

Effect of Nanoscale Zero-Valent Iron Treatment on Biological Reductive Dechlorination: A Review of Current Understanding and Research Needs

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Abstract

Nanoscale zero-valent iron (nZVI) is a strong non-specific reducing agent that is used for *in situ* degradation of chlorinated solvents and other oxidized pollutants. However, there are
20 significant concerns regarding risks posed by the deliberate release of engineered nanomaterials into the environment, which have triggered moratoria, for example, in the United Kingdom. This critical review focuses on the effect of nZVI injection on subsurface microbial communities, which are of concern due to their important role in contaminant attenuation processes.

Corrosion of ZVI stimulates dehalorespiring bacteria, due to the production of H₂ that can serve
25 as an electron donor for reduction of chlorinated contaminants. Conversely, lab studies show that nZVI can be inhibitory to pure bacterial cultures, although toxicity is reduced when nZVI is coated with polyelectrolytes or natural organic matter. The emerging toolkit of molecular biological analyses should enable a more sophisticated assessment of combined nZVI/biostimulation or bioaugmentation approaches. While further research on the
30 consequences of its application for subsurface microbial communities is needed, nZVI continues to hold promise as an innovative technology for *in situ* remediation of pollutants. It is particularly attractive for the remediation of subsurface environments containing chlorinated ethenes because of its ability to potentially elicit and sustain both physical-chemical and biological removal despite its documented antimicrobial properties.

35 **Key Words:** Nano zero-valent iron, iron nanoparticles, nZVI, reductive dechlorination, *Dehalococcoides*, chloroethene, groundwater, remediation

Introduction

The ability to characterize and manipulate materials at scales approaching the atomic level has resulted in a rapid increase in the use of engineered nanomaterials.¹ In addition to being
40 incorporated into a growing variety of consumer products, nanomaterials have found wide applications in fields such as electronics, optics, medicine, and environmental restoration.² Similar to other emerging technologies (e.g., synthetic biology), engineered nanomaterials face skepticism from those who wonder about the net benefit of these materials in light of their unknown but potentially adverse impacts.^{3, 4} One example of a nanotechnology that has
45 received such scrutiny is the use of nanoscale zero-valent iron (nZVI) injections for remediation of contaminated sites.⁵ nZVI is the foremost example of an engineered nanomaterial that is designed for direct release into the environment, and uncertainties regarding the risks it poses to human health and the environment have led to a moratorium on the use of nanotechnology for environmental remediation in the U.K. pending further research.⁶ This situation presents a
50 paradox: in contrast to many other engineered nanomaterials, nZVI can occur naturally, dissolves readily, and is made of the fourth-most abundant element in the Earth's crust. Fortunately, a growing body of research in nanotoxicology has emerged in parallel to the development of novel nanomaterial applications. Although more research is needed, a basic understanding of the potential mechanisms of nanomaterial toxicity has taken shape.⁷ Using
55 data collected over the past 15 years, it is now possible to begin weighing the benefits of different nanotechnologies against their potential pitfalls.⁸

This review focuses on one aspect of the uncertainty surrounding nZVI – namely, its effect on the bacterial communities involved in bioremediation of chlorinated solvent pollution.

60 Numerous researchers have documented that exposure to different nanoparticles can lead to

adverse impacts on microbial life⁹ [and references therein]. In fact, nZVI has even been proposed for use as a bactericide.¹⁰⁻¹¹ At the same time, nZVI is known to create conditions supportive of growth and activity of hydrogenotrophic bacteria involved in the bioattenuation of chlorinated subsurface pollutants¹²⁻¹⁴. Given that as of 2009 bioremediation was the most
65 commonly used method for remediation of contaminated soil and groundwater,¹⁵ it is thus attractive and important to examine both the positive and negative aspects of nZVI amendments to the microbial ecology of subsurface environments.

Zero-valent iron nanoparticles can have been speculated and are known to act synergistically
70 with microorganisms responsible for anaerobic dehalogenation of chlorinated organics, with the principal role played being that of an additional source of H₂, the microbes' preferred electron donor.^{12-14, 16-17} While this relationship has been demonstrated in laboratory studies for microscale zero-valent iron used in permeable reactive barriers, the results of recent studies using nanoscale ZVI are mixed. A more nuanced understanding of the interaction between nZVI
75 and dehalorespiring bacteria is expected to result in improved remedial design and thus better and superior remedial outcomes. Whereas nZVI may impact a variety of pollutants and bioremediation agents, its effect on reductively dechlorinating facultative and strict anaerobic bacteria is of particular interest, due to the high prevalence of chloroethene contamination in
80 global drinking water resources and the fact that these organisms are dependent upon negative oxidation-reduction potentials and H₂ as an electron donor. The goal of this review is to analyze the implications of nZVI treatment on biological reductive dechlorination and identify critical areas for further research.

Background

85 It is well known that improper disposal of hazardous wastes has led to widespread
contamination of soil and groundwater with chlorinated ethenes and other anthropogenic
pollutants including oxidized metals and inorganic anions. Although significant progress has
been made in remediating contaminated sites during the past two decades, the number of sites
yet to be addressed remains high. The U.S. EPA estimates that there are approximately 300,000
90 contaminated sites remaining in the U.S., with a projected total cleanup cost of more than \$200
billion over the next twenty years.¹⁸ Clearly, there is a need for innovative cleanup technologies
that are faster and more cost-effective than established methods. At the same time, the
remediation industry is facing a growing mandate to reduce the greenhouse gas emissions
resulting from its operations.¹⁹ A result of these two trends is the gradual and ongoing shift
95 away from active, *ex situ* remediation technologies that transfer contaminated media to the
surface for subsequent treatment. Such techniques are highly energy-intensive and are
associated with significant emissions of greenhouse gases. Poised to take their place are a
variety of emerging technologies that are passive and can be conducted *in situ*, i.e., within the
contaminated subsurface environment.²⁰ Advantages of these *in situ* methods often include a
100 reduction in operating costs, energy usage, environmental disruption, and, in the ideal case,
even time to site closure.²¹ This shift towards greater reliance on *in situ* methods coincides with
the emergence of both zero-valent iron and bioremediation as popular remedial technologies.

