductor, denoted in the schematic in Fig. 5.1(b). The individual phenomena are represented with respect to transfer characteristics of an ideal as-fabricated TFT. The behavior of the IZO TFTs under electrical bias and elevated temperatures is studied from the transfer characteristics obtained by using the HP 4155B semiconductor parameter analyzer, maintaining a 10 V drain bias, and sweeping the gate voltage from -20 V to 20 V.

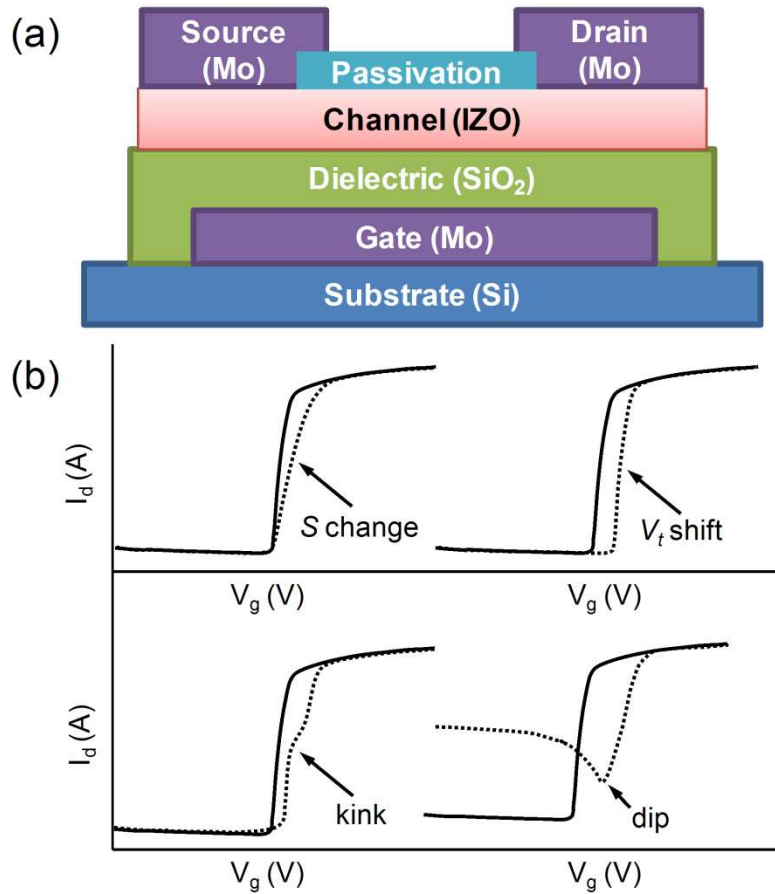


Fig 5.1 a) Schematic of the TFTs detailing b) subthreshold degradation, threshold voltage shift, kink, and dip in the transfer characteristics, with respect to unstressed TFT behavior.

Figure 5.2 shows the transfer characteristics of the electrically stressed IZO TFTs without any post-processing anneal performed on them. The transistor *on* voltage shifted by 2 V to the right with no significant change in the subthreshold swing, suggesting that prolonged electrical bias does not lead to creation of excessive traps at the semiconductor/dielectric interface [67].

Fig. 5.2 Transfer characteristics of the as-fabricated TFT under 10,000 seconds of 20 V gate stress.

In order to understand the effect of electrical bias at elevated temperatures, the substrates were subjected to heating for 10^4 seconds at 50 and 80 °C, with the field effect mobilities and the sub threshold swings demonstrating minor variations. The onset of device degradation was determined by the instability in the *off* region of the transistors as seen in Fig. 5.3. The phenomenon depicted in Fig. 5.3 is thermally assisted; where with time, the number of carriers that are contributed by electron-hole pairs increase (~ 3,000 seconds for a 50 °C heat treatment), increasing the *off* currents to orders of 10^{-7} A. Thermally excited holes from the trap states tend to accumulate near the source region over time, and reduce the barrier for hole and electron transport at the source-channel

interface leading to increased I_{off} . The hole and electron transport can be as a result of field as well as thermionic emissions, as can be seen in Fig. 5.4. But this behavior is temporary, since excess carriers generated due to thermal activation recombine at defect states in proximity of the valence band, or the excess carriers (holes/electrons) are trapped due to reduced carrier lifetime under the presence of high density of defects.

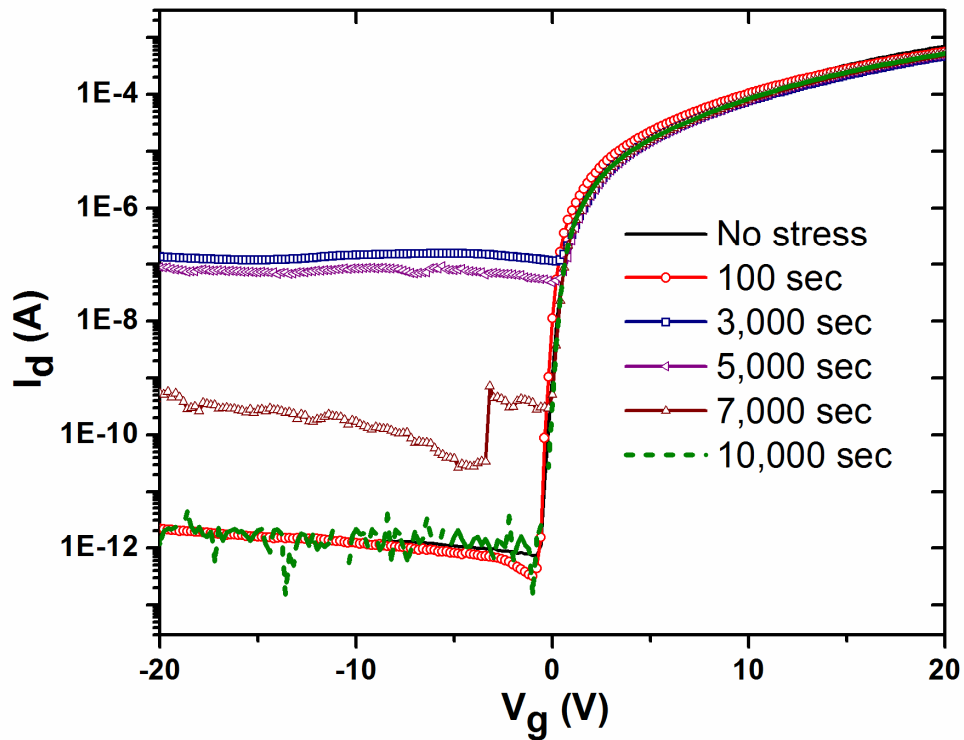


Fig. 5.3 Transfer characteristics of TFT under 50 °C thermal stress.

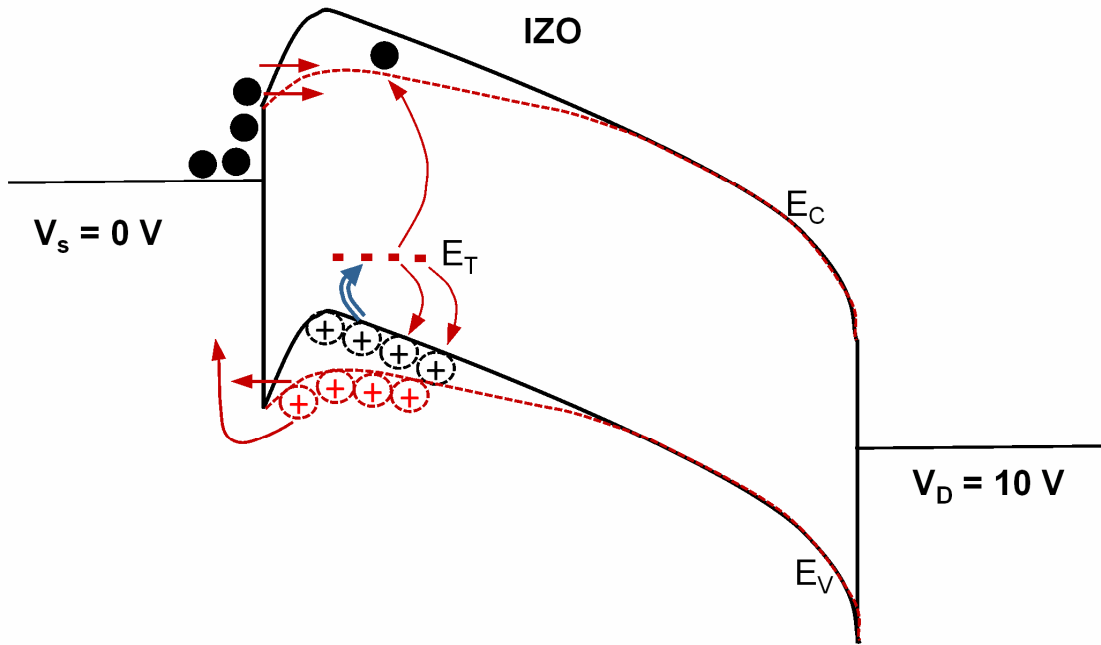


Fig. 5.4 Band diagram representation of barrier lowering for increased hole and electron transport under prolonged thermal stresses.

Unlike the recovery behavior demonstrated by TFTs under $50\text{ }^\circ\text{C}$ thermal stresses, the excess carriers contributing to I_{off} under $80\text{ }^\circ\text{C}$ thermal stress do not recombine/ get trapped at the defect states, due to increased activation energy provided to surmount these readily occurring phenomena. During the $80\text{ }^\circ\text{C}$ thermal stress testing, with a slight increase in *off* current, there is also a kink at the transistor *off-on* transition at the onset of degradation [68] as observed in Fig. 5.5.

As a result of the kink, the transistor's *on* voltage shifts towards lesser values of V_g ; but once the device is near the *on/* near-saturation region, it

continues to follow the characteristics of the thermally unstressed TFT. For thermal stress duration of over 2,000 seconds, the *off* currents rise to values of the order of *on* currents, and the TFTs appears to be in a pseudo-*on* state across the negative and positive V_g sweep. For the sake of nomenclature, any *off* I_d greater than 10^{-8} is considered to be transistor's *on* current, in other words the transistor is said to be in the pseudo-*on* region. The early subthreshold behavior or the kink is due to the deep level states near the $\text{SiO}_x/\text{a-IZO}$ interface as depicted in the band diagram schematic in Fig. 5.6.

