- 1 The role of environmental and owner-provided consequences in canine stereotypy and
- 2 compulsive behavior
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Abstract

15	The present study evaluated whether environmental variables can reinforce and
16	maintain canine stereotypic behavior and whether the removal of these variables can
17	reduce the rate of the behavior. We first present an online survey in which owners were
18	asked to report the environmental antecedent and consequent events related to stereotypy
19	in their dogs. The survey results indicated that stereotypy, as reported by the owners, was
20	not restricted to specific antecedents, and Principal Component Analysis identified four
21	ways the owners usually responded to stereotypy. In a case study of 5 dogs, Functional
22	Analysis methodology was used to evaluate whether environmental or owner-provided
23	consequences maintained stereotypic behavior. We demonstrate that owner-provided
24	consequences maintained circling and licking in two of the dogs, light-movement alone
25	maintained light chasing in two of the dogs, and one dog showed little to no responding
26	during sessions preventing further analysis. We subsequently manipulated the
27	consequences of stereotypy found to maintain the behavior for three of the case study
28	dogs, which led to a reduction in stereotypic behavior for all three dogs. The present
29	study provides evidence that the consequences of stereotypy, such as attention from the
30	owner, can reinforce and maintain high rates of the behavior. Our results also suggest that
31	the specific owner-dog dynamic might be an important influence on canine stereotypy.
32	We also show that manipulating the relevant reinforcer found to maintain stereotypy
33	leads to a reduction in the problematic behavior.
34	Keywords: Canine; Domestic dogs; Stereotypy; Stereotypic behavior

37	Canine Compulsive Disorder (CCD) is diagnosed when dogs present with a
38	variety of stereotypic behaviors including but not limited to: repetitive licking or flank-
39	sucking, tail-chasing or spinning, light or shadow chasing, fly-biting at no apparent fly, or
40	extended fixation or staring (Luescher, 2000; Overall & Dunham, 2002). Stereotypic
41	behaviors are typically defined as repetitive behaviors that appear to serve no obvious
42	function (for a review of terminology see Low, 2003). These behaviors can range from a
43	mild annoyance to owners to severe behavioral problems requiring veterinary
44	intervention (Luescher, 2000). The focus of the present study is on the readily observable
45	stereotypic behavior associated with Canine Compulsive Disorder.
46	Several studies have found that in combination with behavioral modification,
47	pharmaceuticals can reduce stereotypy (Overall & Dunham, 2002; Seksel & Lindeman,
48	2001: Veremie et al., 2010). Although stereotypy can be reduced pharmacologically, the
49	etiology and motivation of canine stereotypic behavior remains unclear. Exploring the
50	environmental conditions which may motivate and exacerbate canine stereotypic
51	behavior may enable improved forms of treatment.
52	Several hypotheses have been proposed to explain canine stereotypy. One
53	hypothesis is that canine stereotypy is the result of frustration or conflict generalizing to
54	situations where conflict is no longer apparent or appropriate (Overall & Dunham, 2002).
55	This hypothesis provides a plausible explanation for the development of canine
56	stereotypy; however, it remains unclear what exact mechanism leads to the conflict and
57	frustrations generalizing to other situations, which thereby maintain canine stereotypic
58	behavior.

59	An alternative account for canine stereotypy is that underlying biological
60	differences separate dogs with stereotypy from normal dogs. Dodman et al. (2010)
61	identified a candidate gene associated with compulsive behavior in Doberman pinschers
62	(CDH2; for a review see Hall and Wynne, 2012). Tiira et al. (2012) attempted to extend
63	this finding in a population of Bull terriers, Staffordshire Bull Terriers, and German
64	Shepherds but found no significant genetic associations with tail-chasing using candidate
65	gene analysis with CDH2. Instead, they found a significant effect of vitamin intake: dogs
66	that took a multivitamin were significantly less likely to develop tail-chasing. Additional
67	study with Doberman pinschers has shown that dogs with CCD have structural brain
68	differences from control dogs (Ogata et al., 2013). In addition, dogs with stereotypic
69	behavior were shown to be, in general, more perseverative on an arbitrary task than dogs
70	that do not show stereotypic behavior (Protopopova et al., 2014). Together, there is
71	growing evidence for a genetic contribution to canine stereotypic behavior; however, no
72	clear biological mechanism has been identified. More recent research investigating
73	excessive licking has suggested that many such cases may be caused by undiagnosed
74	digestive issues (Bécuwe-Bonnet et al., 2012). These results suggest there are likely
75	biological contributions to canine stereotypic behavior but leave open the question
76	whether environmental factors may also play a causal role in the development and or
77	maintenance of canine stereotypic behavior.
78	Few studies have investigated the potential influence of environmental variables
79	on stereotypic behavior, although such a role is often assumed when behavior

80 modification is recommended to help reduce stereotypies. Behavior modification can

81 only work if the behavior is sensitive to environmental factors. One notable study

exploring the potential role of environmental factors analyzed 400 videos of tail-chasing
in dogs (Burn, 2011). The author reported that owner encouragement of the dog was
observed in 43% of the videos and one of the most common descriptors of the behavior
by owners was 'funny' (46%). These results suggest that humans may intentionally or
unintentionally reinforce the behavior with attention and that changes in the owner's
behavior might reduce the dog's stereotypy.

88 Empirically assessing whether laughter and encouragement might actually 89 reinforce tail-chasing, as suggested by Burn (2011), requires additional evidence. 90 Although people may provide attention contingent on tail-chasing, this may have little or 91 no effect on the dog's behavior. In order to assess the effects of human attention on 92 stereotypic behavior, we must determine whether the attention serves as its maintaining 93 reinforcer. Researchers working with humans with diverse developmental disabilities 94 have pioneered a single-subject methodology to assess the environmental variables that 95 reinforce an individual's problem behavior. This method, termed "Functional Analysis," 96 was first reported by Iwata et al. in 1982 (re-printed in 1994a) and has been successful in 97 identifying the environmental determinants of behavior in many cases (1994b) and cited 98 in over 1200 publications in Google scholar. This technique has recently been extended 99 to identify the reinforcers of problem behaviors in animal in zoos (Dorey et al., 2009; 100 Martin et al., 2011), and unwanted jumping up in pet dogs (Dorey et al., 2012). 101 Functional Analysis was designed to identify how the consequences of problem 102 behavior may influence the rates of that behavior. Reinforcers, for the purpose of this 103 study, are any environmental stimuli that when presented as a consequence of a behavior, 104 lead to increased rates of that behavior. To identify these reinforcers with a Functional