Zero-Valent Iron

105 Zero-valent iron first came to prominence as a tool for environmental restoration through its
use in permeable reactive barriers (PRBs). The PRB is a passive *in situ* remediation technology
designed to degrade or immobilize contaminants as they are carried with natural groundwater
flow through the barrier.^{22, 23, 24} A variety of reactive media have been utilized in PRBs, including
zeolites, compost, activated carbon, and limestone. However, granular or microscale zero-valent
110 iron is by far the most common. Reactive barriers constructed from ZVI detoxify many
contaminants, most notably chlorinated solvents, through redox reactions in which the
reduction of the contaminant is coupled to the oxidation of elemental iron.

The potential simplicity and low operating costs of PRBs have made them an attractive option
115 for site cleanup. Despite the advantages of this technology, it does have a number of
drawbacks.²⁵ These include (i) the high capital cost of PRBs, (ii) their relative inflexibility
compared to more established methods such as pump and treat, (iii) the difficulty in addressing
contamination at depths greater than approximately 30 m below ground surface due to
constructability issues,²³ and (iv) the fact that PRBs are useful only as a tool for dissolved plume
120 control and not for source zone reduction because they can only treat contaminants present in
the aqueous phase.

Nano Zero-valent Iron. The limitations of PRBs led to the development of nZVI, which has been
the focus of much research in recent years. In comparison to granular or microscale iron, nZVI
125 has a higher density of reactive surface sites and can be deployed with greater flexibility in the
subsurface. Multiple studies have demonstrated the ability of nanoscale ZVI particles to reduce

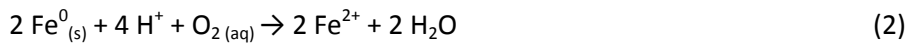
chlorinated solvents,^{26,27} polychlorinated biphenyls (PCBs),^{27,28} heavy metals,²⁹ pesticides,³⁰ and energetic compounds,³⁰ as well as the inorganic anions perchlorate and nitrate.³⁰ However, the majority of research has been directed towards the detoxification of chlorinated organics. In laboratory studies the reaction of nZVI with chloroethenes is reported to occur at rates up to two orders of magnitude greater than with microscale ZVI, with production of fewer toxic intermediates.²⁶ Moreover, nZVI treatment has been shown to react with PCB congeners that were unaffected by microscale iron.²⁸ Contaminant degradation rates can be increased and the range of amenable contaminants broadened by doping nZVI with a noble metal catalyst such as palladium (Pd).³¹ The increased reactivity of nZVI is predominantly a function of its large specific surface area.³² Moreover, the small size of nZVI makes it well suited to injection into the subsurface to form *in situ* reactive zones.³² While the reactivity, lifetime, and transport of nZVI have been studied in depth and reviewed recently³³, the likely effects of nZVI on subsurface microbial communities are still not fully understood. Before addressing the potential effects of nZVI on dechlorinating microorganisms, it is first necessary to review the redox chemistry of this material in the context of its transport and retention/interfacial behavior in porous media.

Fe⁰ Chemistry. In aqueous environments, zero-valent iron is readily oxidized, releasing two electrons as shown below:

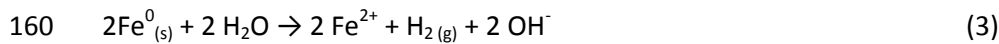
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The standard electrode potential (E°) of the reaction in Eqn. (1) is -0.44 V ³⁴. Fe^0 commonly forms redox couples with several electron acceptors of environmental relevance. For example, when present in oxic environments, such as the vadose zone or shallow groundwater, Fe^0 is oxidized to ferrous ion according to the following reaction:



The standard electrode potential of the Fe^0 / O_2 couple is $+0.71 \text{V}$ at 25°C , which indicates that the reaction is thermodynamically favorable.³⁴ As a result, ZVI consumes dissolved oxygen in groundwater. In oxygen-limited conditions typically found in deeper aquifers or near readily biodegradable contaminant plumes, the reaction of Fe^0 with water is more important:



Although anoxic corrosion of ZVI is less thermodynamically favorable than its reaction with oxygen, it still has the effect of consuming the Fe^0 core and producing precipitates on the ZVI surface. Notably, corrosion of ZVI results in the release of hydroxide ions and production of H_2 .

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Ferrous iron produced by corrosion of ZVI can be subsequently oxidized biologically or abiotically to ferric iron, a reaction that can also drive the reduction of anionic subsurface

contaminants. However, under typical groundwater conditions, it is more common for amorphous iron (oxy)hydroxides or carbonates to precipitate on the particle surface.^{35, 36} Over
170 time these amorphous precipitates are converted into various crystalline phases, of which magnetite (Fe₃O₄) predominates. Depending on the anions present and dissolved oxygen content, other phases including maghemite (Fe₂O₃), vivianite (Fe₃(PO₄)₂•8H₂O), schwertmannite (Fe³⁺16O₁₆(OH,SO₄)₁₂₋₁₃•10-12H₂O) may also form³⁷. These precipitates can form a passivating
175 layer that slows electron transfer from the zero-valent iron core, although this effect varies between the different precipitate species.