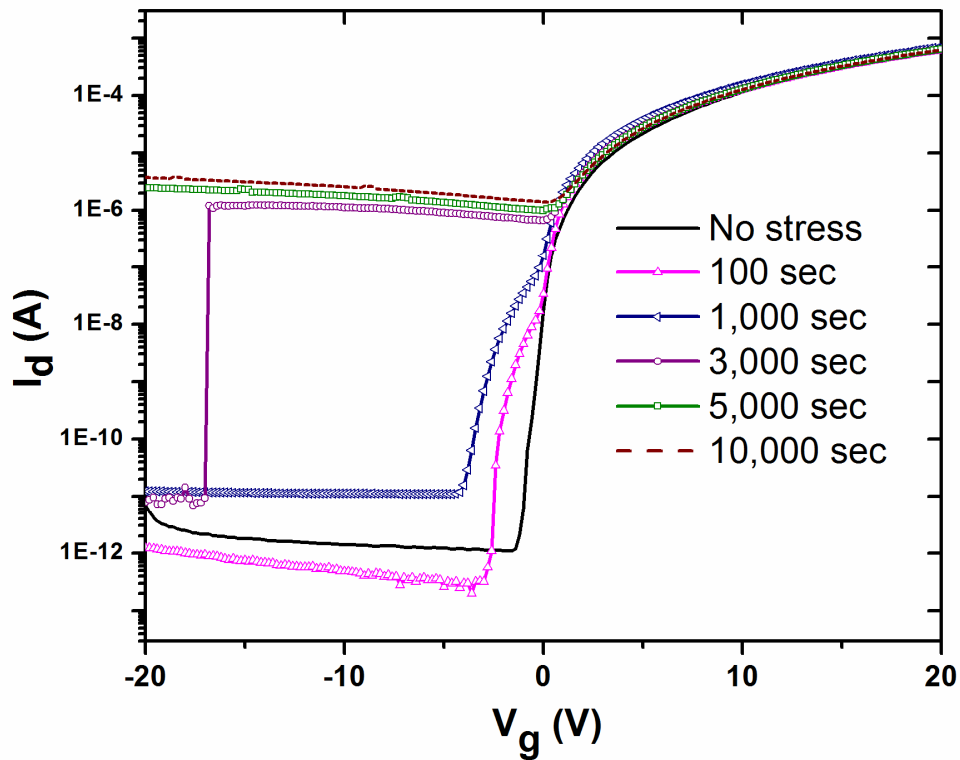


Fig. 5.5 Transfer characteristics of TFT under 80 °C thermal stress.

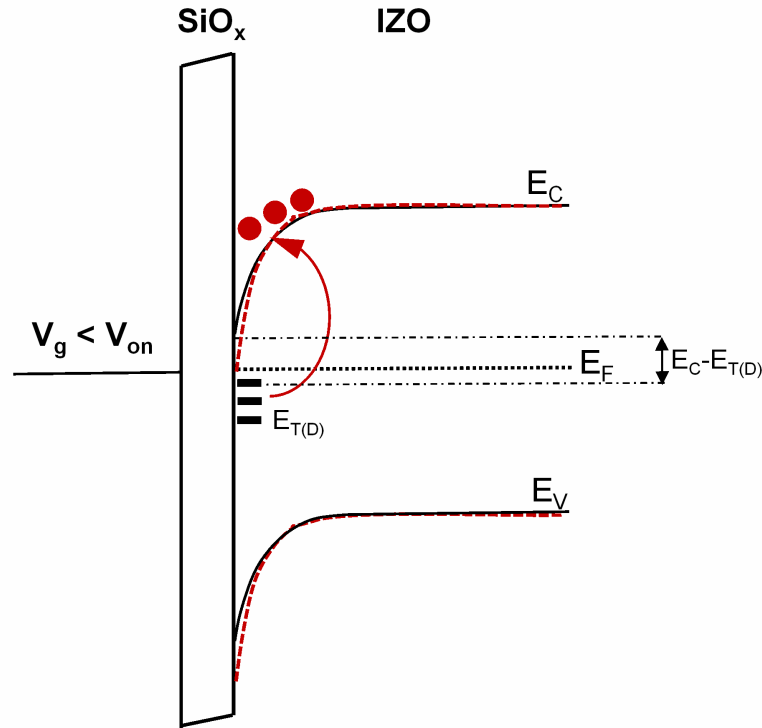


Fig. 5.6 Band diagram representation of thermal excitation of deep-level donor like trap states ($E_{T(D)}$) at 80 °C.

In the reverse-subthreshold region, the filled neutral donor-like trap states are thermally excited overcoming the $E_C - E_{T(D)}$ barrier near the dielectric/channel interface resulting in further band bending, to form positively charged states and contributing electrons during the process. This band bending converts a small density of donor-like states close to the Fermi level into acceptor-like states. The excess electrons temporarily lower the electron barrier causing the kink. This phenomenon is prevalent until the excess carrier generation due to thermal energy becomes greater than excitation of charges from deep-level donor-like trap levels, after which the *off* currents increase, and the kink cannot be observed from the transfer characteristics.

When the TFTs are electrically stressed at elevated substrate temperatures, the onset of device failure is suggested with the I_d instability in the transistor *off* region. This happens as quickly as 100 seconds for transistors under 20 V gate stress at 50 °C as seen in Fig. 5.7 . The instability implies rapid generation and recombination of electron-hole pairs. Beyond the 100 second period, a dip in the drain current is observed before the transistor turn-*on* region. This dip increases with increasing stress durations due to the increased density of charges in the acceptor-like trap states [69-71], owing to the combined effect of temperature and the electric bias. The effect of kinetic stresses can be understood when individual stresses are studied. The prolonged positive voltage stresses cause charge trapping in dielectric, and state creation in the channel, leading to a positive threshold voltage shift, which can be clear if the I_{on} values near *off-on* transition in Fig. 5.7 are extrapolated back to orders of 10^{-12} A. The simultaneous thermal stress reduces the barrier for hole and electron transport for increased I_{off} as discussed earlier. However at the *off-on* transition of the TFTs where the dielectric properties are crucial, charges in acceptor-like trap states are thermally excited and the electrons combine with the excess holes contributing to I_{off} , hence creating the *dip* in the currents before the TFT turns *on*. For a similar electrical stress test at 80 °C (as seen in Fig. 5.8), the transistor demonstrates a kink since the donor-like trap states emerge.

This continues until the point of device degradation with the excess carrier generation (high I_{off}). Once the stress conditions are removed and the transistors are allowed to stand alone for 24 hours, the TFTs recover from the *dip* to an extent. However these transistors are still in a highly degraded state due to their high *off* currents.

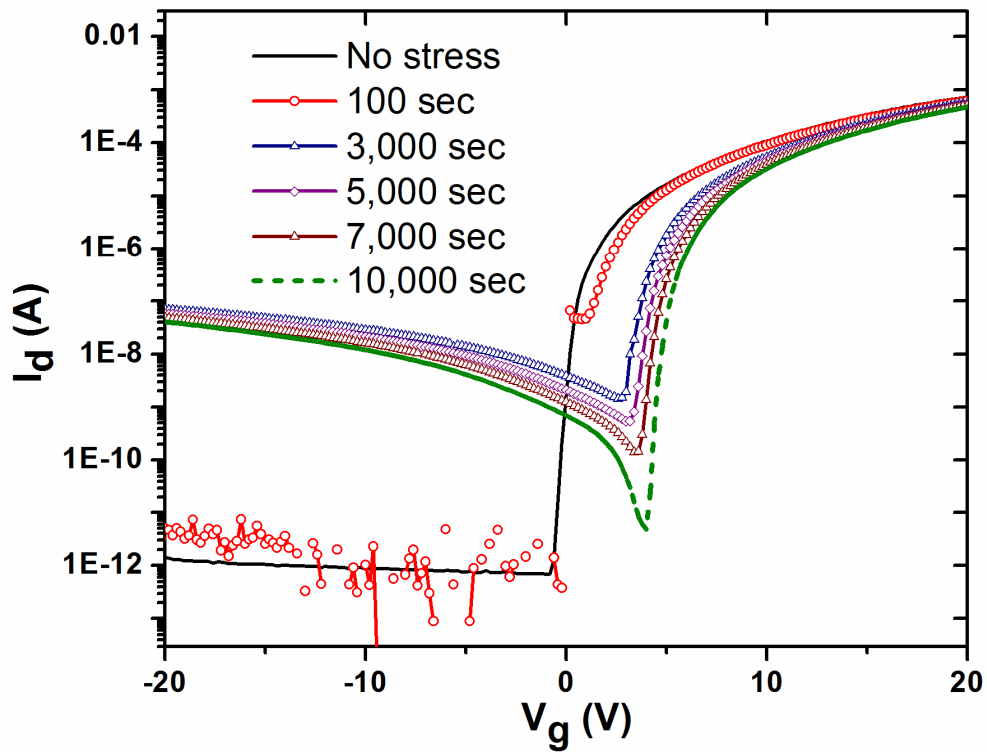


Fig. 5.7 Transfer characteristics of TFT under 50°C thermal stresses and 20 V gate stress.

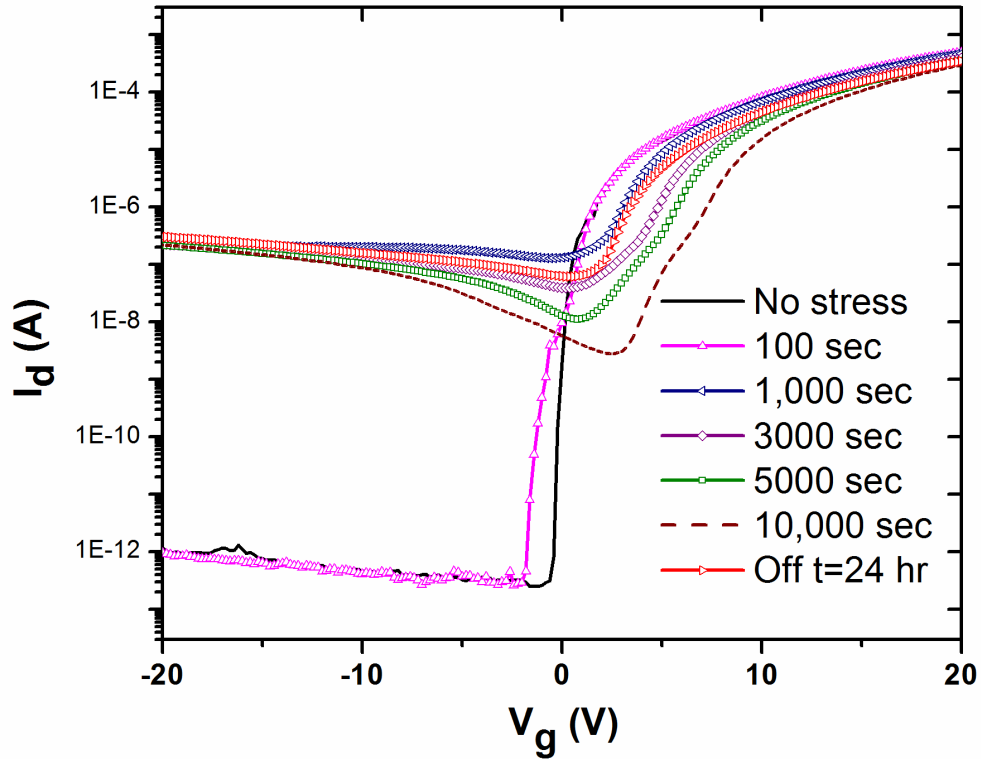


Fig. 5.8 Transfer characteristics of TFT under 80 ° C thermal stresses and 20 V gate stress.