105 Analysis, a single subject is exposed to several conditions. Each condition tests whether a 106 putative reinforcer sustains a problem behavior or is unrelated to the rates of occurrence 107 of that behavior. This is tested by delivering the putative reinforcer whenever the problem 108 behavior occurs during the session. If delivering the putative reinforcer increases the rate 109 of the behavior compared to a control condition, the consequence is confirmed as a 110 reinforcer for the behavior. If, however, experimentally delivering a putative reinforcer 111 when the problem behavior occurs does not increase rates of the behavior compared to a 112 control condition, the putative reinforcer is considered not to be a reinforcer of the 113 behavior. The control condition for a Functional Analysis is designed so that all putative 114 reinforcers are delivered regardless of the occurrences of problem behavior. Thus, low 115 rates of problem behavior are expected in the control condition because reinforcers are 116 delivered without the subject needing to engage in problem behavior. 117 The aim of this set of studies is to evaluate the impact of environmental variables 118 on canine stereotypic behavior. In the first study, we utilized a survey to assess owner-119 reported antecedents (events preceding a behavior) and consequences of stereotypic 120 behavior in pet dogs. We then in Study 2 utilized a single-subject assessment of 121 reinforcers, a Functional Analysis, with five dogs to assess whether and which 122 environmental variables maintain canine stereotypic behavior. Last, in Study 3, we 123 manipulated the environmental variable found to reinforce behavior from the Functional 124 Analysis in Study 2 for each dog, in an attempt to reduce canine stereotypic behavior. 125 Study 1 126 The aim of Study 1 was to identify owner-reported antecedent events to 127 stereotypy and owner-reported responses to their dog's stereotypic behavior with a brief

survey. Thus, this experiment was exploratory and cannot be taken to identify valid
predictor variables of stereotypy – only owner impressions.

130

Materials and Methods

131 A custom survey was created using Google docs (www.docs.google.com, see 132 Appendix A for the complete survey). Dog owners answered basic questions about their 133 dog followed by questions on whether it engaged in stereotypic behaviors. These 134 behaviors were described as follows: "spinning" or "circling" was defined as "repeated 135 turning (4 or more times in single bout) when the dog is not trained or commanded to do 136 so or there was no apparent reason for the activity; "fixation" was defined as an excessive 137 attention to an item or no apparent specific item; "light chasing" was defined as an 138 intense focus or chasing of lights to which most dogs would not usually attend; "licking" 139 was defined as the licking of objects for extended bouts with no obvious purpose or 140 function, and "other" invited owners to report any other problem behaviors that were 141 repeated at least four times in a single bout. Finally owners were asked to report on the 142 conditions under which the behavior occurred and how they responded to it. 143 Owners were given multiple-choice options (they could select more than one), 144 and an optional fill in box. To assess antecedent events that may lead to stereotypy, 145 owners were asked to indicate under which conditions stereotypy occurred: "only when

146 crated, and never under other conditions," "when there is a lack of stimulation (i.e.

bored). This can include when being crated but is not limited to crating," "when I give

148 lots of attention," "after or during play," "after I give a command," "when I have

something my dog wants (e.g. a toy or food)," "following a loud noise or after being

150 startled," "when stressed or anxious," "under all conditions and/or does not seem

151	predictable," and "other" with a textbox for an open-ended answer. To assess owner-
152	reported consequent events that may reinforce stereotypy, owners were asked how they
153	usually respond to stereotypic behavior and given the following options: "I give my dog
154	attention," "I try to block the repetitive behavior (e.g. prevent them from circling or
155	engaging in repetitions)," "give the dog desired objects like toys or food," "if the dog is
156	in a crate, I let it out," "I tell the dog to stop," "I do nothing and ignore the behavior,"
157	and "other" with a textbox.
158	The initial survey was administered online to the senior author, a veterinarian, and
159	two dog owners (one with a dog with stereotypic behavior). Appropriate clarifications
160	and changes were made. The survey was then distributed through websites
161	(www.caninecognition.com), social-networking sites (Facebook), online dog related
162	forums (e.g. Rottweileronline.net), and via email.
163	Subjects
164	A total of 128 responses were received. Of the 128 responses, 99 responses were
165	included in the analysis. Twenty-nine responses were excluded as the owners responded

167 responded to the survey, with a majority of responses pertaining to sporting, working and

that their dogs did not engage in stereotypy. Owners of various breeds and mixed breeds

herding breeds.

169 Analysis

166

Data are presented as the percentage of owners reporting for that question along with sample sizes. Only the results for questions which at least fifty owners provided interpretable responses are described. Given the exploratory nature of the survey, null hypothesis significance testing was not appropriate. To identify patterns in how owners

174	respond to their dog's behavior, an exploratory Principal Component Analysis (PCA)
175	with a varimax rotation was performed in the statistical package $\text{SPSS}^{\mathbb{R}}$ (International
176	Business Machines Corp., Armonk, NY, USA). Factor loadings greater than .4 were
177	considered meaningful for this analysis.
178	
179	Results and Discussion
180	Sample demographics
181	Of the 99 responses, forty percent of owners reported their dog to spin or circle,
182	46% to repetitively lick, 18% to light chase, 47% to fixate, 19% to engage in other
183	stereotypic activities, and 45% to engage in more than one form of stereotypy. Thirty-
184	three percent of the sample reported seeking professional help for the stereotypy
185	(veterinarian or behaviorist), with 21% of the sample reporting their dogs self-injured.
186	Figure 1 shows owner-reported frequency of their dog's stereotypic behavior. The
187	reported frequency is summarized as monthly, weekly (occurring between 1-6 times per
188	week), low daily (once or twice per day), and high daily (three or more times per day).
189	Except for light chasing, all distributions of the frequency of behavior are skewed with a
190	majority of owners reporting the behavior occurring more than three times daily. Light
191	chasing is the exception with a large percentage of dogs engaging in the behavior only
192	monthly.
193	
194	Environmental Antecedents
195	Table 1 outlines the percentage of owners reporting each antecedent event that led
196	to stereotypy for the four major classes of behavior surveyed. Interestingly, the dog

197	being "stressed" was reported as the major antecedent for circling in 34% of the cases.
198	"Stressed" was reported as an antecedent for the remaining three stereotypies by 0 to 15
199	% of the dog owners. It should be noted, however, that the dog's state of "stress" may
200	not have been accurately identified by the owners leading to a potential underreporting of
201	stress as an antecedent. Light chasing was most often reported as being unpredictable or
202	occurring under any situation by 64% of the respondents. Forty percent of owners with
203	dogs that licked reported that beginning or finishing play was an antecedent. Fixation was
204	reported most often when the owner had something desirable and during the
205	commencement or termination of play.
206	Overall, commencement and termination of play, lack of stimulation, and
206 207	Overall, commencement and termination of play, lack of stimulation, and "unpredictable" were the most frequently reported antecedents to stereotypy. Together,
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207 208 209 210 211	"unpredictable" were the most frequently reported antecedents to stereotypy. Together, the results suggest that stereotypy in our sample is not limited to conditions of deprivation (i.e. lack of stimulation) but also occurs at high rates under conditions of enrichment (e.g. before and after play or when giving attention). This suggests that in the population we surveyed, stereotypy may not simply be a response to deprivation, but