Zero-valent iron also reacts with various contaminant molecules. The overall equation for the reaction of ZVI and a chlorinated organic molecule RCl is as follows.²⁵



Reductive dechlorination reactions such as Eqn. (4) proceed via electron transfers from the iron to the organic molecule at the iron surface. When carried out to completion, this process ultimately yields chloride ions and non-toxic ethene or ethane gas. The two main mechanisms
185 responsible for ZVI-mediated reductive dechlorination are hydrogenolysis and reductive β -elimination.^{38, 39} During hydrogenolysis, each chlorine molecule that is removed and released into solution as chloride is replaced by a hydrogen atom, resulting in a net input of one proton and two electrons.³⁹ In this way, higher chlorinated ethenes, such as perchloroethene (PCE) and trichloroethene (TCE), are sequentially dechlorinated to dichloroethene (DCE), vinyl chloride

190 (VC), and the non-toxic end products ethene and ethane. Conversely, β -elimination involves the concurrent removal of two chlorine atoms and the production of acetylene, which is promptly transformed to ethene and ethane. It has been shown that β -elimination is likely to dominate over hydrogenolysis during abiotic reduction of chlorinated ethenes by ZVI^{14, 39}.

195 The distinction between mechanisms is important because of the different transformation products that are generated by the two different pathways. Bioremediation of chlorinated ethenes such as PCE and TCE is notorious because of its tendency to “stall out” before achieving complete dechlorination of the parent compounds⁴⁰, resulting in the accumulation of undesirable transformation products, primarily DCE and less frequently VC, both of which are
200 regulated contaminants. Although certain mixed cultures and isolates have been shown to degrade TCE to the *trans*- and 1,1-DCE isomers in laboratory studies,^{41, 42 43, 44, 45} *cis*-DCE is the isomer that commonly predominates. VC is a known human carcinogen that is more toxic than either TCE or PCE.⁴⁶ The fact that nZVI can degrade chloroethenes to the desired end products with little accumulation of *cis*-DCE or VC^{16, 47} is a distinct advantage.

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In addition to degradation of chlorinated organics, ZVI also can be applied for the treatment of other contaminants by: (i) precipitation of redox-sensitive inorganic anions,^{35, 48} (ii) biologically mediated anion reduction (denitrification, sulfate reduction),^{35, 49} (iii) and reduction of redox-sensitive metals.²⁹ While important, these transformation processes are largely outside the
210 scope of this review.

Aggregation and Mobility. To effectively treat dissolved groundwater plumes and dense non-aqueous phase liquid (DNAPL) source zone areas, nZVI must be mobile in the subsurface.

215 However, nZVI tends to aggregate quickly to micrometer-sized aggregates,^{50,51} thereby limiting its mobility in saturated porous media and potentially causing decreases in aquifer hydraulic conductivity.⁵²⁻⁵⁵ It has been shown that ionic strength^{54,55} will decrease nZVI mobility, while natural organic matter (NOM) content⁵⁶ and microbial subsurface biofilms⁵⁷ will increase nZVI mobility in water-saturated subsurface environments. Several different methods have been developed for limiting aggregation of nZVI in water and enhancing its mobility in porous media.

220 These methods include suspension of nZVI in vegetable oil emulsion,⁵⁸ attachment of the particles to anionic carbon supports,⁵¹ and application of sorbed polymer coatings,^{55, 59, 60, 61, 62} or combinations of the above. In some cases these coatings have incorporated hydrophobic moieties to create nZVI particles that will preferentially migrate towards the DNAPL-water interface,^{58,63} albeit the activity of nZVI in the DNAPL has been subject of discussion.^{12, 64}

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Transport of nZVI is also highly dependent on the injection method, and particles injected using high-pressure techniques are expected to travel farther than those injected via gravity. Filtration theory predicts nZVI transport distances in the subsurface ranging from a few centimeters up to about 100 m.^{54, 56, 65 66 67} Using dissolved oxygen, oxidation/reduction potential, and iron concentration of groundwater as indicators, nanoparticle transport distances of 1.5 m to 6 m

230 have been reported.^{52, 68, 69} The issue of nZVI mobility merits mention here because it will dictate the spatial extent of any stimulation or inhibition of soil bacterial communities.

Bioremediation

235 In parallel to the development of nZVI technology, recent research has also led to an improved understanding of biological contaminant degradation processes and the organisms responsible for them. Bioremediation strategies have been shown capable of addressing nearly the entire spectrum of pollutants found at contaminated sites and are gaining increased acceptance.

240 As a result of their common presence in soil and groundwater, much research has focused on the biodegradation of chlorinated solvents⁴⁰ [and references therein]. Because of the electronegative nature of the chlorine atoms contained in these molecules, their carbon-carbon bonds are not typically thermodynamically amenable to oxidative degradation by aerobic microbes.⁷⁰ Instead, they are reductively dechlorinated under anaerobic conditions, where the

245 chloroethene serves as an electron acceptor for the dehalorespiring microorganisms. In contrast to the β -elimination reaction that is common in ZVI systems, biological dehalogenation occurs via reductive hydrogenolysis.⁷¹ In this way, higher chlorinated ethenes such as PCE and TCE can be sequentially dechlorinated to DCE, VC, and ethene. The reductive transformation of chlorinated ethenes is a process of stepwise depletions because higher chlorinated ethenes are

250 the preferential substrate.

Organisms that are capable of dehalorespiration have been identified within the δ - and ϵ -*Proteobacteria* and the low-GC (guanine-cytosine) content Gram-positive bacteria.⁷² However, only *Dehalobacter* spp. and *Dehalococcoides* spp. have been shown to be exclusively dependent

255 upon chlorinated organic compounds for respiration. Of the two obligate-halorespiring groups,

Dehalococcoides spp. alone is known to carry out the entire transformation process from TCE to ethene. Much has been deduced about the first member of this genus since it was first described in 1997,⁷³ including the fact that it is an obligate hydrogenotroph and performs best within mixed microbial communities that supply it with biogenic H₂ and organic cofactors, byproducts of anaerobic organic matter mineralization.^{74, 75 76 77} Although *Dehalococcoides* spp. has been studied extensively in the lab, there is still an incomplete understanding of how its presence and function in the environment change in response to varying environmental conditions.⁷² It has long been established that availability of and competition for electron donors is a key factor, given the oligotrophic conditions that normally prevail in groundwater systems^{78, 79, 80}. Recent work has identified pH,⁸¹ temperature,⁸² and cocontaminants⁸³ as factors that also play an important role.