Since most failure mechanisms encountered in this study are due to trapping of charges, electron-hole pair generation, or excitation of carriers from the defects in the bandgap, long time low temperature anneals are performed at 150 °C to verify if the defects can be cured and the quality of the TFTs can be improved. The annealed samples are preliminarily tested for their behavior only under electrical stress testing. The shift in threshold voltage does not follow a continuous increasing or decreasing trend. Instead it shows the least V_t shift for the 12 hr anneal, and then the 48 hr anneal TFTs. Interestingly, the mobility shift also follows a similar trend, with the 12 hr and 48 hr annealed TFTs undergoing

the least mobility changes after 10^4 seconds electrical stress test, as seen in Fig 5.9.

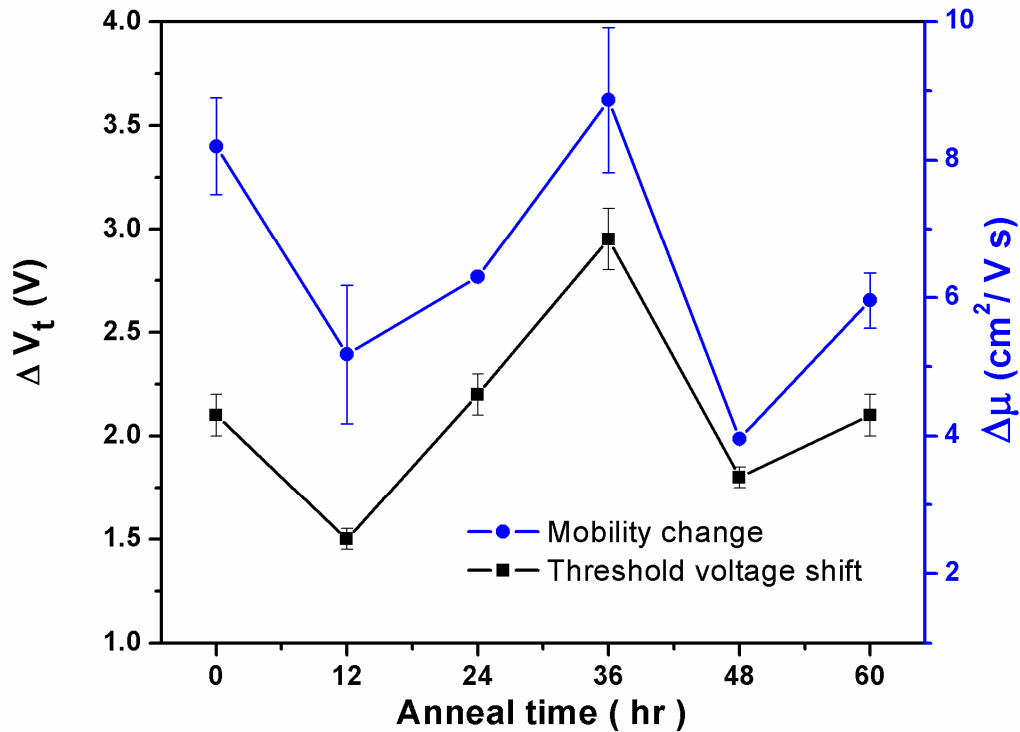


Fig. 5.9 Field effect mobility shift and threshold voltage shift of the annealed TFTs, before and after $10,000$ seconds of bias stress.

In earlier studies [72], mobility changes have been associated with recrystallization of the channel layer at a temperature (~ 350 °C) lower than the crystallization temperature needed, due to the prolonged anneal step that provides sufficient thermal energy for crystallization. The X-ray diffraction technique was used to assess the crystallization in the thin films of IZO annealed under similar conditions as the IZO TFTs (150 °C). Figure 5.10 depicts the onset of crystallization due to the appearance of the ZnO (100) peak identified using JCPDS card 89-1397 [73] post 60 hr anneal. Although the films begin to

crystallize, the crystal reordering is incomplete and insufficient to cause any pronounced mobility changes. However, the peculiar parallelism in mobilities and threshold voltage shifts with anneal times suggests that detail work is entailed on the properties of individual layers of the TFT stack.

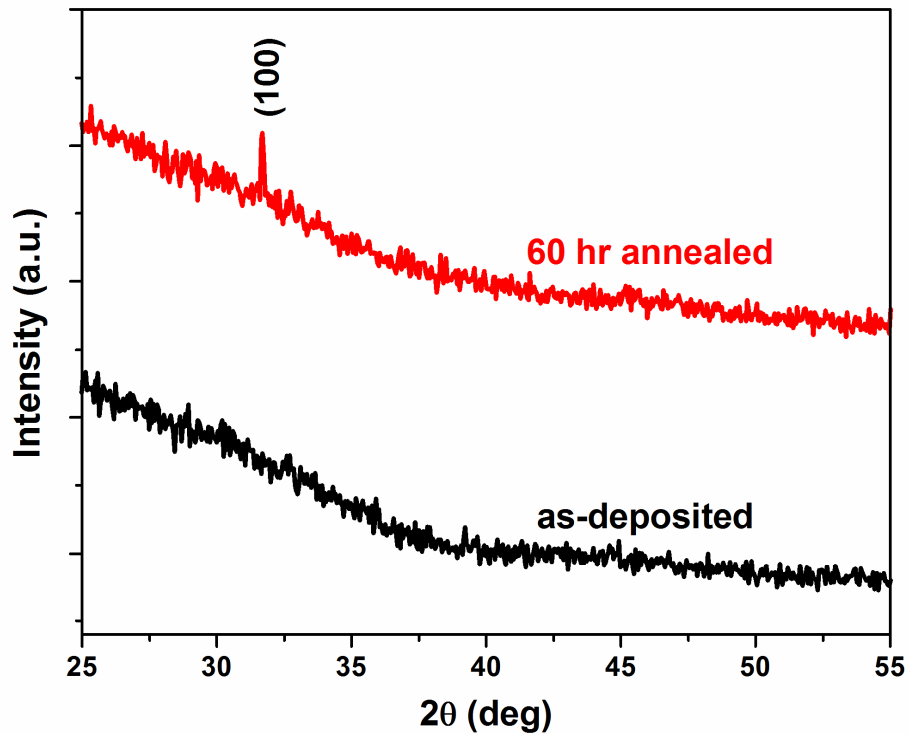


Fig. 5.10 XRD patterns of as-deposited and 150 °C annealed IZO thin films.

To observe if the long time anneal can improve the combined temperature and electrical stress endurance of the TFTs, the annealed device structures were subjected to kinetic stresses at 20 V gate voltage and 50 °C substrate temperature for 10^4 seconds. Figure 5.11 represents the transfer characteristics of the 12 hour annealed TFT under kinetic stress. The point of extensive degradation of the devices post 12 hr anneal occurs at similar stress levels as the unannealed device (at 300 sec); however, the extent of dip in the annealed

samples is reduced. This implies that the density of acceptor-like trap states have decreased; but, the 12 hr anneal is insufficient to improve the stability of the TFTs.

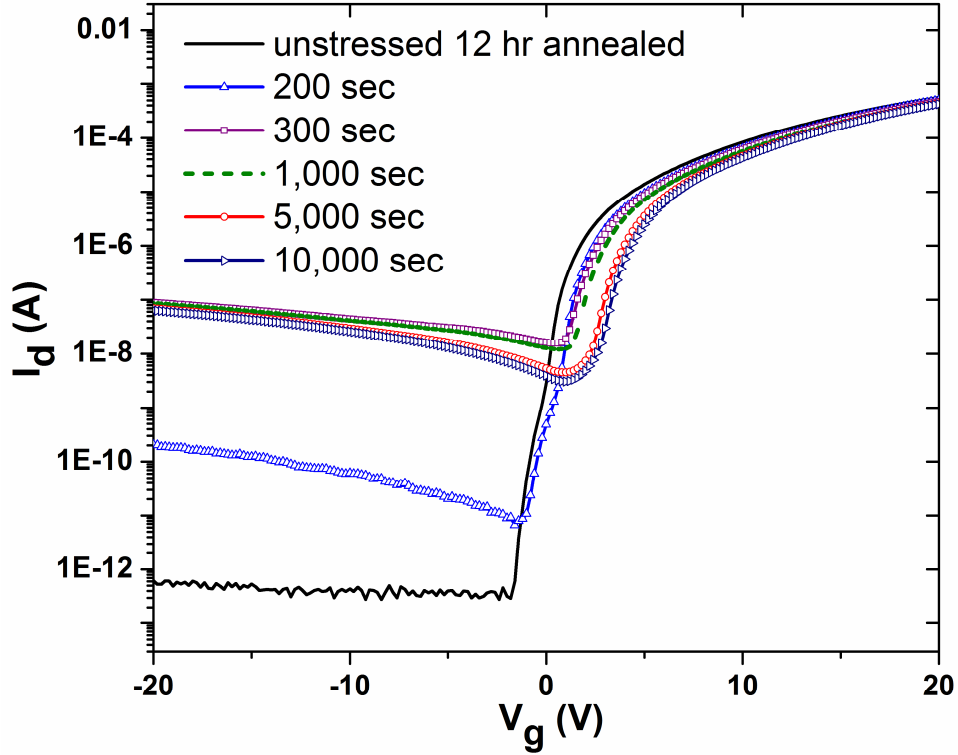


Fig. 5.11 Transfer characteristics of the 12 hr annealed TFTs under kinetic stresses at 50 °C and 20 V gate stress.