A total of 83 owners reported their response to their dog's stereotypy (Figure 2). Of the 83 owners reporting, the most common response was to tell the dog to "stop" (50.6%), followed by ignoring the dog (48.2%), and blocking or preventing the dog from engaging in stereotypy (44.6%: percentages do not sum to 100 because of the possibility of multiple responses). Other responses included giving their dog a desired object (26%

220 of the 83 owners) or attention (24% of the 83 owners). Several owners provided other 221 responses. The three most common responses were coded and are shown in Figure 2. 222 Giving their dog a command to do something else was reported by 10.8% of the 83 223 owners responding to this question. A small percentage of owners (4.8%) reported 224 distracting their dog, but not explicitly giving it a command to do something else. Some 225 owners reported removing an item related to the stereotypy (3.6%). After removing these 226 responses from "other," only one response remained unclassified. This owner responded that he used DogLeggsTM, which could be considered a form of response blocking. 227 228 Several owners indicated responding to their dog's stereotypy in multiple ways. 229 To uncover whether there were systematic patterns of responding, an initial PCA with a 230 direct oblimin rotation was performed. The "other" category was removed as it contained 231 only one response. Four components with eigenvalues greater than one were obtained. 232 We therefore re-ran the PCA, this time restricting the analysis to only these four 233 components. The component correlation matrix showed little correlation among 234 components (all correlations < .2), indicating that an orthogonal rotation was appropriate 235 (Brown, 2009). A final PCA was performed with a varimax rotation to provide 236 orthogonal components, which is shown in Table 2. Component loadings greater than .4 237 are in bold. 238 Four components were identified. The first component consisted of the owner 239 ignoring the dog, and giving the dog attention. Although attending and not attending to

the dog simultaneously is impossible, an owner may ignore the dog on some occasions

and give the dog attention on others. This could create an intermittent schedule of

reinforcement with attention for stereotypy. Component 2 consisted of saying, "stop"

243 and attempting to block the dog from the behavior, showing that use of verbal reprimands 244 and physical prevention of the stereotypy were associated. Although responding to 245 stereotypy in this way may immediately terminate the behavior and give the owners the 246 impression they have punished the behavior, telling the dog to "stop" or physically 247 holding the dog may have an unintended consequence of increasing stereotypic behavior. 248 One possible mechanism for this increase would be that the owner's attempts to suppress 249 stereotypy may unintentionally lead to anxiety, which may occasion more stereotypic 250 behavior. An alternative mechanism for this increase is that the owners' attempts to stop 251 the behavior may unintentionally reinforce the stereotypy with attention. Thus, 252 Component 2 may reinforce the dog with attention the owner believes is "negative" 253 ("stop!") and Component 1 may reinforce dogs with positive attention on an intermittent 254 schedule. Component 3 showed highest positive loadings for giving a desirable object 255 and highest negative loadings for taking objects away. This component appears to have 256 highest loading for whether an owner manipulates the dog's environment by adding or 257 subtracting items. Component 4 shows highest loadings for the owner distracting the dog 258 or giving the dog a command. Owners may respond to stereotypy in both of these ways to 259 "re-direct" the behavior either by giving a command to do something else, or by trying to 260 distract the dog.

Together these results indicate that owners report that stereotypy in our sample of dogs occurs under a variety of antecedent circumstances, ranging from playing and giving the dog attention to boredom. Thus, stereotypy does not appear to be constrained to any particular situation. Some stereotypies, however, did have more common

antecedents than others. For example, "being stressed" was only a common antecedentfor circling, indicating a potential relationship.

267 The data also suggest that owners may reinforce stereotypy by providing 268 attention in the form of scolding or blocking the dog as well as providing direct attention 269 on intermittent schedules (Component 1). It is important to note, however, that although 270 owners may respond to stereotypy by giving the dog attention, this does not imply that 271 the behavior is reinforced by attention. The owner's attention, although a consequence of 272 stereotypy, may not be a functional reinforcer. To identify whether the consequences 273 identified in the survey function to reinforce stereotypy, a more detailed analysis of 274 individual subjects is necessary. In the following study, we conduct a case study of five 275 subjects using a Functional Analysis to assess the reinforcers of stereotypy in six dogs. 276 277 Study 2 278 This study aimed to identify the environmental consequences that reinforce and 279 maintain stereotypic behavior using the Functional Analysis methodology for individual 280 subjects. Because different dogs engaged in different forms of stereotypy, unique 281 assessments were developed for each dog and form of stereotypy in a case study 282 approach. 283 284 **Methods and Materials** 285 Subjects 286 Dogs with owner-reported stereotypy were evaluated for inclusion in this study. 287 Owners were asked to fill in the survey previously discussed (Study 1) that asked them

288 about the conditions under which stereotypy occurred, and how they responded to it. 289 Additional open-ended questions were asked to identify whether there were conditions 290 that lead to stereotypy not identified in the survey. Six dogs with stereotypy that owners 291 considered problematic and abnormal were recruited. Two dogs chased lights (Maisey 292 and Norman), three dogs chased their tail or circled (Jimmie, Dan, and Shellie), and one 293 dog repetitively licked the floor (Tina). One dog (Dan), never showed stereotypy during 294 any of three visits to the owner's house and was excluded from the study (see Table 3 for 295 subject information). Maisey was reported to chase ambient lights (sunlight) for large 296 portions of the day. The owner removed the dog's tags to prevent the dog from chasing 297 reflections. Norman was reported to chase bright lights. The owner reported her 298 veterinarian had previously diagnosed Norman with mild compulsions. Jimmie and 299 Shellie were reported to show repetitive tail-chasing. Tina was reported to repeatedly lick 300 the floor while walking in circles for large portions of the day. Throughout Study 2 and 3, 301 all dogs were tested in the dog's home or a place familiar to the dog (dog daycare).