Effect of Zero-Valent Iron on Biological Reductive Dechlorination.

There is potential for synergy between granular or nanoscale ZVI treatment [(n)ZVI] and biodegradation of chloroethenes. The highly reducing conditions created by (n)ZVI are precisely the environment in which dechlorinating organisms thrive. Additionally, the corrosion-induced production of H₂ by (n)ZVI is likely to stimulate the growth of halorespirers, for whom hydrogen is the preferred electron donor.

PRB-Microbial Interaction. As experience with granular iron PRBs has accumulated, it has become clear that biological processes can have a significant impact on contaminant transformation within the barrier. This principle was not intuitive to early adopters of the PRB

technology, as it was generally believed that the high pH environment created by ZVI reactive barriers would inhibit microbial activity. Although corrosion-induced increases in pH can cause inhibition of anaerobic microbial consortia,⁸⁴ subsequent experience has shown that PRBs often create conditions (i.e., ecological niches) favorable to some amount of biological activity⁸⁵. At one site it was found that the total microbial population inside the PRB was 1 to 3 orders of magnitude higher than background levels as determined by analysis of phospholipid fatty acids and DNA.⁸⁶ Several lab studies have demonstrated enhanced contaminant removal using combined microscale ZVI and microbial systems, with H₂ from ZVI corrosion supporting biological degradation of chloromethanes,^{87,88} TCE,⁷¹ nitrate,⁸⁹ perchlorate^{90,91} and energetic materials such as RDX (hexahydro-1,3,5-trinitro-1,3,5-triazine).⁸⁴ Another microbially mediated reaction that was observed in a microscale ZVI system is immobilization of uranium by sulfate-reducing bacteria.⁹²

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However, it is possible that biological activity in iron PRBs may cause decreased performance. For instance, the colonization of a PRB by dechlorinating bacteria may result in transformation of some TCE via less desirable reduction pathways, *i.e.*, production of VC.⁷¹ Biologically mediated mineral precipitation³⁵ or excessive biomass growth could reduce the porosity of the barrier and thus its hydraulic conductivity, thereby causing groundwater to circumvent the treatment zone. The role of biological processes in PRBs has been recently reviewed.²⁰

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nZVI-Microbe Interaction. Many of the factors influencing the biogeochemistry of iron PRBs will presumably play a role in the interaction between nZVI and bacteria. Corrosion of nZVI and

300 subsequent production of H₂ can be expected to have a stimulatory effect on the obligate
hydrogenotrophs that are responsible for biological reductive dechlorination, possibly
eliminating the need for addition of external electron donors such as lactate when the particles
are coated with a carbon polymer.⁹³ It is also possible that nZVI could reduce the concentration
of chloroethenes below threshold toxicity levels for dechlorinating organisms in certain areas of
305 the treatment zone.⁹³ Liu and Lowry¹³ posited that nZVI could be used to abiotically treat DNAPL
source zones, while enhancing biological polishing of the down gradient dissolved plume.
Modeling based on experimental data indicated that H₂ evolution from nZVI injection could
provide H₂ concentrations in excess of optimal levels for *Dehalococcoides* spp.¹³ In order to
evaluate the feasibility of these combined abiotic/biotic treatment schemes, it is helpful to
310 understand the effects of nZVI at the both the single cell and community levels. The following
two sections review the developing literature concerning the toxicology of nZVI to pure cultures
and its effects on halorespiring microbial communities responsible for bioremediation of
chloroethenes.

315 **Potential for nZVI toxicity.** Even the most cursory review of the literature reveals that assessing
the ecotoxicity of engineered nanomaterials is complicated. A variety of metallic nanoparticles
can act as antimicrobials.⁹⁴ A recent review⁷ cited cell membrane disruption, changes in
membrane permeability due to nanoparticle attachment, DNA and protein damage caused by
released ions, and apoptosis due to oxidative stress as potential mechanisms of cell death
320 caused by metallic nanoparticles. To date, silver nanoparticles have been the main focus of
research due to the well-known antimicrobial properties of silver.⁹⁴ However, recent studies

have examined the *in vitro* toxicity of nZVI towards various pure cultures of microorganisms.^{10, 94-}

⁹⁶ Table 1 summarizes the findings of these studies.

325 It is difficult to make direct comparisons of the studies represented in Table 1 because of the
different experimental protocols that were used (nZVI dose, contact time, etc.). However, each
of the studies demonstrated toxicity of nZVI to microorganisms at concentrations at or below
those typically used in field applications (<1-10 g-Fe/L). Proposed explanations for the observed
toxicity revolve around oxidative stress^{10, 95, 96} and cell membrane disruption^{10, 94} due to reactive
330 oxygen species produced inside the cell or on the bacterial cell wall.⁹⁷ Oxidative stress can result
from the production of reactive oxygen species by the reaction of ferrous ions with intracellular
oxygen or hydrogen peroxide.⁹⁴ A mutant strain of *E. coli* lacking superoxide dismutase activity
showed increased sensitivity to nZVI exposure,⁹⁵ which points to the importance of the oxidative
stress mechanism. On the other hand, membrane disruption is presumed to be a result of direct
335 contact between nZVI particles and the cell. One study tested the effect of nZVI on three types
of microbes with distinct cell wall architectures: the gram-negative bacteria *P. fluorescens*, the
gram-positive bacteria *B. subtilis*, and the fungus *A. versicolor*.¹⁰ *P. fluorescens* was most
sensitive to nZVI exposure, followed by *B. subtilis* and *A. versicolor*. Gram-positive bacteria are
known to have thicker cell walls than gram-negative bacteria. Fungal cell walls are thicker than
340 either types of bacterial cell walls and contain chitin instead of peptidoglycan.¹⁰ Thus, this study
shows a correlation between sensitivity to nZVI and cell wall architectures, which suggests that
either membrane disruption or differential membrane permeability plays a role in nZVI toxicity.
Hence, the mechanisms for nZVI toxicity are likely different than those described for other

metallic nanoparticles (e.g., nanosilver, where the release of ions is the main mechanism for
345 toxicity.⁹⁸)