The density of interface trap states $N_i(E)$ at any energy level within the bandgap of the semiconductor can be given by [74]-[76]:

$$N_i(E) = \int_{E_t}^{E_t+\Delta E} (g_A(E) + g_D(E))dE \quad (1)$$

where $g_A(E)$ and $g_D(E)$ are density of acceptor-like traps and donor-like traps respectively, at energy E within the bandgap.

The charges trapped in acceptor-like states near the transistor threshold region are greater in concentration than the charges trapped in donor-like states, due to the dip in the *on* current. Hence, relation (1) can be rewritten as [77,78]

$$N_t(E) \cong \int_{E_t}^{E_t+\Delta E} g_A(E)dE = N_{ta} \times f_1(E) \quad (2)$$

where N_{ta} is the total density of acceptor-like traps, and $f_1(E)$ is a Gaussian or exponential function representing $N_t(E)$ as a function of peak energy of states and energy E . The total density of trapped charges, N_t , in the bandgap can be obtained by integrating the expression (2) over the entire bandgap [79]:

$$\begin{aligned} N_t &= \int_{E_v}^{E_c} N_t(E) \times f(E)dE \\ &= \int_{E_v}^{E_c} N_{ta} \times f_1(E) \times f(E)dE \end{aligned} \quad (3)$$

where $f(E)$ is the probability of a trap state being occupied.

The acceptor-like trapped charges influence the transistor *on* current (see Appendix for derivation) as follows [80-82]:

$$\begin{aligned} \frac{I_d}{V_g} &= W \left(\frac{V_d}{L} \right) C_{ox} \mu_0 \exp(-E_B / kT) \\ E_B &= \frac{q^3 N_t^2 t}{8 \epsilon V_g C_{ox}} \end{aligned} \quad (4)$$

where the value of $\frac{I_d}{V_g}$ can be obtained from the transfer characteristics, and is proportional to the exponential prefactor in equation (4). When the data from Figs. 7 and 11 is modeled to fit the equation (4), the slope of the relation between

$\log_e \left(\frac{I_d}{V_g} \right)$ and $\left(\frac{1}{V_g} \right)$ indicates that the density of trap states have reduced by a factor of 0.42 ($N_t (12 \text{ hr anneal}) = 0.42 \times N_t (\text{unannealed})$) post 12 hr anneal, *i.e.*, N_t drops by 58 %.

The 24, 36, 48, and 60 hr annealed samples are tested similarly; however only the TFTs annealed beyond 48 hours demonstrate considerable stability, while the 24 and 36 hr annealed structures fail over a certain period of stress. As seen in Fig. 12, the 48 hr annealed device did not undergo failure (from high I_{off}) even after 10^4 seconds of kinetic stress test. The *on/off* I_d ratio only drops from 5×10^8 to 5×10^7 with reduced kink for increasing stress times when compared to a degraded *on-off* ratio of around 10^4 . The density of acceptor-like traps cannot be discerned from Fig. 5.12 due to the absence of a dip in the transfer characteristics which suggests that the 48 hr anneal has reduced the concentration of charges in acceptor-like states, and by extension the density of acceptor-like states itself, significantly. The TFTs annealed for 60 hr (not shown) reveal a superior performance from the 48 hr annealed devices, confirmed by an absence of dip and kink phenomena under kinetic stress tests. This enhanced stability at an elevated temperature suggests that the density of defects that can be excited due to thermal activation has been substantially reduced.

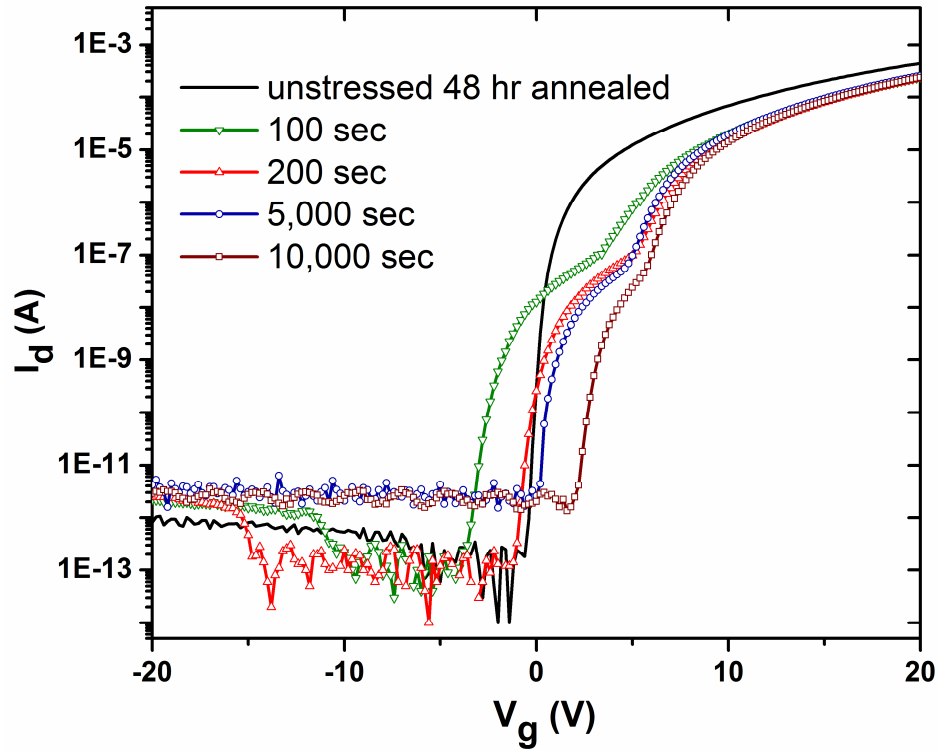


Fig. 5.12 Transfer characteristics of the 48 hr annealed TFTs under kinetic stresses at 50 °C and 20 V gate stress.

5.4. Conclusion

Annealing of the TFTs at temperatures lower than the fabrication temperature, for over 48 hours in air ambient has improved stability of the devices considerably when subjected to both thermal and electrical stresses. The thermally activated carriers, and the donor-like and acceptor-like trapped charges that are prevalent due to electrical stress under high operating temperatures, are reduced almost entirely due to corresponding defects and trap states being cured with the prolonged heat treatment, thereby enhancing the endurance of the devices in severe working ambience. Optimum anneal temperatures and times

have been chosen to cure the defects rampant from the low temperature fabrication, without crystallizing the channel. This is a preferable outcome, since amorphous metal oxides possess better semiconducting properties as channel materials than crystalline metal oxides. The 48 hr annealed samples have also demonstrated the best mobility stability and threshold voltage stability when subjected to a 20 V gate bias, second to the 12 hr annealed devices. However, the 12 hr anneals are inadequate to stabilize the TFT performance under thermal stress. The onset of reliable device behavior is observed for TFTs annealed for over 48 hours. This study also elucidates the phenomena responsible for the threshold shift, the kink, and the dip in the device characteristics to gather a better understanding of the measures necessary to address the type of defects responsible effectively.

5.5. Appendix

As mentioned earlier, in amorphous or poly-crystalline materials, there is an increased density of defects within the bandgap that can be excited to contribute towards excess carriers. Following the thermionic theory of current the mobility in amorphous channel material can be expressed as:

$$\mu = \mu_0 \exp(-E_a / kT)$$

The activation energy for carriers/donors within the bandgap (E_a), can be approximated as the barrier height for defects (E_B). The drain current for TFTs in the linear region is given as:

$I_d = \mu_0 W \left(\frac{V_d}{L} \right) V_g C_{ox}$, which for amorphous materials is:

$$\frac{I_d}{V_g} = W \left(\frac{V_d}{L} \right) C_{ox} \mu_0 \exp(-E_B / kT)$$

The barrier height of the defects at the interface is derived as [80, 81]:

$$E_B = \frac{q^2 N_t^2}{8 \epsilon N_G}$$

N_G is the gate induced charge concentration per area, as a result of the positive gate voltage which reduces barrier to interface states, essentially contributing donors to the conduction. Hence:

$$N_G = \left(\frac{C_{ox}}{t} \right) \frac{V_G}{q}$$

The drain current for amorphous channel based TFTs can therefore be written as:

$$\frac{I_d}{V_g} = W \left(\frac{V_d}{L} \right) C_{ox} \mu_0 \exp(-E_B / kT)$$

where $E_B = \frac{q^3 N_t^2 t}{8 \epsilon V_g C_{ox}}$

Chapter 6

INVESTIGATION OF DEFECT GENERATION AND ANNIHILATION IN IGZO TFTs DURING PRACTICAL STRESS CONDITIONS: ILLUMINATION AND ELECTRICAL BIAS

6.1. Introduction

Metal-oxide based thin film transistors (TFTs) fabricated at low temperatures are an attractive replacement for previous generation a-Si:H TFTs due to their higher mobilities and improved optical transparency in the visible region. But before they can supplant other well developed a-Si:H based active electronics, extensive research on the stability of the amorphous metal oxide transistors is necessary. Although bias stress testing provides knowledge of factors that may alter the characteristics of the transistors, failure and stability tests are incomplete without taking into consideration the impact of different wavelengths of light (which are an integral part of the active backlight of any display electronics [70,83,84]) that drastically drive the transistors into failure upon continuous illumination. TFTs based on a-Si:H active regions have been used in back-panels of LCD technology extensively; however, this technology needs replacement due to threshold voltage instability upon bias stress of only a few hours [85, 60]. Metal-oxide based active channel regions have been suggested for the fabrication of TFTs and have shown much better threshold voltage stability under both positive and negative bias stresses [60]. However the effects of photo-induced phenomena on the TFTs have not been studied in

detail. This is especially necessary since the metal-oxide TFTs are found to have an application in transparent thin film devices owing to their optical transparency in the visible region of the light spectrum. Several researchers have tried to study the effect of UV radiation [25, 86-90], but stability testing in real-life applications would have to consider wavelengths in the visible spectrum. In this study, we have exhaustively investigated instability in mixed oxide based TFTs due to bias stress, illumination, and the combination of both.