302

303 General Procedures

From each owner interview, several potential reinforcers for the stereotypy were hypothesized. This was done by examining the circumstances that led to stereotypy and identifying events that may occur after the behavior and thus are potential consequences of the stereotypy. Generally, the potential consequences of stereotypy included attention, verbal scolding, light movement (for light chasing), and other owner delivered reinforcers such as access to the outdoors. All the potential reinforcers that owners reported might occur after stereotypy were included in the assessment. Each reinforcer was tested in a

311 single condition. Each dog was tested in two to five conditions and a control condition. 312 Each condition lasted 10 min (unless otherwise noted) with either the experimenter or the 313 owner delivering the reinforcer contingent on stereotypy. Each condition was repeated 314 four times for each dog. During each reinforcer test condition, if stereotypy occurred, the 315 putative reinforcer was delivered for 10 s. During a control condition, putative reinforcers 316 were provided on a time-based schedule that was not contingent on stereotypy. To assess 317 whether a putative reinforcer reinforced stereotypy, rates in the reinforcer test conditions 318 were compared to the control. If data remained ambiguous after four sessions of each 319 condition (e.g. overlapping data points between all test and control conditions, or 320 successively decreasing and increasing data points across the four sessions), additional 321 sessions were conducted to clarify trends. Between two and six 10 min sessions were 322 conducted per day. A total of 15 to 32 sessions were run for each dog, which required 323 between three and eight days of assessment. Occurrences of the behavior were recorded 324 in each session by a live coder using a partial interval recording method. Each session 325 was divided into 10 s bins. The percentage of bins in which stereotypy occurred was 326 calculated to estimate the proportion of the session the dog engaged in stereotypic 327 behavior. Inter-observer agreement was assessed for the target behavior of each dog by 328 having a second observer score at least 20% of each dog's video-recorded behavior. 329 Percent agreement was assessed on an interval-by-interval basis by scoring the number of 330 bins for which the two observers agreed divided by the total number of bins. Mean 331 percent agreement across all sessions was 95%. 332

333 Light Chasing

Three potential reinforcers for light chasing were tested: movement of the light, removal of the light, or human attention. When a dog chases and approaches the light, the dog may block the light (the light is 'removed'), the dog might manipulate something that moves the light when the dog chases it (e.g., a reflection), or light chasing may cause a human to attend to the dog, and provide attention. Each of these reinforcers was tested in separate conditions.

340 To test whether *light movement* was the maintaining reinforcer, a 134 lumen MAGLITE[®] LED 2-cell D flashlight (Ontario, CA, USA) was used to shine a light onto 341 342 the ground. If the dog ran after the light, pounced on the light, or touched the light or 343 light source (the flashlight), the experimenter moved the light in a slow circular pattern 344 for 10 s. The light was then presented without motion until the next occurrence of the 345 behavior. The *light removal condition* was identical to the light movement condition 346 except that contingent on engaging with the light or flashlight, the light was turned off for 347 10 s. The *attention condition* was similar to the other conditions, but the light was 348 presented on the ground. If the dog engaged with the light or flashlight, the owner called 349 the dog back for 10 s. *The control condition* consisted of the flashlight being held on the 350 ground and facing upward to point the light up. This was done so that engaging with the 351 flashlight or light would not make the light disappear (by blocking the source) or move 352 (the flashlight was held steady).

Minor modifications to the procedure were made for Maisey. First, after each condition was conducted once, the attention condition was discontinued due to difficulty in running the session and because very high rates of the behavior were observed in the absence of the owner, indicating that the owner was unlikely a reinforcer of the behavior.

357 Second, after conducting four sessions of the remaining conditions, additional sessions

358 were conducted to clarify whether movement of the light *and* removal of the light

reinforced the stereotypy. Further details are described in the results.

360

361 Circling

Two test conditions and a control condition were conducted to assess Jimmie's circling. To test whether circling may be reinforced by owner attention, rates of circling were compared across conditions in which the owner either provided attention contingent on circling, provided non-contingent attention (attention on a fixed-time 15 sec schedule), or was absent (the dog was alone). If the circling was reinforced by the owner, we would expect circling when the owner provided contingent attention, and little to no circling when the owner was absent or providing attention every 15 seconds.

369 For the *attention* condition, the owner started the session by petting the dog for 10 370 s, then stood up and started working on a computer or reading a book while ignoring the 371 dog. If the dog engaged in circling, the owner stopped the dog and attended to it for 10 s. 372 In the *alone condition* the dog was left alone and observed via video camera for instances 373 of circling. The *control condition* controlled for the possibility that owner presences or 374 the presentation of attention alone (and consequent excitement) may initiate circling. In 375 this condition, the owner provided non-contingent attention by playing with the dog on a 376 fixed-time 15 s schedule.

377 Shellie's circling was greatest when the owners approached the door of their
378 house to exit. We therefore developed several conditions related to the owner
379 approaching the door. In the first condition we tested whether the dog may circle because

380 by circling as the owner approached the door, the owner became more likely to take the 381 dog with them (i.e. going outside as a reinforcer). Another condition tested whether the 382 circling was reinforced by owner attention. The owner reported that when approaching 383 the door, if the dog started to circle, the owner would tell the dog to "sit" and would then 384 stop the process of exiting and would give the dog attention for sitting. Alternatively, 385 circling could be controlled by the owner's absence. To test this possibility, the dog was 386 observed after the owner had left (i.e. the dog was alone). A control condition was 387 conducted in which the owner provided attention on a fixed time schedule (15 s) and 388 provided a continuous availability to go outside by leaving the door open. If circling was 389 controlled by variables other than the owner's behavior, we would expect circling to be 390 maintained when the owner was absent and when the owner provided attention and 391 access to the outdoors non-contingently on circling.

392 Throughout each condition, the experimenter approached the door every 30 s 393 (except during the alone condition in which the dog was left alone). For the *attention* 394 *condition,* the experimenter approached the door ignoring the dog, and if the dog engaged 395 in circling, the experimenter told it to "sit." The experimenter then gave the dog 10 s of 396 praise. If the dog did not circle as the experimenter approached the door, the 397 experimenter opened and then shut the door, and returned to the start location. For the 398 *walk condition*, the experimenter approached the door, and if the dog engaged in circling 399 the experimenter led it outside for 10 s. If the dog did not circle, the experimenter opened 400 the door briefly and shut the door without going outside or allowing the dog to leave. 401 For the *alone condition*, the experimenter approached the door and went outside 402 and around the yard for the duration of the session. The dog's behavior was recorded to

403	observe if the circling was maintained in the person's absence. In the control condition,
404	the door was opened to allow the dog to be inside or outside and the experimenter
405	provided non-contingent attention. This controlled for the possibility that simply being
406	near the door, going outside, or providing attention led to increased circling.
407	Modifications: After 4 sessions of each condition, the results remained ambiguous
408	and the rate of stereotypy did not match the owner's reported experience. Additional
409	sessions were conducted with the owner taking the role of the experimenter after
410	necessary training. The first author guided the owner during each session. The session
411	lengths were shortened to 5 min each for the convenience of the owner.
412	
413	Licking
414	To assess whether human-delivered consequences maintained licking, rates of
415	licking were recorded when the experimenter provided contingent attention for it,
416	provided non-contingent attention for it, and when the dog was alone. If licking was
417	reinforced by attention, we expect the highest rates of it when attention was provided
418	contingent on licking and lower rates when attention was presented non-contingently
419	(control condition). If licking was influenced by variables other than attention (e.g. a
420	medical condition), we would expect it to occur during the control condition and/or when
421	alone.
422	For the attention condition, the experimenter engaged in everyday activities while
423	ignoring the dog. If the dog engaged in floor licking, the experimenter called the dog's
424	name in a scolding tone as modeled by the owner. If the dog stopped, the dog was given
425	10 s of attention for stopping. If the dog did not stop, the experimenter touched the dog to

426 interrupt it. If the dog did not stop licking upon a touch, the experimenter simply427 maintained contact with the dog for 10 s.