Cytotoxicity of nZVI and Fe oxide nanoparticles to *Escherichia coli* has been shown to vary in
proportion to the oxidation state of the iron atoms, with toxicity decreasing with increasing
oxidation state.⁹⁵ Iron nanoparticles consisting of nZVI were most toxic, followed by magnetite
350 ($\text{Fe}^{\text{ii/iii}}$), and maghemite (Fe^{iii}). This finding is consistent with the results of other studies^{10, 94, 96, 97}
that showed decreased toxicity as nZVI was exposed to oxygen and surface Fe^0 was reduced to
Fe-oxide phases. These results suggest that oxidation of the nZVI particle shell in groundwater
may reduce its cytotoxicity to *E. coli* over time⁹⁶.

355 It is significant that the majority of the studies listed in Table 1 have utilized bare nZVI lacking
polymeric coatings or sorbed NOM. As discussed previously, nZVI injected into the subsurface
will typically be treated with one of several types of coatings to prevent aggregation and
facilitate dispersal. Moreover, uncoated nZVI injected into an aquifer is likely to rapidly become
coated with NOM due to electrostatic attraction. In the same way that these coatings reduce
360 adhesion of nZVI to aquifer sediments, they may reduce contact between nZVI and the bacterial
cell wall, thus decreasing toxic effects⁹⁶. For this reason the results of assays performed using
uncoated nZVI or in media lacking NOM may overestimate toxicity. This point is illustrated
clearly by the results of a study that showed inactivation of *E. coli* by one hour exposure to 100
mg/L of nZVI was reduced from 5.2-log to less than 0.2-log when the nZVI was coated with
365 poly(styrene sulfonate), poly(aspartate), or NOM⁹⁶. It is also conceivable that reduced toxicity in

the presence of coatings results from the slower release of dissolved Fe^{2+} from the coated nZVI. Until there is conclusive evidence linking cytotoxicity to either dissolution of nZVI or the nanoparticles themselves, it is difficult to establish the mechanism by which coatings reduce nZVI toxicity.

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In addition to the specific modes of toxicity described above, it is possible that injection of nZVI could cause an increase in groundwater pH that would be inhibitory to dechlorinating microorganisms, which thrive at circumneutral pH.^{72, 81} However, because most aquifer environments are sufficiently buffered, a typical nZVI injection is unlikely to cause drastic changes in pH.¹³ This conclusion is supported by field studies that documented no increase in groundwater pH upon nZVI injection.^{52, 68, 99} In cases where field injection of nZVI did raise groundwater pH, the increase was limited to one or two units above ambient levels.^{30, 69, 99} In one instance,⁹⁹ long term monitoring indicated a decrease in pH of one unit, which can possibly be attributed to biological growth stimulated by reducing conditions created by the nZVI injection. Dilution by natural groundwater flow is expected to limit the spatial and temporal extent of post-injection pH changes, although data to confirm this expectation is lacking in the literature. Overall, the available field results indicate that inhibition of dechlorinating bacteria by nZVI-driven pH changes is probably limited when both processes are juxtaposed because of their contrasting impact on pH. Yet, buffer amendments are likely required for effective removal of TCE near source areas, e.g. DNAPLs, because of the strong impact of microbial removal on the pH.¹⁰⁰

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The pure culture studies summarized in Table 1 uniformly suggest that the prospects for combining nZVI with biological treatment are quite poor. Because these tests assessed acute exposure, factors such as microbial adaptation over time were not determined while prolonged exposure is likely to yield different results because of hysteresis. This means that a microbial community that was previously or continuously exposed to nZVI particles will possess a different transcriptome and/or proteome and as a result be less sensitive to the toxic effects of nZVI than a naive community. In addition, the results of several recent microcosm-scale tests using more representative, mixed microbial cultures are slightly more positive. Table 2 presents a summary of these microcosm tests.

Addition of nZVI caused a number of changes to the microbial ecology of the microcosms used in these studies. These changes included decreases¹⁰¹ and increases¹⁰² in total bacteria levels, alterations in bacterial diversity that were observed qualitatively by denaturing gradient gel electrophoresis (DGGE),¹⁰² and quantitatively as stimulation¹⁰² and inhibition¹⁰¹ of sulfate reducing bacteria, and stimulation of methanogenic bacteria.^{102, 103} Exposure to nZVI caused decreased dechlorination rates and down-regulation of reductive dehalogenase genes relative to 16s rRNA.¹⁰³

In one experiment, order of magnitude increases in total bacteria levels compared to controls were attributed to utilization of a biodegradable nZVI coating as a carbon source. This experiment also showed that higher biomass concentrations could be reached despite partial loss of the protective coating. Experimental results showing that stabilized nZVI may remain

410 active for up to eight months¹⁰⁴ suggest that such coatings could be designed to serve as a long-term slow release carbon source in addition to their primary function of supplying Fe.