6.2. Experimental Procedure

IGZO TFTs were fabricated as bottom gate staggered n-type enhancement mode devices with the cross-section shown in Fig. 6.1. The substrate used is a 300 mm silicon wafer with a thin layer of SiN. The gate metal is 150 nm thick molybdenum and is deposited using a sputtering process in a DC sputter system. After patterning the gate layer, a stack of SiO_x, IGZO, and SiO_x is deposited in series. A 200 nm silicon oxide deposited layer forms the gate dielectric. The 50 nm thick channel material IGZO with 99.99% pure InGaZnO₄ is deposited at an RF power of 100 W and a chamber pressure of 10 mTorr at 80 °C and a 100 nm intermetal dielectric (IMD) SiO_x is deposited at 180 °C. The IGZO layer is patterned and the source–drain metal (moly) is sputtered to form the source and drain contacts of the TFT. A final step involves deposition of SiN, to act as a passivation layer. After the etch step, called the overglass etching process, the entire wafer is annealed at 200 °C for 1 hour and this is the

maximum process temperature used which is compatible with TFT fabrication on plastic substrates such as PEN.

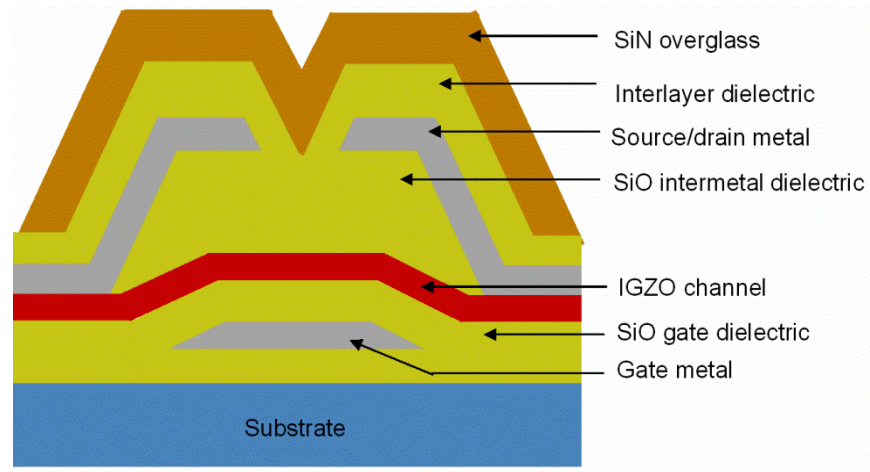


Fig. 6.1 IGZO TFT Cross-section.

TFT features with a W/L ratio of $9\ \mu\text{m}/9\ \mu\text{m}$ were used for characterization purposes. Current-voltage characteristics were obtained using an HP 4155B semiconductor parameter analyzer. Different sets of stress testing were performed and the transfer and output characteristics were used for corroboration of results with the underlying phenomena. The gate and drain voltages were bias stressed at different combinations of voltages for 100,000 seconds in the dark (light tight setup). To perform illumination stress testing, a Dolan Jenner Fiber Lite Illuminator with a dual gooseneck optical cable attachment was used. The illuminator consisted of an EKE quartz halogen lamp with different intensities of light in the visible region, and a rheostat control to tune the intensity of the light source. Filters for wavelengths of 410 nm, 467 nm

532 nm, and 632 nm were used for illumination testing with violet, blue, green, and red light, respectively. The test structures were stressed for 25,000 seconds using different filters to understand the effect of light alone. Since red light from the lamp source had the highest intensity in the visible region as seen in Fig. 6.2, tests conducted during illumination using other wavelength filters were done with concurrent normalizing of the intensity using a rheostat.

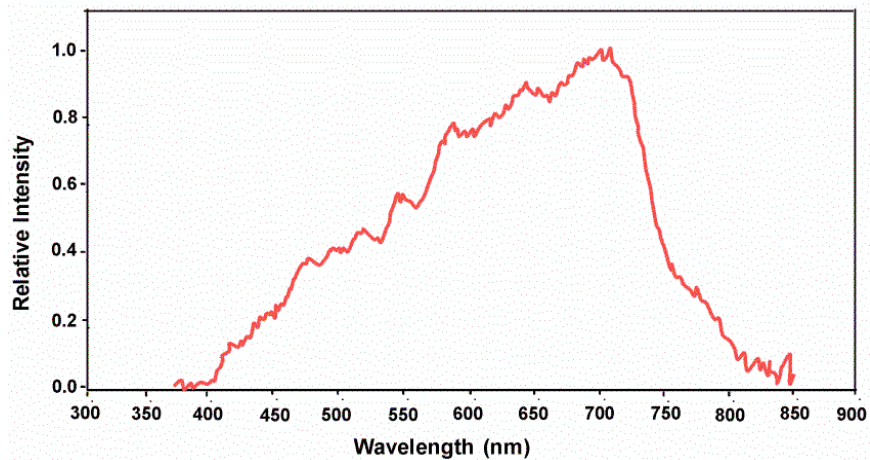


Fig. 6.2 Spectrum of a standard EKE 3200 lamp source used for illumination.

A few combinations of electrical and illumination stress tests were performed to create a real application scenario and to verify the transistors' stability under these conditions. Throughout all the tests, the transfer characteristics were measured for a drain bias of 10 V, sweeping the gate between -20 V to 20 V. The output characteristics were also extracted by sweeping the drain from 0 to 20 V, for gate voltages of -5 V to 20 V in steps of 5 V, and the threshold voltages for each of the stress conditions were verified from the *on* voltages observed from the transfer characteristics.

6.3. Results

Bias stress tests are performed for 10^5 seconds (approx. 27 hours) for four stress conditions and under no illumination within a light blocking enclosure, maintaining the gate and the drain at a) $V_g=20$ V, $V_d=0$ V, b) $V_g=20$ V, $V_d=20$ V, c) $V_g=-20$ V, $V_d=0$ V, d) $V_g=-20$ V, $V_d=20$ V, and the source grounded. Figure 3 shows results contrary to observations from initial studies in the literature for bias stress testing of IZO based TFTs [66,91,92]. With a -20 V stress at the gate electrode, drain voltage stress conditions of both +20 V and 0 V show minimum positive threshold voltage shifts instead of a negative shift, as seen in Fig. 6.3. This is due to the limited feasibility of holes being trapped at the gate dielectric. The minor positive threshold shift is due to a limited extent of electrons accumulating on the channel being trapped in the IMD SiO_x .

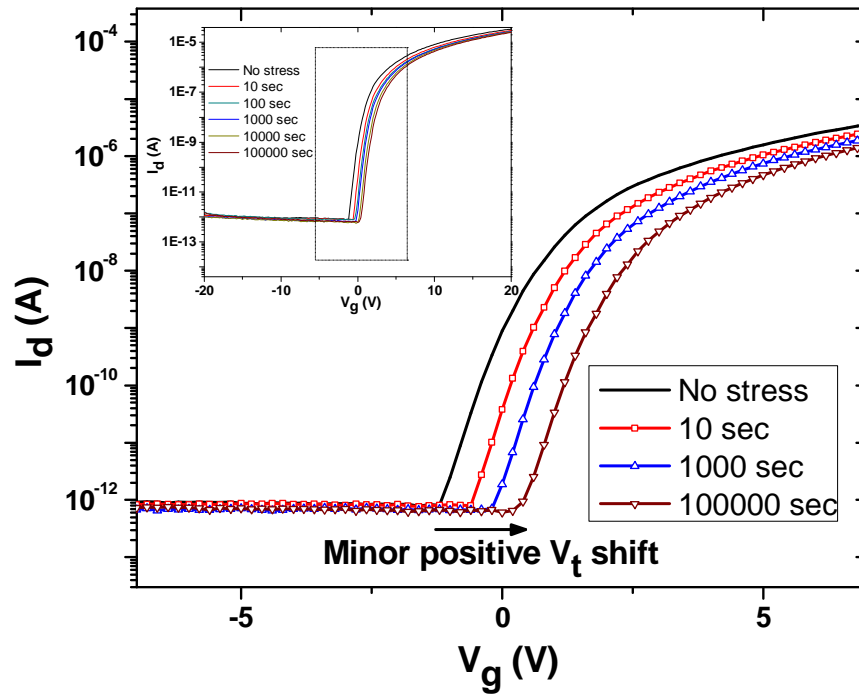


Fig. 6.3 Transfer characteristics of IGZO TFTs near the transistor *on-off* transition, under $V_g = -20$ V and $V_d = 0$ V bias stress; *Inset*: Full range transfer characteristics of the TFTs stressed under the same conditions.

The TFTs that demonstrate the worst transfer characteristics are the ones stressed for $V_g = 20$ V and $V_d = 0$ V, for which the subthreshold swing and the *off* currents show little to no change; but, the threshold voltage shows a continual positive shift suggesting continual creation of trap states in the active channel [60, 91, 93]. However, no device failure is observed during the entire stress period (meaning a state where the transistor fails to have a turn off voltage). Figure 6.4 displays the transfer characteristics of a TFT stressed for 100,000 seconds under afore mentioned bias stress conditions.

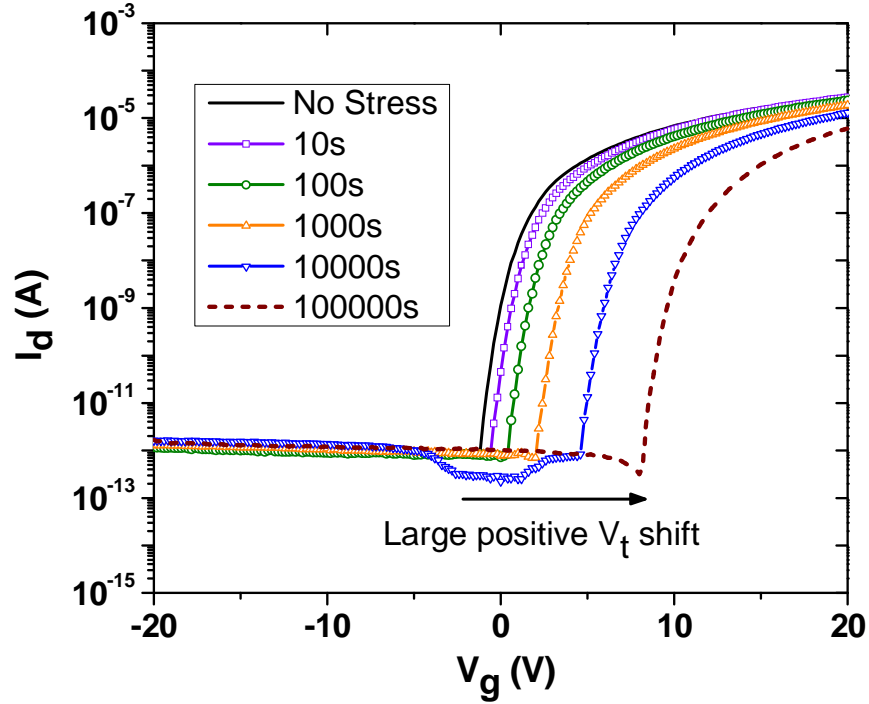


Fig. 6.4 IGZO under $V_g=20$ V, $V_d=0$ V bias stress for 100,000 seconds.