428	In the <i>alone condition</i> , the dog was left alone for the duration of the session and
429	the behavior was recorded to see whether it was maintained in the absence of people.
430	During the <i>control condition</i> the experimenter played with the dog and provided the dog
431	non-contingent attention (fixed-time 15 s schedule) throughout the session.
432	Modifications: After 5 sessions of each condition, the results suggested attention
433	maintained the behavior, however, there was a declining trend (each subsequent point
434	was lower than the previous). Additional sessions were conducted with the owner trained
435	as the experimenter. The first author guided the owner during all sessions.
436	
437	Results and Discussion
438	Light Chasing
439	The results of the Functional Analyses for Maisey and Norman are presented in
440	Figure 3. Figure 3A shows the Functional Analysis results for Maisey. For the first four
441	sessions of each condition (sessions 1 through 13), light chasing was clearly highest when
442	light movement was the consequence of the stereotypy. These rates of behavior were
443	maintained in the owner's absence, suggesting the behavior was not maintained by social
444	consequences. Relatively high rates of the behavior compared to the control condition
445	were also observed in the light removal condition during the first four sessions. It was
446	unclear whether light removal also served as a reinforcer or whether the dog failed to
447	discriminate between the light movement and light removal conditions as both conditions
448	started the same way (with the light pointing at the ground). Inspection of the within-

449 session data suggested that responding in the light removal condition decreased within a 450 session implying the behavior was extinguishing. To further test whether light removal 451 was a reinforcer, we conducted repeated light removal sessions to see if responding 452 would decrease (sessions 15 through 18). To confirm that any decrease was not a 453 function of exhaustion, immediately following the repeated light removal conditions, a 454 light movement condition was conducted (session 19). This pattern of three repeated 455 light removal sessions and one movement session was repeated in sessions 21 through 24 456 to confirm whether light removal was a reinforcer for Maisey. Figure 3A shows that after 457 two or three light removal sessions, the rates of the behavior were indistinguishable from 458 the control condition. Rates of behavior in the light movement condition remained high 459 suggesting this was not an effect of exhaustion, but rather the behavior was extinguishing 460 during repeated light removal conditions. Thus, light removal was not a reinforcer for 461 Maisey, but light movement was.

462 Like Maisey, Norman was reinforced by light movement, but not the removal of 463 light (as shown in Figure 3B). Attention from the owner (being called back) had no effect 464 on the rate of the behavior compared to the control condition. These data suggest that 465 Norman's behavior was only reinforced by light movement. Thus, both dogs' light 466 chasing stereotypy was reinforced by light movement, not its removal, nor owner 467 attention. Low rates of the behavior in the control condition indicated that when the light 468 remained stationary as the dog engaged with it, contact with the light was not reinforcing 469 to the dogs. This suggests that light chasing may be related to chasing prey or other types 470 of chasing that result in the movement of the chased item. Potentially, an exaggerated 471 chase drive may predispose dogs to engaging in light chasing. In addition, given that light

472 chasing was reinforced by properties of the light itself, this may explain why owners473 viewed light chasing as "unpredictable."

474

475 Circling

476 The first four sessions of each condition for Shellie were inconclusive with 477 relatively low rates of responding (see sessions 1-16, Figure 4A). After this initial 478 assessment, the owner was trained to conduct the analysis and guided through the 479 procedures during each condition (sessions 17-32). These sessions a showed a clear 480 pattern of results in which circling was highest in the attention condition. Thus, the 481 highest rates of stereotypy were observed when circling was contingent on *owner* 482 attention in the form of the owner telling the dog to "sit," which was followed by praise. 483 Rates of stereotypy were low in the condition in which Shellie was given access outdoors 484 contingent on stereotypy (walk condition) or when simply left inside when the owner 485 went outside (alone condition). This walk and alone condition was indistinguishable from 486 the control condition. Thus, the behavior was maintained by the owner's effort to reduce 487 stereotypy by providing attention in the form of telling the dog to "sit" and giving praise 488 contingent on stereotypy.

Jimmie showed very low rates of stereotypy during all sessions (see Figure 4 B). Only two instances of stereotypic behavior were recorded, both in the attention condition, however the overall low rate prevented an interpretation of the function of the stereotypy. Thus, the data suggest the behavior may have an attention function; however, the results for Jimmie were inconclusive.

495 Licking

496 Tina showed high rates of licking in the attention condition (Experimenter said 497 "Tina" to interrupt the behavior and praised for 10 s when the dog stopped), but not in the 498 alone or the control condition (see Figure 5). Tina, however, showed a decreasing trend 499 in the rate of licking in the attention condition (sessions 1-15). To test whether this was 500 an artifact of the attention coming from the experimenter, the owner was trained to 501 conduct the sessions under the guidance of the experimenter. During these sessions 502 (sessions 17-24), high rates of licking were observed in the attention condition, and zero 503 rates during the alone and control conditions, indicating licking was reinforced by the 504 owner calling the dog's name to interrupt the behavior and providing attention for 505 stopping. 506 We identified reinforcers for stereotypic behavior in four of five dogs, showing

507 that this behavior can be controlled by environmental consequences. For two of these 508 dogs, the behavior was incidentally reinforced by the owner trying to stop the behavior 509 (telling the dog to "sit," or "stop"). The remaining two dogs were reinforced by light 510 movement, which was independent of the owner's behavior. Here, the reinforcer was 511 related to the behavior itself: when the dog chased and approached the light, the light 512 moved. By identifying reinforcers of the stereotypic behavior, it should be possible to 513 manipulate these reinforcers to decrease the behavior. Disrupting the contingency 514 between the behavior and reinforcer should cause the behavior to extinguish. 515