Two of the studies observed decreased TCE degradation rates in batch microcosms containing nZVI and mixed dechlorinating cultures compared to the cultures alone.^{93, 101} One group
415 hypothesized that dechlorinating bacteria were initially inhibited by fresh nZVI, and that the decrease in inhibition observed over time was a result of passivation at the nZVI surface.⁹³ Other researchers observed a decrease in TCE degradation rates with increasing nZVI dosage and a decline in viable bacteria counts at nZVI doses greater than 0.3 g L⁻¹.¹⁰¹ Addition of bare nZVI to a mixed anaerobic culture containing *Dehalococcoides* spp. was shown to decrease the expression
420 of the reductive dehalogenase genes *tceA* and *vcrA* by 97 and 137-fold, respectively, normalized to 16S rRNA gene expression. This negative effect was muted and even reversed when a polymer-coated nZVI was used, resulting in a mild (~3-fold) upregulation of both *tceA* and *vcrA*.¹⁰³ It should also be noted that evidence from both field⁵² and lab^{93, 102} studies indicate that methanogens may compete with halorespirers for the H₂ produced as a result of nZVI addition,
425 and this, together with the previously discussed species-specific sensitivity to nZVI, are possible explanations for the observed decrease in dechlorination rates.

None of the pure culture or mixed community studies cited above addressed the potential toxicity of Pd in Pd-doped nZVI. While Pd is a known toxicant to aquatic plants, invertebrates,
430 and vertebrates,¹⁰⁵ there has been little systematic study of its effects on bacteria. Recent work involving production of biogenic Pd-nanoparticles found that exposure to Pd resulted in reduced

growth of *Clostridium pasteurianum*,¹⁰⁶ but not of *Shewanella oneidensis*.¹⁰⁷ Due to the large number of studies proposing bimetallic Fe/Pd nanoparticles for use in remediation, further research is needed on the potential toxicity of Pd to subsurface bacterial communities.

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Figure 1 is a conceptual diagram of nZVI injection in the vicinity of a DNAPL source zone. It illustrates the potential spatial distribution of impacts to the subsurface microbial community due to nZVI injection. Near the injection well, concentrations of both nZVI and TCE are above threshold toxicity levels, leading to significant inhibition of microbial activity. Iron nanoparticle concentration will decrease with increasing distance from the well due to consumption of nZVI and sedimentation of nZVI in the aquifer material. The TCE concentration profile will also decrease with increasing distance from the source zone. It is in this zone of moderate TCE and nZVI concentrations that biostimulation is likely to occur due to consumption of both corrosion-produced H₂ and biodegradable polymer coatings. At some distance down gradient from the injection point the concentration of nZVI and H₂ will become negligible and biostimulation will cease. While growing evidence suggests the likelihood of a scenario such as that shown in Figure 1, the factors that influence inhibition and stimulation of microorganisms in nZVI treatment zones are numerous and quite complex. Clearly the scenario outlined here will not hold true in every case, but based on current knowledge, it approximates typical conditions prevailing at many sites.

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Molecular methods for assessing the impact of nZVI to subsurface microbiota.

To delimit the zones of microbial stimulation and inhibition as shown in Figure 1, further research must be conducted to understand the impact of nZVI on subsurface biological processes. This section reviews the emerging toolkit of molecular biological analyses that can be used to investigate the effect of nZVI treatment on *in situ* microbiota.

While 16S rRNA-targeting approaches (DGGE, T-RFLP, qPCR, or FISH) are commonly applied in microbial ecology studies, their relevance can in some cases be debated.¹⁰⁸ Since 16S rRNA sequences provide no unambiguous functional information for dechlorinating communities,¹⁰⁹ they alone cannot be used to determine the treatment strategy of a contaminated site. Nevertheless, 16S rRNA-based techniques can allow site specialists to decipher the global impact of the nZVI injections on the native, biostimulated, or bioaugmented subsurface microbiota. On the other hand, the targeted analysis of functional gene transcripts or products can provide a qualitative and quantitative assessment of the microbial processes that occur at or downstream of the nZVI injection site (Table 3). The resolving power of these molecular analyses can be greatly improved when combined with chemical analysis of the oxidation/reduction potential, dissolved oxygen, and the levels of the contaminants and their dechlorination byproducts (where applicable). Table 3 provides some of the functional genes that have been used previously to study *in situ* processes and can also be used when screening for the impact of nZVI injections. The question remains how the functional genes should be tracked to provide a maximum of information, reliably, cost-effectively, and swiftly.

Much of the research performed on soil and aquifer microbiology use traditional PCR-based
475 methods (DGGE, T-RFLP, and qPCR) to inventory the 16S rRNA or functional gene diversity and
abundance in remediation sites. Most often total DNA is used as the template for these analyses
because the issues with RNA-based analyses in soil are numerous, and have been reviewed.¹¹⁰
Nevertheless, new total RNA extraction protocols have been published that aimed to improve
both quality and the amount of template material from subsurface samples.¹¹¹

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Qualitative (DGGE, T-RFLP, pyrosequencing, phylogenetic microarrays) and quantitative (qPCR)
analyses can provide complementary information and should therefore both be applied to
generate the best possible fingerprint of dechlorinating subsurface microbiota.¹¹² However, the
principal caveats of qPCR-based quantification of functional genes remain the appropriateness
485 of existing primer pairs and the quantitative relation of functional gene transcripts with the cell
number or biomass, because the number of genes (DNA-level) and transcripts (RNA-level) is
known to differ between species and strains. Moreover, quantified functional genes have rarely
been found to correlate well with functionality.¹¹³