Illumination stress testing with light wavelengths of 410 nm, 467 nm, 532 nm, and 632 nm for 25,000 seconds was performed with an intensity of 0.5 W/cm^2 . The results demonstrate a greater negative shift in threshold voltage, for light of shorter wavelength. The 632 nm wavelength illumination results in little to no shift in transfer characteristics that could be attributed to phenomena such as state creation or charge trapping. As the wavelength of light used is reduced, a considerable threshold voltage shift is noticed, making the turn *on* voltage smaller, with a slight increase in mobility (see in Fig. 6.5). The shortest wavelength light demonstrated a threshold voltage change of -17 V after 25,000 seconds. Unlike gate bias stress where there is a continual change in the interface state density owing to higher threshold voltage, the phenomena that

leads to threshold voltage shift in the negative direction upon illumination testing is over a limited time duration after which the effect begins to saturate. Even for the shortest wavelength considered in this study, the illumination testing did not drive the TFTs into failure.

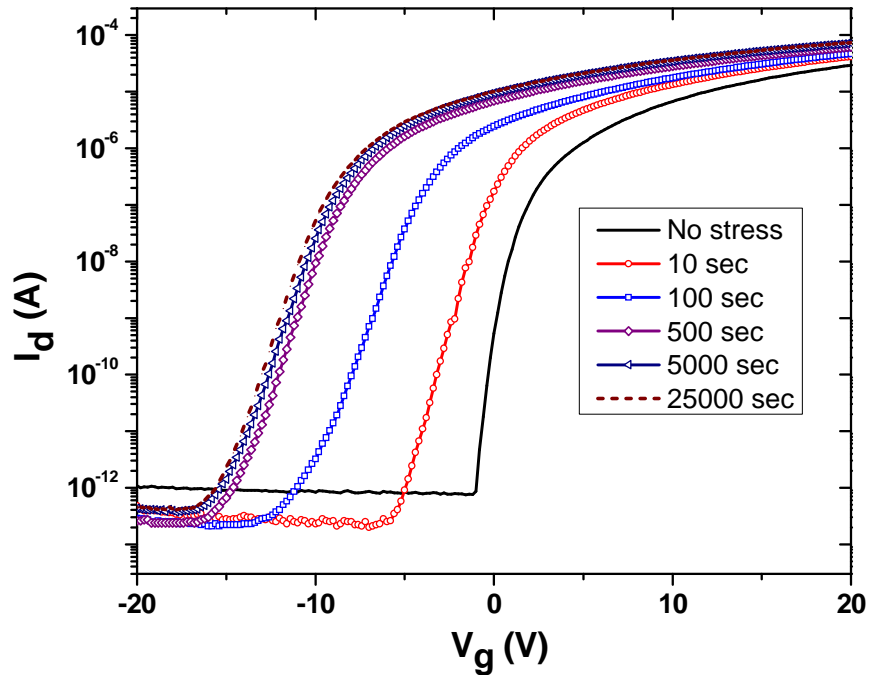


Fig. 6.5 IGZO TFT under 410 nm light illumination stress for 25,000 seconds.

Figure 6.6 shows the results of a recovery examination that was conducted to verify if the transistor characteristics revert to an unstressed condition. Previous research with metal-oxide based TFTs shows evidence of complete recovery [94]; but, the presence of the encapsulation layer on the active region of the TFT leads to incomplete state recovery even after being allowed a 2 week time to recover. However in a previous study of standalone

illumination testing [95], recovery is immediate due to creation of meta-stable states and oxygen absorption (due to the absence of a passivation layer on the active region of the device). The excess carrier generation from the trap states of the deposited dielectric layers (gate SiO_x and IMD SiO_x) is also responsible for early turn-on; but it also leads to incomplete recovery after a prolonged stress time. This is a direct result of de-trapped carriers within a diffusion length falling back instantaneously into trap states. The carriers beyond a few orders of diffusion length contribute to the time dependent re-trapping. Ultra-violet (UV) light is capable of producing band-to-band transitions [96], and the negative V_t shift observed in our study can also be attributed to a similar effect. The photonic energies of 3.02, 2.66, 2.33, and 1.96 eV correspond to the shortest to longest wavelengths chosen in our study. With the exception of the longest wavelength, all of the other cases have displayed a similar phenomenon as that of UV light, as seen in Fig. 6.7.

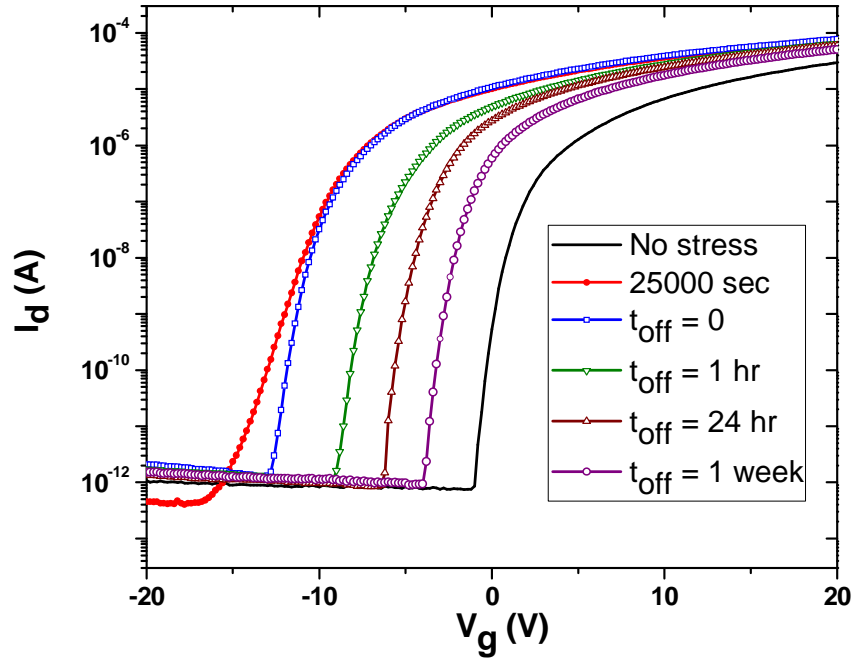


Fig. 6.6 IGZO TFT recovery after 410 nm light turned off.

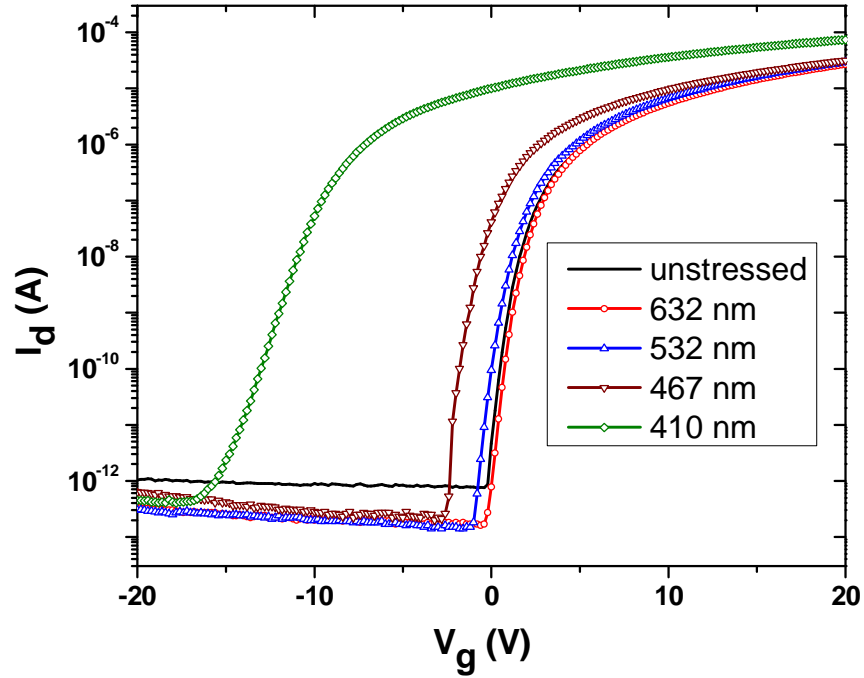


Fig. 6.7 Illumination stress testing for the shortest to longest wavelengths.

Bias stress testing has been performed in combination with extreme wavelengths of light chosen in this study *i.e.*, 632 nm and 410 nm. It can be understood that 632 nm light, having no effect on the transistor characteristics, allows the gate bias to play a dominant role in altering the transfer characteristics. Performing the combinatorial tests with 410 nm light wavelength with a -20 V stress on the gate electrode drives the device into failure temporarily; where illumination and the effect of negative gate bias play an equal role to the point that the device is in an *on* condition over the entire range of voltage (see Fig. 6.8). When the light source is turned off, the device regains functionality as a transistor with on and off voltages, but could not completely recover to an unstressed state as discussed earlier.

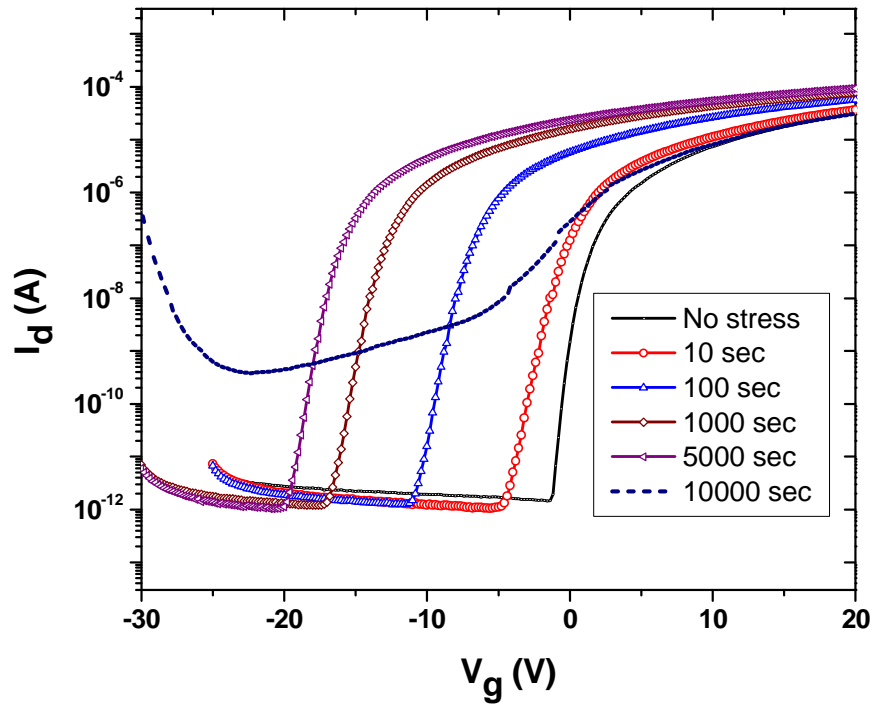


Fig. 6.8 Transfer characteristics under 410 nm illumination along with -20 V gate bias.