516

Study 3

517	The aim of Study 3 was to decrease stereotypic behavior by manipulating the
518	reinforcer for three of the four dogs for which a reinforcer was identified in Study 2.
519	Because different reinforcers were identified for different dogs, each dog was treated as a
520	case study, receiving a unique treatment plan.
521	Methods and Materials
522	Subjects
523	Maisey, Shellie and Tina from Study 2 participated in Study 3 (one dog light
524	chased, one circled, and one dog licked). After completing Study 2, all dogs immediately
525	began Study 3.
526	General Procedures
527	Each dog received a unique treatment depending on the reinforcer for and
528	intensity of the behavior. All treatment sessions lasted 5 min each. Two or more sessions
529	in which the behavior was reduced to fewer than 10% of the time intervals (i.e. less than
530	3 of 30 intervals) was considered successful for progression to the next treatment
531	component or termination of treatment.
532	Light Chasing
533	For Maisey, light movement maintained the light chasing. To reduce light
534	chasing, a compound treatment was developed. Given that Study 2 demonstrated that
535	repeated sessions in which turning off the light contingent on approaching or engaging
536	with it decreased responding, we utilized this manipulation of the reinforcing light
537	movement to decrease behavior. Two additional features were included to reduce
538	behavior. The first was an alternative contingency reinforced with food (differential
539	reinforcement of an alternative, DRA). Paw lifting or "waving" was selected as an

540 appropriate novel behavior to reinforce. Second, we utilized a stimulus fading procedure 541 that began with a low intensity flashlight that was gradually increased across sessions to 542 the highest intensity light (the light intensity used during Functional Analysis sessions). 543 The design for Maisey's treatment was as follows. We first conducted baseline 544 sessions for paw lifting to the cue "wave" to confirm the behavior was novel (see figure 545 6: sessions 1-3). Next, Maisey was trained to lift her paw to the cue "wave," by 546 reinforcing successive approximations with food. Following training, Maisey was tested 547 for responding to the cue "wave" when given every 30 s during a session (sessions 4, 5, 548 and 8). In separate sessions, Maisey's responding to the lowest intensity flashlight (9 lumens- Rayovac[®] 2D Flashlight, Madison, WI) was recorded to serve as a baseline for 549 550 subsequent manipulations (sessions 6, 7, and 9). Next, reinforcement for waving and 551 extinction for light chasing (turning the light off contingent on engaging with the light) 552 were combined until light chasing decreased to fewer than 10% of the intervals for two 553 sessions. Next, the baseline level of stereotypy for the next higher intensity light (85 lumens- Rayovac[®] Lantern) was obtained in two probe sessions, followed by the 554 555 implementation of the treatment. Once the behavior had been reduced to criterion level, 556 baseline for the highest intensity light was obtained through two probe trials. Treatment 557 for the highest intensity flashlight was implemented to criterion. Thus, there were three 558 replications of the treatment effect from baseline to treatment. Last, the schedule of 559 reinforcement for "waving" was reduced to a fixed interval 5 s schedule. 560 Circling

The Functional Analysis in Study 2 indicated Shellie circled for attention. To
reduce Shellie's circling, differential reinforcement of other behavior (DRO) was utilized

563 by providing owner attention for engaging in behaviors other than circling. If the dog 564 circled, the owner ignored it. Identically to the Functional Analysis sessions, the owner 565 approached the door every 30 s throughout the interval. If Shellie circled, the owner 566 continued to proceed through the door and stayed outside for 10 s. If the dog allowed the 567 owner to approach and open the door without circling, the owner praised it for 10 s. 568 These sessions were conducted at the same door as the Functional Analysis sessions. 569 Once the dog met criterion for progressing, sessions were conducted at a second door in 570 the house (the door most often used by the owners) and the treatment was repeated to 571 replicate the effect. If the dog did not meet criterion after several sessions (10 or more 572 sessions), a time out contingency was added. A time out was used to remove all forms of 573 owner attention contingent on circling. If, when the owner approached the door, the dog 574 began to circle, the owner placed the dog into a separate empty room for 10 s. If, when 575 the owner approached the door, the dog did not circle, the dog was given 10 s of owner 576 attention. Once the dog met the criterion at the second door, the final treatment phase 577 required the dog to not only not begin circling as the owner approached, but also to 578 refrain from circling while the owner left. All contingencies from the previous condition 579 remained in effect.

580 Licking

The Functional Analysis for Tina in Experiment 2 indicated that her repetitive licking was reinforced by owner attention (calling her away). First, five baseline sessions were conducted in which the owner called the dog away contingent on floor licking. Next, the treatment condition was implemented using a 30 s momentary DRO. In this condition a timer was set for every 30 s throughout the session. If the dog was not

586 engaging in licking when the timer ended, the dog was given 10 s of attention. Otherwise, 587 the dog was ignored. If the dog was licking the floor when the timer ended, she was 588 ignored. If this did not sufficiently reduce floor licking, the next component was a time 589 out where the dog was placed in the next room alone for 10 s contingent on floor licking. 590 This removed all possible sources of owner attention that may occur when the dog and 591 owner are in the same room. If the dog did not engage in floor licking the owner ignored 592 the dog. In the following phase, the time out procedure and DRO were combined so that 593 if the dog engaged in floor licking, it was placed in the next room for 10 s. If the dog was 594 not licking the floor when the 30 s timer timed out, she was given 10 s of attention.

595 Analyses

Treatment sessions were conducted until dogs met the minimum criterion of a reduction in behavior to less than 10% of intervals for two sessions before moving onto further treatment. Meeting this criterion for at least three consecutive sessions was considered successful for the final treatment phase. This criterion represents a minimum of a 78% reduction for Maisey, a 70% reduction for Shellie, and an 89% reduction for Tina.

Inter-observer agreement was assessed for the target behavior of each dog by
having a second-observer score at least 20% of each dog's video-recorded behavior.
Percent agreement was assessed on an interval-by-interval basis by scoring the number of
bins for which the two observers agreed divided by the total number of bins. Mean
percent agreement across all sessions was 88%.

607

608

Results and Discussion

609 Light Chasing Results

610 During initial baseline sessions for paw lifting, Maisey showed no evidence of 611 paw lifting to the cue "wave" (see Figure 6). When she was trained to paw lift to the cue 612 "wave," she showed moderate levels of waving (see sessions 4, 5 & 8). Sessions 6, 7 & 9 613 show that Maisey pounced on the lowest intensity light at high levels (between 75% and 614 90% of intervals). In the following sessions, reinforcement for waving while the light was 615 on and extinction for pouncing on the light (the light was turned off) was implemented. 616 Rates of pouncing decreased within five sessions (sessions 10 - 14) while rates of paw 617 lifting increased. In the subsequent probe sessions for the next higher intensity of light, 618 pouncing and chasing rebounded slightly (sessions 15 and 16). When treatment was 619 implemented, pouncing decreased to zero immediately (sessions 17 and 18). Rates of 620 pouncing rebounded when baseline conditions were reinstituted with the highest intensity 621 light, and then declined again once treatment conditions were implemented in sessions 21 622 through 25. When the schedule of reinforcement was thinned for waving, there was a 623 brief increase in pouncing which quickly declined. Overall, the effect of the treatment 624 was replicated at each light intensity level. Once the behavior reduction package was 625 implemented at each intensity, the rate of pouncing decreased. Visual inspection of the 626 data suggest the treatment had a meaningful effect on the behavior because each 627 treatment data point was lower than its respective baseline condition. The mean 628 percentage of intervals with light chasing for baseline sessions was 47% whereas the 629 mean for treatment sessions was 10%, with the mean of the last three treatment sessions 630 at 2.2%. The overall reduction in behavior from baseline to the last three treatment 631 sessions was 95%.