490 Alternative approaches to PCR-based techniques are the rRNA-targeting Fluorescence In Situ
Hybridization (FISH),¹¹⁴ Catalized Reporter Deposition (CARD)-FISH,¹¹⁵ and
microautoradiography (MAR)-FISH,¹¹⁶ that can be used to correlate microbial identities to spatial
localization or functionality. Albeit powerful techniques in microbial ecology, they are difficult to
apply in the field. Nevertheless, when FISH is coupled to flow cytometry (FISH-FC)¹¹⁷ using the
495 16S rRNA or functional probes presented in Table 3 as fluorescent probes, functional data that is

of relevance to remedial managers can be generated rapidly using only a few milliliters of groundwater from downstream monitoring wells. This has only become possible since the flow cytometry companies have developed portable flow cytometers designed for mobile laboratories. Moreover, many complications of the PCR-based techniques are avoided with flow cytometric approaches. There is no need for extracting the cells from the matrix and the data is on a per cell basis and not on a gene-, transcript-, or peptide basis, which can be more straightforward to interpret. Hence, FISH-FC can be used to determine the microbial processes that occur in the subsurface in response to the nZVI injections. It should be noted though that 16S rRNA targeting probes will be more sensitive than the functional genes because of the difference in expression levels, particularly in environmental samples. Depending on the metabolic state and species there are approximately $10^3 - 10^5$ ribosomes per cell to which 16S rRNA probes can bind.¹¹⁸ Yet, detection of *Dehalococcoides* spp. using FISH will be challenging due to their small cell size and lower ribosome content, particularly under low activity conditions.¹¹⁵ Regardless, a suite of traditional flow cytometric microbiology analyses can be performed on the groundwater samples. Among these dye-based methods is the Live/Dead assay that can be used two fluorescent dyes (SYTO9 and propidium iodide) to monitor nZVI toxicity nearly in real-time since the combined incubation and analysis time is only minutes.¹¹⁷ Flow cytometry could also help determine the mobility of introduced microbes and their interactions with the soil and other microorganisms in situations where bioaugmentation is applied by quantifying cell counts in surrounding monitoring wells.

While PCR-based, DNA- and RNA-targeting analyses have been shown to be powerful approaches for planktonic and surface attached microorganisms, they are more appropriate for

soil or aquifer (solid) samples but these sites are extremely difficult to sample. Flow cytometry
520 analysis of groundwater microbiota can circumvent many of the issues that are often
encountered using the other molecular techniques (regardless of whether fluorescent probes or
dyes are used), but are limited to aqueous samples (and its suspended biomass). Because of
this, it is imperative that quantitative relations are established between the surface-attached
and the suspended microbiota. Regardless of whether the future research on the interaction
525 between nZVI and microbiota is based on PCR, protein analysis, FISH, or flow cytometry, it is
certain that there will be a central role for metagenomic approaches to unravel the functional
diversity of dechlorinating microbiota and to improve primers, probes, and our understanding of
the functional relations within the community.

530 **Concluding Remarks**

The increasing use of nZVI for environmental restoration necessitates a thorough assessment of
this technology's potential impacts on microbial processes in the subsurface. Remediation of
chlorinated organic pollutants using a combined nZVI - biostimulation approach holds promise,
but the weight of the literature suggests that this strategy may only be effective if applied in a
535 manner that limits toxicity to subsurface microbiota. A further understanding of biogeochemical
interactions is needed in order to accurately predict the extent of toxic and biostimulatory
effects. Future research efforts should include the development of methods suitable for
detecting iron nanoparticles in soil and groundwater and to distinguish anthropogenic
nanoparticles from naturally occurring ones. This would enable determination of true *in situ*
540 travel distances and persistence of nZVI in the subsurface. New nanoparticle detection
technologies, when combined with field data on the spatial variability of dechlorinating

populations, could be used to develop a more detailed model for the interaction between nZVI and soil microbes. Moreover, this would decrease reliance on inexact indicators such as oxidation/reduction potential, dissolved oxygen and dissolved iron, the relative importance of which is unclear. As more is learned about nZVI toxicity mechanisms and how they change as the nanoparticles are oxidized, it should become possible to assess the relative risk of the iron nanoparticles themselves relative to the changes in bulk water chemistry that they produce. Finally, increased use of new molecular biological tools in both field and laboratory settings should help to elucidate the effect of nZVI on microbial diversity, as well as specific bacterial populations such as *Dehalococcoides* spp. In summary, this review reveals that there is much evidence suggesting that injection of nZVI is likely to stimulate biological reductive dechlorination. Yet, the risk of adverse effects to soil and sediment microbial communities and biological attenuation processes is real, and should be taken into consideration whenever nZVI treatment is implemented as a remedial strategy for contaminated subsurface environments.

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1035 **Tables**

1036 **Table 1.** Summary of nZVI pure culture toxicity studies.

Synthesis	nZVI Size (nm)	nZVI Coating	nZVI Dose (mg/L)	Target organism	Organism type	Contact time (h)	Inactivation rate	Proposed mechanism of inactivation	Reference
APR of FeSO ₄ using NaBH ₄	320±30	None	7-700	<i>Escherichia coli</i>	Gram-negative	1.0	25-90%	OS	95
APR of FeCl ₃ using NaBH ₄	10-80	None	1.2-110	<i>Escherichia coli</i>	Gram-negative	0.17-1.0	0.82 log inactivation / mg/L nZVI•h	MD; disturbance of enzymatic function	94
				<i>Bacillus subtilis</i>	Gram-positive		80-100%		
APR of Fe(NO ₃) ₃ using NaBH ₄	20-30	None	100-10,000	<i>Pseudomonas fluorescens</i>	Gram-negative	0.08	100%	MD; OS; physical coating	10
				<i>Aspergillus versicolor</i>	Fungus		0%		
GPR of iron oxides in H ₂	40-60	Poly(styrene sulfonate), poly(aspartate), or NOM		<i>Escherichia coli</i>	Gram-negative	0.17-1.0	<0.2-5.2 log inactivation	Physical coating, OS, Fe(II) intake	96