6.4. Discussion

Bias stress testing of TFTs has been addressed exhaustively by numerous researchers [25, 85-90]. A positive gate voltage stress testing creates a positive threshold shift; only, the shift is higher for a 0 V drain voltage stress when compared to a 20 V drain voltage (with the source grounded at all times). This is because the carriers generated are trapped in the insulator, and a higher gate voltage is required to turn the device on, and a +20 V drain stress reduces this effect by reducing the threshold barrier. The bandgap of the IGZO is ~3.1 eV based on the composition of In, Ga, and Zn used. If certain wavelengths of light

generate photonic energies greater than this bandgap, it can lead to a band to band transition of carriers from the valence band to the conduction band and results in an increase in net carrier concentration in the active region, and hence a negative shift in threshold voltage. There are two theories that support the results.

Charge carriers trapped during fabrication in the deep level traps in the bandgap of the semiconductor and dielectric material are released to the conduction band. This increases the net carrier concentration. Previous studies on illumination of TFTs have shown that an increase in I_{off} results from the photo-excitation of carriers from the tail states of the valence band into the conduction band [95]. However this effect is absent in our study; furthermore in the negative V_g region, metastable traps [91] are induced in the active region and reduce the off-state leakage current. The reduced *off* currents under illumination with all wavelengths in this study could also be explained by the influence of an intermetal dielectric (SiO_x) at the interface of the source/channel and drain/channel junctions in the reverse bias region (this configuration has not been incorporated in the fabrication of any TFTs in previous studies). The holes at the interface contributing towards reverse bias current are trapped under illumination at the oxygen vacancies in the IMD that behave as hole traps for the photo-excited holes. The schematic in Fig. 6.9 depicts the barrier for the holes in both unstressed and illuminated cases. Under no stress, the holes that can cross the barrier at the source/channel (Fig. 6.9 a) interface cause the *off* currents of the order of 10^{-12} A. Under illumination, the holes also find a path (Fig. 6.9 b) through

to the oxygen vacancies at energy levels $E_{T(V_O)}$ that act as hole trap states at the shallow levels near the valence band of the SiO_x [97,98], which are not available in the unstressed condition. When the source of illumination stress is turned off ($t_{\text{off}} \geq 0$) after 25,000 seconds of stress time; the barrier for hole-transport at the IMD interface is lifted back up, and the holes are de-trapped to the channel. Hence, the *off* current during the recovery process is the same as that of the unstressed state.

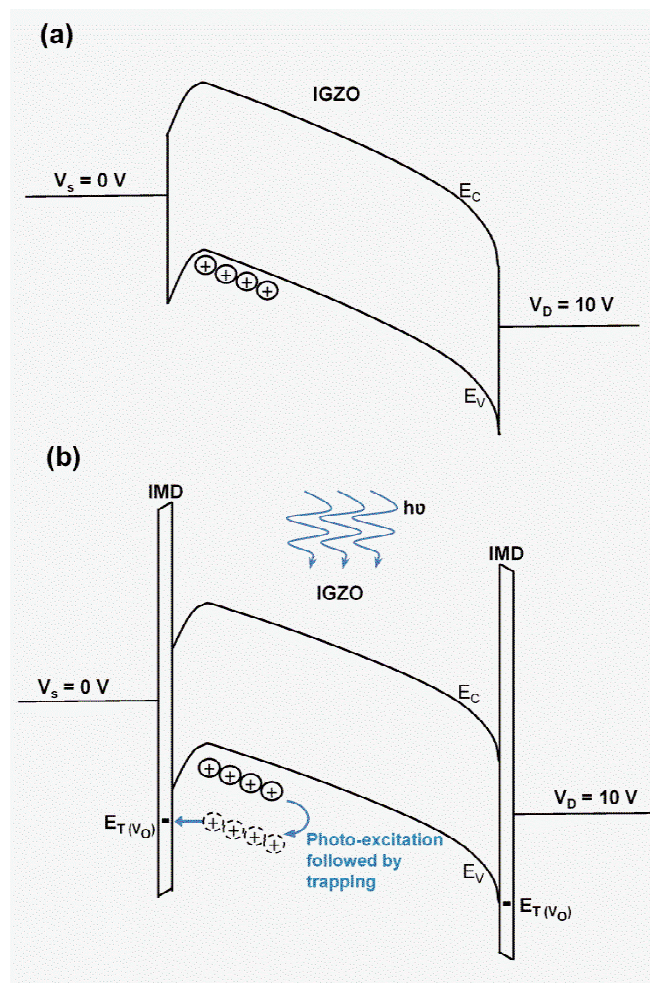


Fig. 6.9 Band diagram for hole transport during reverse bias ($V_g < 0$ V, $V_d = 10$ V) at metal-channel interface under a) cases of no illumination or with illumination, and b) illumination only (additional path).

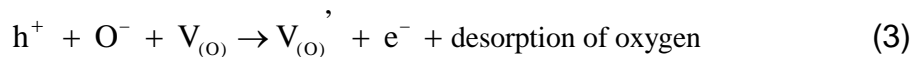
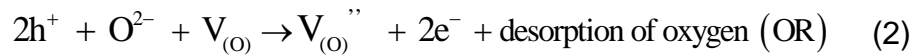
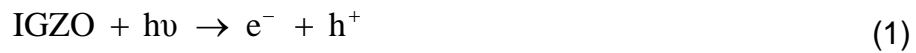
The positive effect of reduction in off current is usually nullified by a drop in mobility and on current [99]. But in our study, we have observed reduced *off* current as well as an increased *on* current during illumination testing, along with a sizeable negative V_t shift. The higher field effect mobility is as a result of increased free charge with respect to charge induced by gate voltage. The rate at which excess charges are generated is a maximum during the first few minutes, and decreases until the point where there is no significant change in the I-V characteristics. This is due to the fact that the trap states in the deposited gate/intermetal/interlayer dielectrics, and the channel are limited.

Another explanation towards increased *on* currents and reduced threshold voltages can be provided through the role of oxygen vacancies created during illumination [99]. Since photons of energy greater than that of the red light wavelength are required to produce a negative bias illumination stress effect, it is reasonable to consider the influence of oxygen vacancies because generation of singly or doubly ionized vacancies from neutral vacancies requires an energy of 2.2 eV [100-102]. In amorphous metal oxide based transistors, conduction is based on oxygen vacancies that act as dopants [25, 103] releasing electrons to conduction band, hence reducing the threshold to *turn on* the device.

Earlier work [94] showed that when the light source is turned off, excess electron-hole pairs generated by photons recombine, or the excess carriers from the conduction band fall back into the deep level states. However in our study,

the incomplete recovery suggests that although the recombination of electron-hole pairs is instantaneous, the carriers excited from the traps in the dielectric materials and the channel fall back into the trap states over time due to dependence on the diffusion length.

Holes generated through photonic generation also participate in the generation of ionized vacancies that contribute to photon induced negative threshold shift, as seen from the reactions below [104],



When the illumination stress is lifted, apart from the incomplete trapping of electrons in original trap states, the passivation layer limits the oxygen concentration to reverse the reactions (2) or (3).

Figure 6.10 illustrates the contribution of high energy photons towards excess carriers in the conduction band by means of:

- a) Excitation of carriers trapped in the deep states of the energy gap,
- b) Generation of electron hole pairs, which later saturate due to an increasing recombination rate,
- c) Formation of doubly ionized vacancies due to the delocalization of electrons from a neutral oxygen vacancy, followed by desorption of oxygen.

It should be understood that the term neutral vacancy refers to an oxygen vacancy, which when oxygen escapes from its position into an interstitial position, localizes the ions of the oxygen, and appears to be neutral in charge, as explained in the relation below:

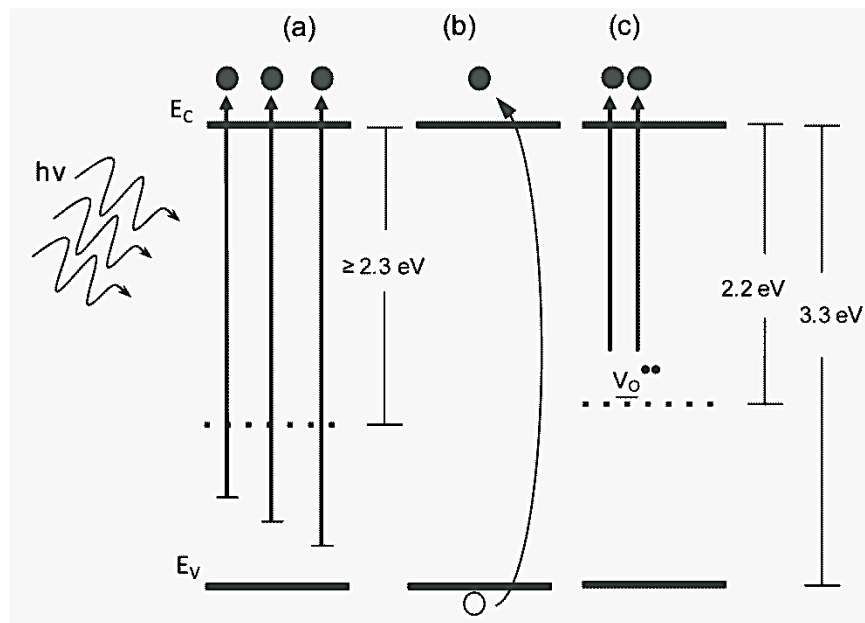
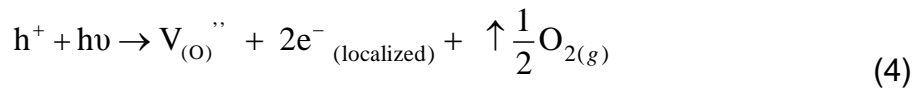


Fig. 6.10 Mechanisms contributing to higher electron concentration.