633 Circling

634	The first section of Figure 7 includes the results of the Functional Analysis in the
635	attention condition from Figure 4A as baseline for comparison to treatment conditions.
636	When the DRO procedure was implemented, we observed a steady decrease toward zero
637	instances of circling per session (sessions 17-26). As the DRO procedure was
638	implemented to decrease circling when the owner approached a different door, a
639	resurgence in circling was recorded and little decrease in the behavior was observed
640	across sessions. When a brief 10 s time out was implemented (session 43), a rapid
641	decrease in the behavior was noted which was maintained even as the owner went all the
642	way through the door (session 52-54). The rate of circling decreased from 32.5% of
643	intervals during the Functional Analysis attention condition, to 5.5% of intervals across
644	all of the time out sessions to the second door. Comparing the mean rate of circling in the
645	baseline Functional Analysis to the mean of the last three sessions of treatment, an
646	overall reduction in stereotypic behavior of 83.6% was observed.
647	These results indicate that the removal of attention contingent on circling by
648	putting the dog in the next room significantly reduced behavior. This further confirms
649	that the dog's circling was reinforced by attention, as the removal of attention contingent
650	on circling led to a significant decrease in the behavior.
651	

- -

652 Licking

Figure 8 shows a high and stable baseline for Tina's licking (mean of 92% ofintervals), which was obtained following the procedures for the attention condition from

655 the Functional Analysis in 5 min sessions. When the DRO was implemented, a small 656 decrease was noted, however, the behavior remained at unacceptable levels. We 657 attempted to reverse to baseline (sessions 28-30), however, no instances of licking were 658 observed. These sessions functionally acted as ignore conditions (i.e. the dog was never 659 instructed to stop licking because licking was never observed). Additional Functional 660 Analysis sessions (Sessions 34-42, not shown) were conducted to confirm the licking 661 behavior only occurred in the owner's presence and when attention was contingent on 662 licking. These sessions confirmed the Functional Analysis data reported in Study 2: 663 licking terminated once the owner left (the behavior was observed in 0% of intervals), 664 resurged once the owner returned (70% of intervals), and terminated when the owner 665 provided non-contingent attention (0% of intervals). The DRO treatment was again 666 implemented but unacceptable levels of licking remained (see Figure 8). Next, the time-667 out treatment was implemented with a near immediate effect. Following multiple sessions 668 of little to no licking, the DRO was introduced and licking remained low, occurring in 669 fewer than 6% of intervals. The mean percent of intervals licking was observed across the 670 last three treatment sessions was 0%. Comparing the baseline to the overall mean of the 671 last treatment phase, a 98.5% reduction in behavior was observed. 672 The results suggest that Tina's licking can be controlled by manipulating the 673 attention the owner provides the dog contingent on licking. When the owner contingently

674 removed attention (by putting the dog in the next room), decreases in licking were

observed. Licking decreased overall from the initial baseline of 92% of intervals to a

676 mean of 1.3% of intervals in the final treatment phase. The results further confirm that

677 Tina's licking was maintained incidentally by owner attention.

General Discussion

679	The results of the three studies reported here indicate that canine stereotypy can
680	be maintained by environmental consequences (Study 1 and Study 2), those consequence
681	can be identified (Study 2), and manipulated to reduce stereotypy (Study 3).
682	Study 1 shows that stereotypy in our sample can occur under a variety of
683	antecedent conditions, and is not specific to conditions of deprivation. Instead, owners
684	report stereotypy even under conditions of enrichment such as play. The results of this
685	survey cannot, of course, be generalized to the entire population of pet dogs because the
686	owners who responded were self-selecting. However, the results serve to indicate some
687	part of the range of possible contexts in which stereotypy is observed in pet dogs.
688	The PCA in Study 1 identified 4 independent components that described how
689	owners reported responding to their dog's stereotypy. These components suggest that
690	owners have different styles of responding to stereotypy. Attending to these styles of
691	response would be useful to clinicians, as owners may incidentally reinforce the
692	undesired behavior. Shellie's owner told her dog to sit and reinforced sitting, which
693	corresponds to a "redirect" response (component 4), and incidentally reinforced the dog's
694	problem behavior. Tina's owner also redirected by calling the dog's name to interrupt the
695	behavior, which incidentally reinforced the behavior with attention.
696	Study 2 indicated that canine stereotypic behavior was reinforced by sensory
697	consequences (light movement) for two dogs, and by owner attention for two more dogs.
698	This is an interesting difference from the human literature that indicates that human
699	stereotypic behavior (e.g. swaying, hand-flapping or vocal stereotypy) is rarely
700	maintained by attention, but instead by the sensory consequences of the behavior (Iwata

et al., 1994b). Given that light movement was shown to reinforce light chasing, light
chasing may be functionally similar to the chasing of other moving objects such as prey,
which then might generalize to moving lights. This suggests light chasing may not be a
conflict behavior, but rather a hypertrophied form of responding to moving objects.
Additional dogs, however, would need to be evaluated to assess whether object
movement is the most common reinforcer for light stereotypies.

707 In Study 3, we showed that breaking the contingency between a behavior and the 708 reinforcer identified in Study 2 led to a decrease in the behavior. For example, we 709 observed decreases in chasing and pouncing at a light when such behavior no longer led 710 to light movement and an alternative behavior was reinforced. We also showed that 711 attention maintained behaviors could be reduced when the behavior led to the owner's 712 removal. This extends prior research suggesting that owners reinforce tail-chasing (Burn, 713 2011) by providing the first direct evidence that owner attention reinforces stereotypy. 714 Interestingly, for both of the dogs whose behavior was reinforced by attention, the 715 reinforcer was specifically attention from their owners and not from strangers. Tina 716 showed a decreasing trend when the experimenter was not the owner, but an increasing 717 trend when the owner acted as experimenter. Similarly, Shellie showed an 718 undifferentiated pattern of behavior when the owner was not the experimenter, but a clear 719 attention function when the owner was the experimenter. This suggests that the specific 720 owner-dog dynamic might be important in canine stereotypy. 721 In addition, our finding that stereotypic behavior in different dogs may be under 722 the control of different reinforcers suggests that therapeutic recommendations for canine 723 stereotypy may be too broad. It may not be advisable to make general behavioral