1037 APR: Aqueous-phase reduction; GPR: Gas-phase reduction; MD: Membrane disruption; OS: Oxidative stress

Table 2. Summary of nZVI and mixed culture microcosm studies

Synthesis	nZVI Size (nm)	nZVI Coating	nZVI Dose (g/L)	Sediment type	Source of culture	Duration of experiment (d)	Observed effects of nZVI	Reference
APR of FeSO ₄ using NaBH ₄	20-80	None	0.01-1	None	Contaminated groundwater	42-199	Inhibition of DCB and DRB, decreased total bacteria count, lowered expression of dehalogenase genes	101
GPR of iron oxides in H ₂	Apr-00	Polyaspartate	1.5±0.1	Aquifer material	Aquifer material	250	Changes in bacterial diversity, increased total bacteria abundance, stimulation of SRB and methanogens by H ₂ and polyaspartate	102
GPR of iron oxides in H ₂	5-70 (mean = 20)	Olefin maleic acid copolymer	1	None	Laboratory enrichment	7	Downregulation of reductive dehalogenase genes by uncoated nZVI, this effect mitigated by addition of polymer coating to nZVI	103
GPR of iron oxides in H ₂	40-60	None	1	None	Laboratory enrichment	71	Stimulation of methanogens, initial (but temporary) inhibition of dechlorinating bacteria	93
Ball-milling of microscale ZVI	12.5±0.3	Polyacrylic acid	10 mg/g	Surface soil	Surface soil	14	No impact on dehydrogenase or hydrolase levels, no impact on ammonia oxidation potential	119

1039 APR: Aqueous-phase reduction; DCB: Dechlorinating bacteria; GPR: Gas-phase reduction; SRB: Sulfate-reducing bacteria

1040 **Table 3.** Functional targets for qualitative analysis of microbiota in organics-contaminated
 1041 aquifers

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Contaminant	Functional target	Gene	Technique	Reference
TCE	Trichloroethene reductive dehalogenase	<i>tceA</i>	qPCR	103
	Trichloroethene reductive dehalogenase	<i>tceB</i>	qPCR	120
VC	Vinyl chloride reductive dehalogenase	<i>vcrA</i>	qPCR	121
Phenol	Phenol hydroxylase	<i>phe</i>	qPCR	121
Napthalene	Napthalene dioxygenase	<i>nah</i>	qPCR	122
Toluene	Toluene monooxygenase	<i>tom</i>	qPCR	122
	Toluene dioxygenase	<i>tod</i>	qPCR	122
	Ring-hydroxylating toluene monooxygenase	<i>rmo</i>	qPCR	122
Biphenyls	Biphenyl dioxygenase	<i>bph</i>	qPCR	122
Organics	Soluble methane monooxygenase	<i>mmoX</i>	qPCR / proteomics	123
	Particulate methane monooxygenase	<i>pmoA</i>	qPCR / proteomics	123
	Ammonia monooxygenase	<i>amoA</i>	qPCR	124

*Indicates study in which ZVI was used.

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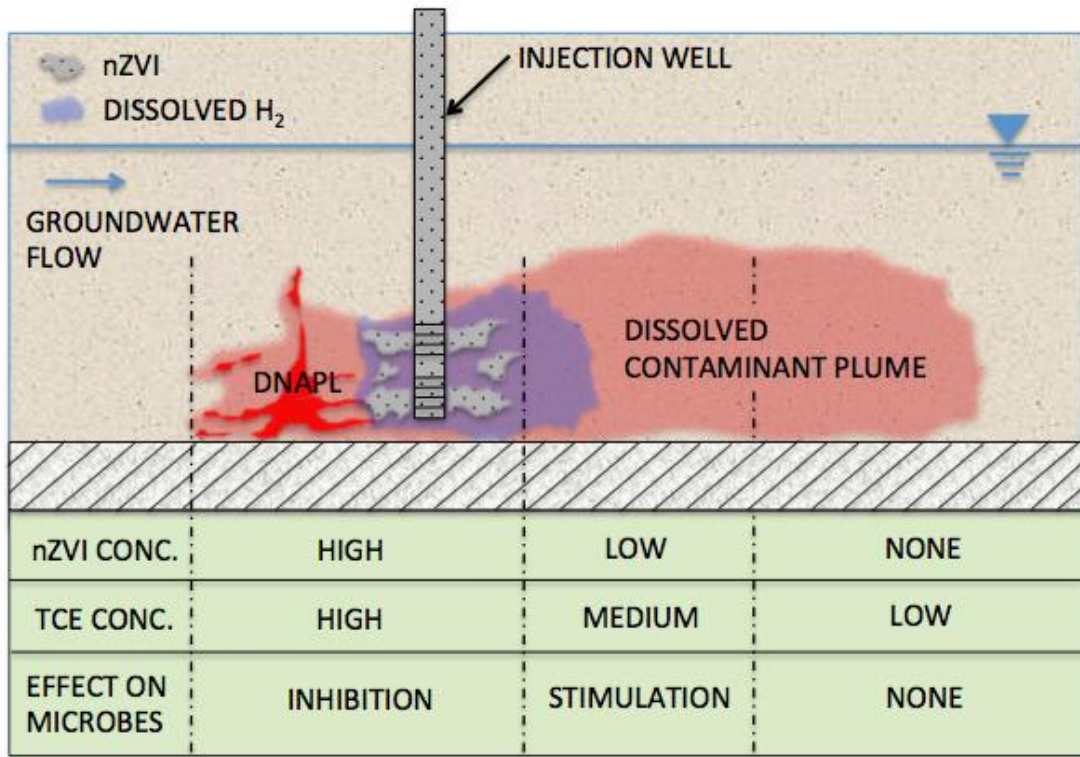
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1049 **Figures**

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1052 **Figure 1:** Conceptual model of nZVI injection in DNAPL source zone and effects on microbial

1053 community.