Illumination stress testing with the shortest wavelength along with negative gate bias stressing showed device failure within 10,000 seconds, although extreme conditions of standalone bias stress or illumination stress did not cause failure. This is because the negative bias stress increases accumulation of holes at the source region. With illumination, an excess concentration of photo-excited

holes is trapped in the dielectric layers as discussed earlier and provides a prominent early turn-on. When the channel is depleted of holes, the device fails to function as a transistor and no more demonstrates an *off-on* behavior. Conversely, although a positive gate voltage stress increased the threshold voltage, when combined with illumination, it lead towards little to no threshold shift indicating a compensational effect between the two phenomena.

Neither illumination nor bias stress testing have shown a considerable increase in the subthreshold swing between the unstressed and the stressed TFTs. Subthreshold swing is attributed to density of interface traps or defects near the channel/insulator interface [105]. But the care taken in the fabrication process by deposition of the dielectric at a high temperature (180 °C) and performing a post-fabrication anneal, have reduced the density of defects to a minimum. Deposition of an intermetal dielectric was effective in reducing the *off* currents under stress conditions. Furthermore, although the power density of the source of light used is greater than orders of 10's of mW/cm^2 , the devices have not failed (as could be seen with lower intensity illumination stressed TFTs previously [94, 95]), probably due to a lesser number of oxygen vacancies remaining after the fabrication, and also due to the protective encapsulation layer. Apart from the gate and intermetal dielectrics delaying the recovery after illumination stresses, the encapsulation layer could also be the reason behind the incomplete recovery since the reactions illustrated above could be reversible if there is oxygen readily available for adsorption. Adding oxygen creates neutral

vacancies once again, and results in recombination of the excessive electrons with the holes obtained as a byproduct of the reaction.

6.5. Conclusion

The effect of the practical stress conditions such as illumination of visible light, combined with prolonged electrical stress has been investigated. The conditions that result in failure of TFTs within hours of operation were identified, along with the responsible mechanisms. The large negative threshold voltage shift encountered resulted from the ionizing of oxygen vacancies and contributed excess electrons to the conduction band; whereas the large threshold voltage shift under positive gate stress was the consequence of charge trapping mechanism. The rate of charge trapping followed an exponential dependence on time. The TFTs under stress demonstrated favorable trends such as, enhanced mobilities, increased *on* currents, minimized creation of interface trap states (causing minimum subthreshold swing changes), and reduced *off* currents (a consequence of the trapping of holes at the thin IMD overlap at the source/channel region). These trends accentuated the sensitivity of design, fabrication and post fabrication steps (*i.e.*, high temperature dielectric stack deposition, encapsulation-layer incorporation and post fabrication anneals). Combinations of stress techniques were shown to function as compensational techniques; where, the negative impact of one stress was compensated for by the impact of another stress. In this study, we were able to explain several effects pertaining to wavelengths of light used, and positive and negative bias stress

applied for extended periods of time. Our findings however confirmed that further research is needed to elucidate how the stress can be recovered/ unrecovered and used to our advantage based on the device requirements for specific applications.

Chapter 7

SUMMARY

7.1 Introduction

This chapter discusses the industry needs and concerns regarding processes involved in treating ion implanted materials and thin film devices. The post implantation processing techniques commonly used, such as laser anneal, metal induced crystallization, and rapid thermal anneal have been explained in brief. The disadvantages caused due to each of these methods are the motivation behind the study of microwave anneal as an alternative process technique. The microwave anneal has been found to be due to loss mechanisms, and dielectric properties of the material. This knowledge gives us an understanding of how anneal process would affect different materials differently, and what considerations can be made while annealing materials with different properties. For example, our study of arsenic implanted Si and previous research of boron implanted Si shows that the samples were treated differently (one using hybrid heating mechanism and the other by volumetric mechanism) due to material dielectric properties, although the underlying process in both cases is microwave anneal. Hence, in our study, a susceptor providing surface heating was necessitated to allow the sample to absorb the microwave radiation and undergo volumetric heating.

7.2 Materials Characterization

The As implanted Si, and as-implanted Si samples were annealed for various time durations in the microwave cavity applicator by incorporating the susceptor into the setup. A few runs of the experiment were repeated by eliminating the use of the susceptor. To observe the material properties pre and post anneal, several characterization techniques were used. The samples were characterized to observe differences in structure, topology, and electrical properties before and after anneal. Electrical characterization using four point probe measurements and Hall measurements provided sheet resistance, resistivity, mobility, and sheet concentration information. Ion channeling was performed on the samples to ascertain the extent of damage repair possible by microwave anneal mechanism. Cross-section transmission electron microscopy (XTEM) patterns provided information on structural changes caused by annealing. Raman spectroscopy also provided extent of damage repair or recrystallization of the samples. Secondary ion mass spectrometry (SIMS) was performed to verify if the anneal process caused any undesired dopant diffusion into the substrate. Each of these characterization techniques were explained in detail in chapter 2.

7.3 Dopant Activation and Dopant Diffusion of As implanted Si

The As implanted Si samples of different dosage and implantation energy were annealed for different times with susceptor, and electrical characterization techniques such as 4-point probe and Hall measurements were used to derive data to verify dopant activation and dopant diffusion. Microwave anneal process was successful in electrically activating the dopants, suggested by low sheet resistances, and high sheet concentrations. Ion channeling data supports dopant activation by the relocation of dopant atoms into substitutional sites instead of off-lattice sites. SIMS was performed to analyze any possible dopant diffusion, comparing the results against a 30 second annealed sample at 900 °C. The results indicated minimal dopant diffusion using microwave anneal. The microwave heating mechanism was discussed in detail supporting the use of a susceptor to obtain quick recrystallization, and dopant activation, and minimum dopant diffusion in As implanted Si.

7.4 Recrystallization of As implanted Si and as-implanted Si

Arsenic implanted, and silicon implanted Si samples, of the same extent of damage were considered. The influence of material properties on anneal mechanism was proved by annealing the 2 types of samples without susceptor. The samples were annealed both with, and without susceptor for different durations. Raman spectroscopy was performed, which provided data supporting quick recrystallization providing a good quality single crystal Si by recrystallization. Ion channeling was also performed on as-implanted Si annealed with and without susceptor, showing no damage repair of the lattice when a susceptor was not used. XTEM images confirm the recrystallization of the entire amorphized layer of the As implanted Si samples. The rate of recrystallization obtained by microwave anneal were compared to the rate of recrystallization due to solid phase epitaxy, and the microwave anneal was found to produce a higher rate of regrowth.

7.5 Kinetic stress testing and influence of long time anneals on the behavior of IZO TFTs

A long time anneal in air ambient at 150 °C (which is compatible with electronics on polymer substrates, and devices containing encapsulation layers) has proved to improve the stability of devices under extended periods of bias and thermal stress. The 12 hr and 48 hr annealed samples demonstrated the best stability under bias stress, by undergoing minimum mobility and threshold voltage shifts, however the 12 hr anneal was insufficient to cure the donor and acceptor like defect states. The 48 hr anneal was effective in reducing the concentration of traps to insignificant amounts. Furthermore, the study successfully describes the thermionic emission and thermionic field emission mechanisms leading to device failure, as well as elucidates the kink and dip phenomena to help elucidate better post-processing steps.

7.6 Investigation of Defect Generation and Annihilation in IGZO TFTs during Practical Stress Conditions: Illumination and Electrical Bias

The phenomena guiding mixed-oxide based transistors into failure while under practical stress scenarios for a prolonged duration of time were investigated. However, the degradation due to intrinsic defect centers were reduced by a large extent due to the appropriate post anneal steps. TFTs with lower off currents under negative bias illumination stress were achieved. The post fabrication anneal was also efficient in regulating the density of oxygen vacancies which could have been suppliers of excessive electrons, and reduced the density of traps at the semiconductor/dielectric interface which has an effect on the subthreshold swing of the device, crucial for fast switching speeds of devices. The I_{on}/I_{off} ratio in most stressed TFTs increased instead of decreasing.

This can result in faster performance of active electronics based on TFTs even under extreme practical stress conditions. With more investigation and deeper understanding of the oxygen vacancies acting as dopants by ionizing, fabrication and post fabrication suggestions can be made to reduce the density of excess carriers being generated into the conduction band, so that failure of the devices can be averted under illumination of any visible wavelength light.

7.7 Conclusion

The importance of novel anneal methods has been established in this research, firstly in terms of a rapid microwave anneal method, and secondly by low temperature designed anneals in different ambients. This work supports the microwave anneal technique as an alternate post implantation process technique. The innovative use of a susceptor to provide additional heating to a high Z material like As, not only brought about faster recrystallization and dopant activation, but also provides desirable results in terms of dopant diffusion by indicating minimum diffusion of As into the Si. The susceptor's surface heating also enabled the formation of a good quality single crystal doped Si, instead of formation of poly-Si which is possible due to insufficient heating. The successful recrystallization of the high energy implanted samples suggests that irrespective of the damage, the susceptor assisted anneal can provide heating sufficient to overcome the high activation energy required to form single crystal Si, and facilitate quick recrystallization of a thick damaged layer.

Low temperature deposited mixed oxide based thin film transistors which are high in defect density due to the fabrication process, were annealed in oxygen, forming gas and vacuum ambients at low temperatures. The anneals proved to enhance the reliability of the TFTs under extreme stress conditions such as illumination, bias and thermal stresses. The designed ambients gave in depth knowledge of the nature of donors and defects within the mixed oxide

films, and lead way to study on the role of hydrogen as a donor within the mixed oxide films. This was confirmed by elastic recoil detection (ERD) by corroborating the density of hydrogen in the mixed oxide films to the conductivity and carrier concentration (obtained from Hall measurements).

7.8 Future Work

In order to incorporate the microwave anneal technology into the current industry requirements operating at a less than 17 nm node, further research can be performed on low energy implanted samples. Also, focus can be laid on achieving zero dopant diffusion. Efforts should be put into achieving thin film transistors with low defect density. Deep level transient spectroscopy (DLTS) will be used to identify the deep level defects within the mixed oxide thin films used as channel materials, and an understanding of the interface states can also be obtained for low temperature deposited dielectric and semiconductor materials. These properties are crucial for improving performance and life time of low temperature deposited thin film devices. Microwave anneal technology can be extended as an anneal method for thin film transistors while maintaining compatibility with flexible polymer substrates which facilitates large area fabrication.

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