724 treatment recommendations for canine stereotypy if the behavior could be under the 725 control of different reinforcers. For example, re-direction procedures have been shown to 726 be effective in treating humans with stereotypy (e.g. Cassella et al., 2011; Schumacher 727 and Rapp, 2011). However, human stereotypy is rarely maintained by attention (e.g. 728 Iwata et al., 1994b), making it unlikely that a therapist may incidentally reinforce the 729 stereotypy while re-directing the behavior. In some of the dogs we tested here, however, 730 we found that stereotypic behavior was reinforced with attention, and thus re-direction 731 procedures (e.g. telling the dog to sit), exacerbated the problem behavior. For other dogs, 732 however, attention was not a reinforcer and re-direction procedures may be effective for 733 these dogs, without incidentally reinforcing the problem behavior. This individually-734 tailored treatments hypothesis, however, requires further testing because our sample size 735 was too limited to estimate whether the fact that reinforcers for stereotypy varied in our 736 sample represented the norm for the population or rather was an exception. 737 Generalizations to the larger population of dogs with stereotypy from the present 738 study are limited given the sample size. Our direct assessment of putative reinforcers 739 (Study 2), and subsequent manipulation of the reinforcer contingency to decrease 740 stereotypy (Study 3) were limited to five and three dogs respectively. Therefore, we 741 cannot generalize the prevalence of various reinforcers and environmental consequences 742 to the broader population. Additional study will be required to assess the prevalence of 743 different reinforcers maintaining stereotypy. Importantly, the present study demonstrates 744 that the Functional Analysis methodology is a viable method for assessing possible 745 environmental reinforcers of stereotypy for individual dogs, and can lead to individual 746 tailored treatments to reduce stereotypy.

747 This study provides some of the first empirical evidence demonstrating that 748 environmental variables can and do influence canine stereotypic behavior. It is important 749 to note, however, that the present analysis does not exclude the biological hypothesis, but 750 instead adds to it. The stereotypic behavior in our present analysis may also be influenced 751 by genetic factors or may have started as a medical condition. Identifying the 752 environmental determinants of the behavior helps further our understanding of the 753 variables maintaining canine stereotypic behavior that are susceptible to direct 754 manipulation. 755 In sum, the environmental consequences of stereotypy should be considered as 756 potential reinforcers for stereotypy. The Functional Analysis procedure can be utilized to 757 assess whether stereotypy is reinforced by any of its consequences. Once the reinforcer is 758 identified, programs can be designed to target it and thereby reduce the behavior. This 759 may be preferable to treatments not tailored to individual circumstances, such as 760 redirection, that may have the unintended consequence of reinforcing the behavior. 761 Future research exploring the environmental antecedents and consequent events of 762 stereotypy will help further understanding of the variables controlling canine stereotypy.

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770 Conflict of Interest Statement

771 The authors declare no conflict of interests.

772 Authorship

- N.H, A.P. and C.D.L.W all contributed to the idea for the paper, the design of the
- experiments, analysis of the data, and the writing of the paper. The experiments were
- conducted by N.H. and A.P.

776 Ethical Statement

- 777 This study was approved by the University of Florida Institutional Animal Care and Use
- 778 Committee (IACUC).

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	Have something	Lots of Attention	Play	"Stressed"	stimulation	Crated	Command	Noise	Unpredictable	Other	# Reporting
Circling	26.8	19.5	19.5	34.1	26.8	4.9	2.4	0.0	14.6	12.2	37
Licking Light	13.6	20.5	40.9	15.9	25.0	18.2	0.0	4.5	25.0	11.4	41
Chasing	0.0	7.1	28.6	0.0	0.0	0.0	7.1	0.0	64.3	0.0	14
Fixation	37.5	6.3	33.3	12.5	29.2	0.0	6.3	6.3	22.9	14.6	43

Table 1. Owner-reported antecedent events for each stereotypy. Numbers indicate the

858 percentage of owners reporting each antecedent. The last column indicates the number of

859 owners reporting antecedents for that stereotypy.

3 2 4 1 Ignore -.033 -.080 .745 -.125 Give Attention .120 .099 .848 .054 Say "stop" -.053 -.022 .820 -.263 Block (prevent) .701 .300 .152 .231 **Remove Something** .386 .048 -.635 -.137 Give Desirable .163 .194 .710 -.062 Other: distract -.391 -.267 .325 .543 Command .001 -.150 .108 .829

Component

862

863

Table 2. Correlation matrix for Principal Component Analysis. The correlation in
each component for each behavior is indicated. Component loadings greater than .4 are
indicated in bold.

867

Subject	Breed	Age	Sex
Maisey	Boxer	2	F
Norman	Labrador retriever mix	6.5	М
Shellie	Shetland sheepdog	7	М
Jimmie	Cattle dog mix	4	М
Tina	Miniature dachshund	4	F

Table 3. Subject Information. Breed, sex and age for each subject in Experiment 2

and 3 are given.

875 Figure Legends

876	Figure 1. Owner-reported frequency of stereotypy. Each graph indicates the
877	frequency of each behavior reported in the survey. Low Daily indicates between one and
878	two times daily, whereas as High Daily indicates three or more times a day.
879	
880	Figure 2. Prevalence of responding for owner responses to stereotypy.
881	Percentages reflect the number of owners responding to each response of the 83 owners
882	that responded to this question. Owners could select more than one response.
883	
884	Figure 3. Functional Analysis results for Maisey (A) and Norman (B) for Light
885	chasing. Each data path is labeled with the appropriate condition.
886	Figure 4. Functional Analysis for Shellie (A) and Jimmie (B). Each data path is
887	labeled with the respective condition. Gap in data path for Shellie indicates where the
888	owner acted as the Experimenter.
889	Figure 5. Functional Analysis for Tina. Each data path is labeled with the
890	appropriate condition. The breaks in the data paths indicate when the owner became the
891	experimenter.
892	Figure 6. Treatment for Maisey's light chasing. Dashed line indicates a change in
893	procedure. BL represents Baseline and DRA indicates when differential reinforcement of
894	alternative and the removal of the light contingent on pouncing was in effect. Intensity 1
895	stands for the 9 lumen light, Intensity 2 is the 85 lumen light, and Intensity 3 is the 134
896	lumen light.

897	Figure 7. Treatment for Shellie's circling. Dashed line indicates a change in
898	procedure. DRO stands for differential reinforcement of other behavior. The DRO
899	procedure for both doors is shown. TO stands for timeout. TO Step outside indicates
900	when the owner would fully step outside.
901	Figure 8. Treatment for Tina's licking. Dashed lines indicate changes in
902	procedure. Double dashed line on the x axis indicates where additional Functional
902 903	procedure. Double dashed line on the x axis indicates where additional Functional Analysis sessions were conducted (see results). BL stands for baseline, DRO stands for